

ANNUAL REPORT ON MECHANICAL CIRCULATORY SUPPORT RELATED TO HEART TRANSPLANTATION

REPORT FOR 2021/2022 (1 APRIL 2012 – 31 MARCH 2022)

PUBLISHED DECEMBER 2022

PRODUCED IN COLLABORATION WITH NHS ENGLAND



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EXECUTIVE SUMMARY



1 Executive Summary

This report summarises key information about mechanical circulatory support (MCS) used in patients in the UK as a bridge to heart transplantation or for post-transplant support. MCS in this context includes <u>long-term</u> ventricular assist devices (VADs), <u>short-term</u> VADs, total artificial hearts (TAH) and veno-arterial extracorporeal membrane oxygenation (ECMO). The period reported covers 10 years, from 1 April 2012 to 31 March 2022, however paediatric data are only available since 1 April 2013. Data were extracted from the UK <u>VAD Database</u> held by NHS Blood and Transplant on 15 November 2022. Results are generally presented separately for adult and paediatric patients, for long-term and short-term devices and for bridging and post-transplant strategies.

Key findings

Long-term bridging devices in adults:

- During 2021/2022 there were 45 long-term device implants, all long-term VADs. The number of implants was the lowest over the decade.
- The most common <u>INTERMACS profile</u> for this patient group was 3 (stable but inotrope dependent) representing 33% of all patients.
- The median duration on long-term VAD support was 1101 days (3 years).
- At 1-year post-implant, 77% of patients remained on support, 5% had received a heart transplant, 1% were explanted without transplant and 17% died on support.
- The national 1-year patient <u>survival rate</u> from the point of first long-term VAD implant, irrespective of subsequent intervention (not censored at transplant or explant for recovery) was 82.0%. The 3-year survival rate was 66.0%, which has improved over the last 3 years (63.2% in 2020/2021; 60.1% in 2019/2020).

Short-term bridging devices in adults:

- During 2021/2022 there were 95 short-term device implants, comprising 59 VADs and 36 ECMO implants; a 12% decrease from the previous year.
- The majority (66%) of implants were into <u>INTERMACS profile</u> 1 patients (critical cardiogenic shock).
- The median duration on short-term support was 13 days.
- At 30 days post-implant, 24% of patients remained on short term support, 15% had been transplanted, 14% transferred to a long-term device, 24% were explanted without transplant and 26% had died on support.
- The 1-year patient <u>survival rate</u> from the point of first short-term VAD implant (excluding those bridged to long-term support) was 50.8% (not censored for transplant/explant).

Short-term devices used for Primary Graft Dysfunction (PGD) in adults:

- During 2021/2022 there were 37 adult heart transplants requiring mechanical support for severe PGD, comprising 26 ECMO only, 9 short-term VAD and ECMO and 2 short-term VAD only. As a percentage of transplant performed, 25% required support.
- The 1-year patient <u>survival rate</u> from the point of implant for PGD was 59.9%.
- On average, patients spent 5 days on support.

Devices used in paediatric patients:

- During 2021/2022, 18 bridging device implants and 4 post-transplant implants were reported; 13 of which were VADs and 9 were ECMO.
- For 75 patients reported as having bridging support between 1 April 2017 and 31 March 2021, the median duration of support was 75 days, 33% of patients received a transplant within 90 days of implant and the 1-year patient <u>survival rate</u> from the point of implant was 76.7%.

Use of the contents of this report should be acknowledged as follows:

Annual Report on Mechanical Circulatory Support Related to Heart Transplantation 2021/2022, NHS Blood and Transplant

INTRODUCTION



2 Introduction

In the United Kingdom, mechanical circulatory support (MCS) therapy is commissioned as a bridge to heart transplantation or for post-transplant support due to primary graft dysfunction (PGD) or rejection. MCS in this context includes long-term ventricular assist devices (VADs), short-term VADs, total artificial hearts (TAH) and veno-arterial extracorporeal membrane oxygenation (ECMO). The seven centres that provide this service are those that also provide heart transplantation: Birmingham Queen Elizabeth Hospital, Harefield Hospital, Manchester Wythenshawe Hospital, Newcastle Freeman Hospital, Royal Papworth Hospital, Great Ormond Street Hospital and Glasgow Golden Jubilee Hospital. Great Ormond Street Hospital provide a paediatric service only, Newcastle provide both adult and paediatric services, and the remaining centres provide adult services only. Patients aged less than 16 implanted at Newcastle are reported as paediatric patients, while patients aged 16 or over implanted at Newcastle are reported as adults, otherwise adult centre data are reported in the adult sections and paediatric centre data are reported in the paediatric services of the age of the patient.

All centres are required to submit data to the national database hosted by NHS Blood and Transplant, known as the <u>VAD Database</u>. The database collects extensive data prior to and at time of device implant, explant, transplant and death along with follow-up at various time points post-implant and post-explant. These data are audited and reported annually herein in order to provide centres, commissioners and patients with relevant and transparent information about the UK MCS service. The report also incorporates data from the <u>UK</u> <u>Transplant Registry</u> on listing for heart transplantation and survival after transplant for patients receiving MCS.

The cohort covered in this report is from 1 April 2012 to 31 March 2022, however paediatric data are only presented for the period 1 April 2013 to 31 March 2022 since before 2013 there was no national data capture for paediatric MCS therapy. Data were extracted for this report on 15 November 2022 by which date it was expected that most devices used during the audit period had been reported to the database.

Prior to the introduction of the General Data Protection Regulation (GDPR) in May 2018, consent had to be gained from patients to record their data on the <u>VAD Database</u>. During this time 16 patients refused consent and so these patients are excluded from this report. From May 2018, patient data are recorded lawfully without explicit consent under Section 6(1)e of the GDPR. Use of Section 6(1)e requires a specific exemption, and the patient data is being collected and processed under Section 9(2)h "management of healthcare".

The report is split into four main parts:

- Adult long-term devices used for bridging (long-term VADs and TAH)
- Adult short-term devices used for bridging (short-term VADs and ECMO)
- Adult short-term devices used post-heart transplant (short-term VADs and ECMO)
- Paediatric devices used for bridging (VAD and ECMO)

Each part includes an activity section where data are analysed on a per-implant basis (except short-term devices used post-heart transplant which are analysed on a per-transplant basis) and a patient outcome section where data are analysed on a per-patient basis. Activity is analysed over the decade whilst outcomes are typically analysed for more recent implants.

See <u>Appendix A1</u> for a breakdown of the number of observations analysed in each section and notes on classifications and limitations.

Methods used to produce the report are described in Appendix A2.

Patient survival is analysed in two ways; from the point of first device implant to death, irrespective of subsequent intervention, and survival on support which is time from implant to death on support where explant or transplant events are censored. The reader should note that in both cases the results are not adjusted for potential differences in risk between patients treated at different centres. Such differences in "case-mix" may explain any variation in the centre-specific survival rates, thus no conclusions can be made about differences in the standard of care between centres.

2.1 Overview

Figure 2.1 shows the number of bridging implants reported in the last ten years, split by device type, for adult patients. Up to 2015/2016, long-term implant activity increased steadily to a peak of 124 devices but has since decreased to 45 in 2021/2022. Short-term device usage has generally increased over the decade, with 95 devices in 2021/2022. Figure 2.2 shows a breakdown of paediatric bridging implants in the last nine years. The number of VAD implants was comparatively low in 2021/2022, with just 13, and the use of ECMO in paediatric patients has remained low across the period.

In total (adult and paediatric combined) there were 2,004 bridging implants reported across the decade in 1,586 patients; 1,240 (78%) patients had a single device implant, 285 (18%) had two implants, 51 (3%) had three, 9 (0.5%) had four, and 1 (0.1%) had five (see <u>Table A1.4</u> and <u>Table A1.5</u> in <u>Appendix A1</u> for details of device histories).



Figure 2.1 Total number of adult bridging device implants in the UK, by device type and financial year, 1 April 2012 to 31 March 2022



Figure 2.2 Total number of paediatric bridging device implants in the UK, by device type and financial year, 1 April 2013 to 31 March 2022

Figure 2.3 shows the number of post-heart transplant implants reported in the last ten years, split by primary graft dysfunction and rejection (short-term implants beyond 30 days post-transplant) strategies for adult patients. The number of implants for PGD has increased over the period, with 50 in 2021/2022. Devices used for rejection are relatively rare, but the highest number was reported in 2021/2022. Figure 2.4 shows a breakdown of paediatric post-transplant implants in the last nine years.

In total (adult and paediatric combined) there were 451 post-transplant implants across the decade in 367 patients; 295 (80%) patients had a single device implant, 61 (17%) had two implants, 10 (3%) had three and 1 (0.3%) had four (see <u>Table A1.4</u> and <u>Table A1.5</u> in <u>Appendix A1</u> for details of device histories).



Figure 2.3 Total number of adult post-transplant device implants in the UK, by strategy and financial year, 1 April 2012 to 31 March 2022

Figure 2.4 Total number of paediatric post-transplant device implants in the UK, by strategy and financial year, 1 April 2013 to 31 March 2022



Figure 2.5 shows the number of adult patients reported as alive on bridging support as of 31 March 2022 by centre and device type. In total, there were 293 patients alive on a long-term device and 12 alive on short-term support, with Birmingham having the highest number of patients on long-term support. **Figure 2.6** shows the same information but for paediatric patients. There were 9 paediatric patients alive on support on 31 March 2022, 7 on a VAD and 2 on ECMO support.



Figure 2.5 Number of adult patients alive on bridging support on 31 March 2022, by device type and centre

Figure 2.6 Number of paediatric patients alive on bridging support on 31 March 2022, by device type and centre



Tables 2.1 and **2.2** show a summary of the number of patients and implants that have been reported to the <u>VAD Database</u> by centres for the period 1 April 2012 to 31 March 2022 for adults, and 1 April 2013 to 31 March 2022 for paediatrics, and separately for the most recent year, 1 April 2021 to 31 March 2022. **Table 2.1** reflects the adult data while **Table 2.2** reflects the paediatric data.

Strategy	Centre		1 Ap	oril 2012	2 - 31 Marc	h 2022		1 April 2021 - 31 March 2022					
		No. of		Туре о	of device		No. patients	No. of		Туре	of device		No. patient
		implants	LT VAD	TAH	ST VAD*	ECMO		implants	LT VAD	TAH	ST VAD*	ECMO	
Bridging	Birmingham	341	160	0	129	52	266	17	5	0	8	4	14
	Glasgow	111	17	0	42	52	93	8	1	0	0	7	8
	Harefield	498	255	23	117	103	344	40	14	0	17	9	29
	Manchester	282	131	0	99	52	239	23	9	0	8	6	20
	Newcastle	320	222	1	16	81	279	24	13	0	2	9	22
	Papworth	217	92	0	96	29	182	28	3	0	24	1	27
	Total	1769	877	24	499	369	1403	140	45	0	59	36	120
Post-transplant	Birmingham	113	0	0	43	70	80	26	0	0	13	13	16
-	Glasgow	46	0	0	10	36	34	3	0	0	0	3	2
	Harefield	59	0	0	2	57	52	2	0	0	1	1	2
	Manchester	70	0	0	12	58	57	8	0	0	3	5	4
	Newcastle	67	0	0	1	66	59	12	0	0	1	11	11
	Papworth	46	0	0	11	35	37	7	0	0	0	7	6
	Total	401	0	0	79 ¹	322 ¹	319	58	0	0	18 ²	40 ²	41

1.46									
Strategy	Centre	1 Aj	pril 2013	3 - 31 Mar	ch 2022	1 April 2021 - 31 March 2022			
		No. of implants	Type o VAD	of device ECMO	No. patients	No. of implants	Type o VAD	of device ECMO	No. patients
Bridging	Great Ormond Street Newcastle	111 124 235	86 107 193	25 17 42	88 95 183	8 10 18	5 8 13	3 2 5	7 9 16
Post-transplant	Great Ormond Street Newcastle Total	233 22 28 50	1 1 2	21 27 48	22 26 48	2 2 4	0 0 0	2 2 4	2 2 4

Table 2.2Number of paediatric patients receiving devices and number of implants, by strategy and centre,
1 April 2013 to 31 March 2022

Figure 2.7 shows the number of patients receiving MCS as a bridge to heart transplant per million population (pmp) between 1 April 2021 and 31 March 2022, by country/NHS region of patient residence. No adjustments have been made for potential demographic differences in populations. Note that this analysis only considered NHS Group 1 patients. Overall, the number of patients receiving MCS was 2.0 pmp of the UK.

Since there will inevitably be some random variation in rates between areas, the systematic component of variation (SCV) was used to identify if the variation is more (or less) than a random effect for the different NHS regions in England only. The larger the SCV the greater the evidence of a high level of systematic variation between areas. Implant rates yielded an SCV of 0.04 (p-value = 0.09). The p-value shows the probability that an SCV of this size (or higher) would be observed by chance if only random variation existed and therefore, there is weak evidence of geographical variation beyond what would be expected at random. No adjustment has been made for area-specific demographic characteristics that may impact the rates of implantation such as age and sex. Therefore, these results should be interpreted with caution.

Figure 2.7 Number of patients receiving MCS as a bridge to heart transplantation per million population (pmp) in the UK, 1 April 2021 – 31 March 2022, by country/NHS region of patient residence



Country/ NHS region	Patients (pmp)				
North East and	29	(3.4)			
North West Midlands	17 16	(2.4) (1.5)			
East of England London	15 16	(2.3) (1.8)			
South East South West	17 7	(1.9) (1.2)			
England Isle of Man Channel Islands	117 0 0	(2.1)			
Wales	6	(1.9)			
Scotland	10	(1.8)			
Northern Ireland	1	(0.5)			
TOTAL ¹	135	(2.0)			
¹ Implants include 1 r	ecinient w	hasa			

¹ Implants include 1 recipient whose postcode was unknown and excludes 1 recipient who resides in the Republic of Ireland

ADULT LONG-TERM DEVICES USED FOR BRIDGING

Activity



3 Long-term bridging devices in adults

This section considers all patients who received a <u>long-term device</u> as a bridge to heart transplantation. All figures and tables in this section present information on a per implant basis as opposed to per patient, so if a single patient had more than one long-term device implantation in the time period, each is included. If a patient had a previous <u>short-term</u> device, their long-term device is included.

Figure 3.1 shows the total number of long-term bridging device implants in the last ten years nationally by device type (long-term VAD or <u>TAH</u>). During 2021/2022 there were 45 implants reported: 18% fewer than 2020/2021. In total there were 24 TAH implants. **Figure 3.2** shows the trend per centre, with Birmingham and Manchester having the most marked increases in implants during the start of the decade, but numbers have fallen in recent years for most centres. Last year's activity is shown by centre and device type in **Figure 3.3**. The highest number of implants last year was performed by Harefield and Newcastle.



Figure 3.1 Number of adult long-term bridging device implants in the UK, by financial year and device type, 1 April 2012 to 31 March 2022



Figure 3.2 Number of adult long-term bridging device implants in the UK, by financial year, centre and device type, 1 April 2012 to 31 March 2022

Financial year ■ Long-term VAD □ Total Artifical Heart



Figure 3.4 shows the <u>INTERMACS patient profile</u> at time of long-term VAD implantation for patients implanted during 2020/2021. Level 3 (stable but inotrope dependent) was the most common, followed by level 2 (progressive decline) and level 4 (recurrent advanced heart failure).





ADULT LONG-TERM DEVICES USED FOR BRIDGING

Patient Outcomes



4 Outcomes for adult patients with long-term bridging devices

This section only considers patients whose first long-term device was a <u>continuous-flow</u> long-term VAD. Patients who received prior short-term support are included in this section. All patients had follow-up information available (as detailed in **Table A1.3** in <u>Appendix A1</u>). Patients are analysed on a per-patient basis and patients who received a Total Artificial Heart are considered separately in <u>Section 4.7</u>.

4.1 Demographic characteristics

The demographic characteristics of the 334 patients analysed in this section are shown below in **Table 4.1**, by centre and overall. Nationally, 79% of patients were male, the median age was 54 years and 50% of patients received a Heartmate III device. Note that for some characteristics, such as BMI, there is a high proportion of missing data, and some summary statistics are not presented for Glasgow due to small numbers. Due to rounding, percentages may not add up to 100.

Table 4.1 Char	acteristics of adult patie	nts who receive	d a first long	-term VAD bet	ween 1 April 20	17 and 31 Mar	ch 2021, by ce	ntre
		Birmingham N (%)	Glasgow N (%)	Harefield N (%)	Manchester N (%)	Newcastle N (%)	Papworth N (%)	Total N (%)
Number of patients		77	4	83	53	78	39	334
Age at implant (years)	Median (IQR) ¹ Missing	56 (50-62) 0	- 0	53 (45-57) 0	53 (44-58) 0	55 (45-60) 0	54 (44-59) 0	54 (46-60) 0
Sex	Male Female	62 (81) 15 (19)	4 (100) 0 (0)	68 (82) 15 (18)	43 (81) 10 (19)	63 (81) 15 (19)	25 (64) 14 (36)	265 (79) 69 (21)
Primary disease	Dilated cardiomyopathy	37 (48)	4 (100)	49 (59)	38 (72)	32 (41)	18 (46)	178 (53)
	Ischaemic heart disease	32 (42)	0 (0)	27 (33)	10 (19)	31 (40)	20 (51)	120 (36)
	Congenital heart disease	1 (1)	0 (0)	2 (2)	1 (2)	11 (14)	0 (0)	15 (4)
	Hypertrophic cardiomyopathy	0 (0)	0 (0)	2 (2)	1 (2)	3 (4)	1 (3)	7 (2)
	Restrictive	0 (0)	0 (0)	1 (1)	0 (0)	0 (0)	0 (0)	1 (0)
	Valvular heart disease	0 (0)	0 (0)	1 (1)	3 (6)	0 (0)	0 (0)	4 (1)

Table 4.1 Chara	acteristics of adult patie	ents who receive	ed a first long	J-term VAD betw	veen 1 April 2	017 and 31 Mar	ch 2021, by ce	entre
		Birmingham N (%)	Glasgow N (%)	Harefield N (%)	Manchester N (%)	Newcastle N (%)	Papworth N (%)	Total N (%)
	Infiltrative heart muscle disease	2 (3)	0 (0)	1 (1)	0 (0)	0 (0)	0 (0)	3 (1)
	Other	4 (5)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	4 (1)
	Unknown	1 (1)	0 (0)	0 (0)	0 (0)	1 (1)	0 (0)	2 (1)
INTERMACS patient profile	1. Critical cardiogenic shock	11 (14)	0 (0)	17 (20)	6 (11)	7 (9)	0 (0)	41 (12)
	 Progressive decline Stable but inotrope	13 (17)	4 (100)	48 (58)	11 (21)	10 (13)	4 (10)	90 (27)
	dependent	45 (58)	0 (0)	16 (19)	24 (45)	29 (37)	22 (56)	136 (41)
	4. Recurrent advanced heart failure	8 (10)	0 (0)	2 (2)	11 (21)	32 (41)	10 (26)	63 (19)
	 5. Exertion intolerant 6. Exertion limited 7. Advanced NYHA Class 3 	0 (0) 0 (0) 0 (0)	0 (0) 0 (0) 0 (0)	0 (0) 0 (0) 0 (0)	1 (2) 0 (0) 0 (0)	0 (0) 0 (0) 0 (0)	0 (0) 3 (8) 0 (0)	1 (0) 3 (1) 0 (0)
Pre-implant BMI	Median (IQR) ¹	27 (25-29)	-	27 (24-30)	26 (23-29)	27 (24-30)	26 (23-29)	27 (24-30)
	Missing	7	0	23	6	21	15	72
Pre-implant creatinine	Median (IQR) ¹	108 (88-136)	-	100 (77-123)	86 (72-110)	117 (104-138)	108 (88-153)	107 (81-132)
	Missing	5	1	0	0	13	5	24
Pre-implant bilirubin	Median (IQR) ¹	16 (11-26)	-	17 (12-30)	19 (10-30)	16 (12-35)	12 (8-19)	16 (11-29)
	Missing	8	1	4	1	23	13	50
LVAD device name	Heartmate II	0 (0)	1 (25)	0 (0)	0 (0)	0 (0)	0 (0)	1 (0)
	Heartware	0 (0)	1 (25)	79 (95)	0 (0)	78 (100)	8 (21)	166 (50)
	HeartMate III	77 (100)	2 (50)	3 (4)	53 (100)	0 (0)	31 (79)	166 (50)
	Reliant Heart aVAD	0 (0)	0 (0)	1 (1)	0 (0)	0 (0)	0 (0)	1 (0)
Device configuration	LVAD	76 (99)	4 (100)	83 (100)	53 (100)	73 (94)	39 (100)	328 (98)
	RVAD	1 (1)	0 (0)	0 (0)	0 (0)	5 (6)	0 (0)	6 (2)
Conjunction ST RVAD support	No	61 (79)	3 (75)	75 (90)	44 (83)	67 (86)	37 (95)	287 (86)
	Yes	16 (21)	1 (25)	8 (10)	9 (17)	11 (14)	2 (5)	47 (14)
Previous transplant	No	77 (100)	4 (100)	83 (100)	53 (100)	78 (100)	39 (100)	334 (100)

Table 4.1 (Characteristics of ad	lult patients who receive	d a first long	term VAD bet	ween 1 April 20	17 and 31 Marc	ch 2021, by cei	ntre
		Birmingham N (%)	Glasgow N (%)	Harefield N (%)	Manchester N (%)	Newcastle N (%)	Papworth N (%)	Total N (%)
Previous ST suppo	ort No Yes	64 (83) 13 (17)	4 (100) 0 (0)	57 (69) 26 (31)	50 (94) 3 (6)	72 (92) 6 (8)	35 (90) 4 (10)	282 (84) 52 (16)
¹ Medians not preser	nted for centres with less	s than 10 patients						

4.2 Duration on support

Table 4.2 and **Figure 4.1** show the <u>median</u> duration on long-term VAD support for patients implanted in the analysis period, both nationally and by centre. The <u>medians</u> and <u>confidence intervals</u> are estimated using the <u>Kaplan-Meier method</u> since not all patients have come to the end of their support, and this method allows these (censored) patients to be included in the analysis. Transplant, explant or death signify end of support. If a patient was subsequently given a short-term device, only time on the long-term device is counted. Nationally, the <u>median</u> time on long-term support was 1101 days (3 years). The duration varies significantly across centres (log-rank p<0.0001) with medians not estimable for Birmingham and Manchester as insufficient numbers of patients had come to the end of support at time of analysis, or for Glasgow due to small numbers of patients.

Table 4.2	Median duration on long implanted between 1 Apr	-term VAD sup il 2017 and 31	pport for adult patients March 2021, by centre						
Centre	Number o	of T	ime on support (days)						
	patients	Mediar	(95% <u>confidence interval</u>)						
Birmingham	1 ¹ 77	-	-						
Glasgow ²	4	-	-						
Harefield	83	651	443 - 859						
Mancheste	r ¹ 53	-	-						
Newcastle	78	837	649 - 1025						
Papworth	39	557	387 - 727						
Overall	334	1101	934 - 1268						
 Median duration on support cannot be estimated as insufficient numbers of patients have come to the end of support Median duration on support not presented due to a small number of patients 									

Figure 4.1 Median duration on long-term VAD support for adult patients implanted between 1 April 2017 and 31 March 2021



¹ <u>Median</u> duration on support cannot be estimated

4.3 Rate of transplant listing

Overall

334

Figure 4.2 and Table 4.3 show the rate of transplant listing for patients first implanted between 1 April 2017 and 31 March 2021, by centre. This includes listing on either the super-urgent, urgent or non-urgent heart transplant lists (whichever occurred first). Overall, 26% of patients were on the list at implant, but this proportion ranged significantly across centres (chi-squared p<0.0001). The proportion still on a VAD at one year and not listed was 25% overall and was highest at Birmingham (53%). Note that Glasgow's figures are based on just four patients.



Figure 4.2 Heart transplant listing status with respect to long-term VAD implantation for adult patients receiving a first device 1 April 2017 – 31 March 2021, by centre and overall

□ Not listed within 1 year □ Died/Explanted within 1 year without listing

Table 4.3 Heart transplant listing status with respect to long-term VAD implantation for adult patients receiving a first device 1 April 2017 - 31 March 2021, by centre and overall Centre Number of Listed at VAD Listed within Not listed Died/explanted patients implant 1 year within 1 year within 1 year without listing N (%) Ν N (%) N (%) N (%) Birmingham 77 15 (19) 11 (14) 41 (53) 10 (13) Glasgow 4 0 (0) 2 (50) 0 (0) 2 (50) Harefield 83 12 (14) 29 (35) 21 (25) 21 (25) 25 (47) 16 (30) Manchester 53 11 (21) 1 (2) Newcastle 78 30 (38) 42 (54) 6 (8) 0 (0) Papworth 39 5 (13) 27 (69) 4 (10) 3 (8)

87 (26)

127 (38)

83 (25)

37 (11)

4.4 Competing outcomes

Whilst on VAD support, patients are susceptible to different outcomes. Death on support, transplant, and explant without transplant (with or without recovery) are all possible outcomes. **Figure 4.3** shows the <u>cumulative incidence</u> of each of these outcomes occurring from time of implant, for the cohort of patients receiving a first long-term device between 1 April 2017 and 31 March 2021. This is calculated using the <u>Aalen-Johansen method</u> to account for <u>competing outcomes</u>. At time zero, 100% of patients are on support and as time passes, patients either experience death on support, transplant or explant without transplant. At any time point, the proportion alive on support plus the proportions experiencing each outcome will sum to 100%. Deaths after transplant are not counted and these patients are classed simply as transplanted. Patients who were explanted and died within 30 days of explant are counted and any such patients are classed simply as explanted. If a patient is moved from one long-term device to another without a period free of support, this counts as time on support.

For this cohort, nationally, at one year post- long-term implant, 77% of patients remained alive on support, 17% died on support, 5% received a heart transplant and 1% had their device explanted without transplant or immediate re-implant. At two years, the incidence of transplantation rose to 11%, however so did the incidence of death, to 24%, with the remaining 62% of patients still alive on support and 4% explanted. At three years, the incidence of death on support rose to 31%, the incidence of transplant rose to 13%, 5% had been explanted and 51% remained alive on support.

Figure 4.3 Cumulative incidence of transplant, death and explant for adult patients implanted with a first long-term VAD, 1 April 2017 to 31 March 2021



Table 4.4a and **Table 4.4b** shows the centre-specific one-year and three-year estimates for each competing outcome, respectively. The incidence of each outcome varies across centres.

Table 4.4a	Cumulative incide patients implanted	ence of each ou d with a first lor	tcome at 1 ye ng-term VAD,	ar, by centre, fo 1 April 2017 to	or adult 31 March 2021
Centre	Number of patients	Transplanted	Explanted	Alive on	Death on
	patiente	%	%	%	%
Birmingham	77	0	1	83	16
Glasgow	4	0	0	50	50
Harefield	83	1	1	68	29
Manchester	53	0	0	96	4
Newcastle	78	8	3	74	15
Papworth	39	28	0	64	8
Overall	334	5	1	77	17

Table 4.4bCumulative incidence of each outcome at 3 years, by centre, for adult
patients implanted with a first long-term VAD, 1 April 2017 to 31 March 2021

Centre	Number of patients	Transplanted	Explanted	Alive on support	Death (before transplant)
	-	%	%	%	%
Birmingham	77	1	1	69	28
Glasgow	4	25	0	25	50
Harefield	83	6	6	36	53
Manchester	53	9	7	77	7
Newcastle	78	19	8	39	34
Papworth	39	48	0	28	24
Overall	334	13	5	51	31

4.5 Survival on support

This section presents <u>Kaplan-Meier</u> estimates of <u>patient survival during long-term VAD</u> <u>support</u>. All patients who received a <u>long-term VAD</u> were included, whether this was their first VAD or after a <u>short-term VAD</u>. Survival time is calculated as the time on long-term VAD support only, and death on long-term support (including patients who died within 30 days of explant) is the only event considered. Times were censored if the patient had their long-term VAD explanted, received a transplant from support or were alive on support at last report. This differs from the analysis in <u>Section 4.6</u> which considers a patient's overall survival from the point of implant and includes time after explant or transplant, as well as time on other subsequent devices.

Figure 4.4 shows the unadjusted survival curve on long-term support for the UK VAD population. **Table 4.5** shows the unadjusted centre-specific <u>survival on support rates</u> at 30 days, 1 year and 3 years respectively. The national survival on support rates were 92.8%, 84.0%, and 66.7% at 30 days, 1 year, and 3 years respectively. There was a significant

difference between unadjusted survival on support at each time point between centres for 30-day (log-rank p=0.02) and for 1 and 3-year (log-rank p<0.0001).

Figure 4.4 Patient survival during long-term VAD support for adult patients implanted 1 April 2017 – 31 March 2021



Table 4.5	Unadjusted sur	vival du	ring long-term	VAD suppo	ort, by centre, 1	April 2017 to	o 31 March 2021			
Centre	No. of patients	% 30 (!	day survival 95% CI)	% 1 y })	/ear survival 95% CI)	% 3 <u>y</u> (∕ear survival 95% CI)			
Birmingham Glasgow ¹ Harefield Manchester Newcastle Papworth	77 4 83 53 78 39	92.1 - 85.5 98.1 96.1 97.4	(83.2 - 96.4) - (75.9 - 91.5) (87.4 - 99.7) (88.4 - 98.7) (83.2 - 99.6)	86.7 71.7 96.2 83.7 91.2	(76.6 - 92.6) - (60.5 - 80.2) (85.7 - 99.0) (73.1 - 90.4) (74.7 - 97.1)	73.5 - 45.3 92.8 59.7 79.0	(61 - 82.5) - (32.7 - 57.0) (78.2 - 97.7) (45.0 - 71.6) (58.1 - 90.3)			
Number at risl Log-rank p-v UK	k alue 334	307 0.02 92.8	(89.4 - 95.1)	255 <0.0001 84.0	(79.5 - 87.6)	103 <0.0001 66.7	(60.5 - 72.2)			
¹ Survival rates	¹ <u>Survival rates</u> for groups with fewer than 10 patients are not presented due to small numbers									

4.6 Patient survival from implant

Overall survival rates from the point of first long-term VAD implant, not censored for transplant or explant, are presented in this section. Survival data from the <u>UK Transplant</u> <u>Registry</u> were incorporated, as was any additional survival time recorded on the <u>VAD</u> <u>Database</u> for patients who were explanted. Time on additional devices is also counted, so for example if a patient had a period of long-term support, then a period of short-term support, all this time is included. Times are censored if the patient was still alive at last known event or follow-up.

<u>Survival rates</u> are calculated using the <u>Kaplan-Meier method</u> and are based on those patients recorded as receiving a first device between 1 April 2017 and 31 March 2021. In **Tables 4.6-4.8** and **Figures 4.5-4.7** the centre-specific <u>survival rates</u> are presented for 30 days, 1 year and 3 years respectively. The national <u>survival rates</u> were 92.5%, 82.0%, and 66.0% at 30 days, 1 year, and 3 years respectively.

The centre-specific rates are not adjusted for differences in risk between patients treated at different centres. These differences can be seen at the start of this section in **Table 4.1** which displays the baseline characteristics of the 334 patients included in this analysis (including the number of patients who received prior <u>short-term support</u>). The survival rates are compared with the national rate and the uncertainty around this rate using <u>funnel plots</u> where outliers appear outside of the funnels; rates above the funnel are significantly high while rates below the funnel are significantly low. Rates for Glasgow are not included due to low numbers.

The <u>unadjusted</u> centre-specific 30-day <u>survival rates</u> for patients implanted in the recent period are shown in **Table 4.6** and **Figure 4.5**. The rate for Manchester was 98.1% and exceeded the upper 95% <u>confidence limit</u>, providing some evidence of higher survival at this centre.



Figure 4.5 Unadjusted 30-day patient survival rates after long-term VAD implant for adult patients implanted 1 April 2017 – 31 March 2021, by centre



The <u>unadjusted</u> centre-specific 1-year <u>survival rates</u> are shown in **Table 4.7** and **Figure 4.6**. The centre-specific rates ranged between 69.7% and 96.2% with Manchester having a higher unadjusted rate than the national rate, and there being some evidence of a lower rate at Harefield.



Figure 4.6 Unadjusted 1-year survival rates after long-term VAD implant for adult patients implanted 1 April 2017 – 31 March 2021, by centre



The <u>unadjusted</u> centre-specific 3-year <u>survival rates</u> are shown in **Table 4.8** and **Figure 4.7**. The rate for Manchester exceeded the upper 99.8% <u>confidence limit</u>, indicating a higher unadjusted rate than the national rate. The rate for Harefield fell below the lower 99.8% <u>confidence limit</u>, indicating a lower unadjusted rate than the national rate.



Figure 4.7 Unadjusted 3-year patient survival rates after long-term VAD implant for adult patients implanted 1 April 2017 – 31 March 2021, by centre



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4.7 TAH outcomes

Table 4.9 shows the outcomes of the 24 patients who received a <u>TAH</u> as a bridge to transplant in the time period. All patients are considered, including those who received other MCS prior to the TAH and those who received a TAH post-transplant. Two centres have used TAH in the time period. **Table 4.10** shows the national 30-day and 1-year post-implant <u>survival rates</u> for these patients. The 30-day rate was 70.6% but this fell to 14.1% at 1-year, however care should be used when interpreting this rate due to the small cohort the numbers are based on.

Table 4.9	Outcomes of TAH recipients, by implant centre, 1 April 2012 to 31 March 2022				
Centre	Number of	Alive on	Died not on	Died on	Survived to
	patients	support	list	list	transplant
	N	N (%)	N (%)	N (%)	N (%)
Harefield	23	0 (0)	10 (43)	3 (13)	10 (43)
Newcastle	1	0 (0)	0 (0)	1 (100)	0 (0)
Overall	24	0 (0)	10 (42)	4 (17)	10 (42)

Table 4.10Patient survival rates after TAH implant, 1 April 2012 to 31 March 2022				
Number of patients	% 30-day survival (95% CI)	% 1-year survival (95% CI)		
24	70.6 (48.0 – 84.8)	14.1 (3.5 – 31.7)		

ADULT SHORT-TERM DEVICES USED FOR BRIDGING

Activity



5 Short-term bridging devices in adults

This section considers all patients who received <u>short-term</u> support as a bridge to heart transplantation. All figures and tables present information on a per implant basis as opposed to per patient, so if a single patient had more than one short-term device implant in the time period, each one is included.

Figure 5.1 shows the total number of short-term bridging device implants in the last ten years nationally by device type (ECMO or short-term VAD). During 2021/2022 there were 95 implants: 12% less than 2020/2021. Since 2014/2015 there have been more short-term VAD implants than ECMO procedures, however, across the decade around a quarter of the short-term VAD implants were reported to have involved some form of conjunction ECMO (either transient or ongoing). **Figure 5.2** shows the trend per centre, with Papworth showing an increasing trend over the decade while Birmingham and Harefield's activity has recently decreased. Last year's implant activity is shown by centre and device type in **Figure 5.3**. The highest number of short-term implants was performed by Harefield and Papworth.







Figure 5.2 Number of adult short-term bridging device implants in the UK, by financial year, centre and device type, 1 April 2012 to 31 March 2022


Figure 5.3 Number of adult short-term bridging device implants in the UK, by centre and device type, 1 April 2021 to 31 March 2022

Figure 5.4 shows the <u>INTERMACS patient profile</u> at receipt of short-term support for patients implanted during 2021/2022. Most patients were profile 1 (critical cardiogenic shock).





ADULT SHORT-TERM DEVICES USED FOR BRIDGING

Patient Outcomes



6 Outcomes of adult patients receiving short-term bridging devices

This section only considers patients who received a <u>short-term device</u> (including <u>ECMO</u>) as a bridge to transplant. Patients who received prior long-term support are included, apart from in <u>Section 6.5</u> which considers patients who received short-term support only. Patients are analysed on a per-patient basis, as opposed to per implant.

6.1 Demographic characteristics

The demographic characteristics of the 346 patients analysed in **Sections 6.2-6.4** are shown below in **Table 6.1**, by centre and overall. Nationally, 74% of patients were male, the median age was 47 years, 34% of patients received peripheral ECMO and 18% were bridged to a long-term device. Note that for some characteristics, particularly pre-implant lactate, there is a high proportion of missing data. Also, due to rounding, percentages may not add up to 100.

Table 6.1 Chara	acteristics of adult patie	nts who receive	ed short-term	bridging supp	ort between 1 A	April 2017 and	31 March 2021	, by centre
		Birmingham N (%)	Glasgow N (%)	Harefield N (%)	Manchester N (%)	Newcastle N (%)	Papworth N (%)	Total N (%)
Number of patients		73	41	91	55	41	45	346
Recipient age (years)	Median (IQR) Missing	49 (35-57) 0	46 (39-55) 0	50 (34-56) 1	44 (33-54) 0	48 (29-60) 0	44 (31-51) 0	47 (34-56) 1
Recipient sex	Male Female	55 (75) 18 (25)	30 (73) 11 (27)	70 (77) 21 (23)	37 (67) 18 (33)	30 (73) 11 (27)	34 (76) 11 (24)	256 (74) 90 (26)
Primary disease	Dilated cardiomyopathy	38 (52)	15 (37)	54 (59)	30 (55)	21 (51)	25 (56)	183 (53)
	Ischaemic heart disease	16 (22)	14 (34)	25 (27)	16 (29)	8 (20)	14 (31)	93 (27)
	Congenital heart	3 (4)	0 (0)	1 (1)	0 (0)	4 (10)	0 (0)	8 (2)
	Hypertrophic	0 (0)	0 (0)	2 (2)	1 (2)	1 (2)	2 (4)	6 (2)
	Restrictive	0 (0)	0 (0)	1 (1)	0 (0)	0 (0)	0 (0)	1 (0)
	Valvular heart disease	2 (3)	1 (2)	2 (2)	1 (2)	0 (0)	1 (2)	7 (2)

Table 6.1 Chara	cteristics of adult patie	nts who receiv	ved short-term	bridging supp	oort between 1	April 2017 and	31 March 2021	, by centre
		Birmingham N (%)	Glasgow N (%)	Harefield N (%)	Manchester N (%)	Newcastle N (%)	Papworth N (%)	Total N (%)
	Infiltrative heart muscle disease	1 (1)	0 (0)	2 (2)	0 (0)	1 (2)	0 (0)	4 (1)
	Other	8 (11)	7 (17)	3 (3)	7 (13)	6 (15)	3 (7)	34 (10)
	Unknown	5 (7)	4 (10)	1 (1)	0 (0)	0 (0)	0 (0)	10 (3)
INTERMACS patient	1. Critical cardiogenic shock	49 (67)	28 (68)	70 (77)	46 (84)	23 (56)	28 (62)	244 (71)
	 Progressive decline Stable but inotrope	23 (32)	12 (29)	20 (22)	6 (11)	16 (39)	15 (33)	92 (27)
	dependent	1 (1)	1 (2)	0 (0)	1 (2)	0 (0)	1 (2)	4 (1)
	4. Recurrent	0 (0)	0 (0)	1 (1)	0 (0)	2 (5)	1 (2)	4 (1)
	 5. Exertion intolerant 6. Exertion limited 7. Advanced NYHA Class 3 	0 (0) 0 (0) 0 (0)						
	Unknown	0 (0)	0 (0)	0 (0)	2 (4)	0 (0)	0 (0)	2 (1)
First device implanted	Percutaneous VAD Ventricular assist (Centrimag)	25 (34) 29 (40)	0 (0) 13 (32)	46 (51) 2 (2)	0 (0) 37 (67)	5 (13) 0 (0)	1 (2) 30 (67)	77 (22) 111 (32)
	Peripheral ECMO only	14 (19)	25 (61)	35 (38)	9 (16)	26 (65)	10 (22)	119 (34)
	Central ECMO only	5 (7)	3 (7)	8 (9)	9 (16)	9 (23)	4 (9)	38 (11)
Previous long-term	No	69 (95)	41 (100)	85 (93)	55 (100)	36 (88)	44 (98)	330 (95)
support	Yes	4 (5)	0 (0)	6 (7)	0 (0)	5 (12)	1 (2)	16 (5)
Bridged to long-term	No	60 (82)	41 (100)	56 (62)	52 (95)	35 (85)	41 (91)	285 (82)
support	Yes	13 (18)	0 (0)	35 (38)	3 (5)	6 (15)	4 (9)	61 (18)
Pre-implant creatinine	Median (IQR)	125 (93-184)	159 (111-215)	125 (91-176)	119 (101-136)	126 (84-197)	116 (82-162)	125 (93-178)
	Missing	3	11	3	23	33	5	78
Pre-implant bilirubin	Median (IQR)¹	30 (18-49)	16 (8-30)	27 (16-45)	22 (13-36)	-	31 (17-36)	25 (14-43)
	Missing	4	14	5	29	41	12	105
Pre-implant lactate	Median (IQR)¹	3 (2-7)	4 (1-6)	4 (2-6)	-	-	-	3 (2-6)
	Missing	40	30	62	53	40	41	266

Birmingham N (%)Glasgow N (%)Harefield N (%)Manchester N (%)Newcastle N (%)Papworth N (%)ToPre-implant cardiac arrestNo Yes59 (81) 14 (19)23 (56) 18 (44)77 (85) 14 (15)39 (71) 16 (29)29 (71) 12 (29)32 (71) 32 (71)259 (259 (87 (2000)Pre-implant intubation and ventilationNo Yes56 (77) 17 (23)29 (71) 12 (29)57 (63) 34 (37)34 (62) 21 (38)17 (41) 24 (59)34 (76) 11 (24)227 (119 (Pre-implant repair Pre-implant repairNo Yes71 (97) 38 (93)83 (91) 83 (91)47 (85) 47 (85)26 (63) 26 (63)36 (80) 301 (<u> </u>					<u> </u>	
Pre-implant cardiac arrest No Yes 59 (81) 14 (19) 23 (56) 18 (44) 77 (85) 14 (15) 39 (71) 16 (29) 29 (71) 12 (29) 32 (71) 13 (29) 259 (87 (2 87 (2 87 (2 87 (2 9)) Pre-implant intubation and ventilation No Yes 56 (77) 17 (23) 29 (71) 12 (29) 57 (63) 34 (37) 34 (62) 21 (38) 17 (41) 24 (59) 34 (76) 11 (24) 227 (119 (2) Pre-implant renal No 71 (97) 38 (93) 83 (91) 47 (85) 26 (63) 36 (80) 301 (Birmingham N (%)	Glasgow N (%)	Harefield N (%)	Manchester N (%)	Newcastle N (%)	Papworth N (%)	Total N (%)
arrest Yes 14 (19) 18 (44) 14 (15) 16 (29) 12 (29) 13 (29) 87 (2) Pre-implant intubation No 56 (77) 29 (71) 57 (63) 34 (62) 17 (41) 34 (76) 227 (20) and ventilation Yes 17 (23) 12 (29) 34 (37) 21 (38) 24 (59) 11 (24) 119 (20) Pre-implant repair No 71 (97) 38 (93) 83 (91) 47 (85) 26 (63) 36 (80) 301 (20)	Pre-implant cardiac	No	59 (81)	23 (56)	77 (85)	39 (71)	29 (71)	32 (71)	259 (75)
Pre-implant intubation No 56 (77) 29 (71) 57 (63) 34 (62) 17 (41) 34 (76) 227 (10 (21) and ventilation Yes 17 (23) 12 (29) 34 (37) 21 (38) 24 (59) 11 (24) 119 (119 (21) Pre-implant repair No 71 (97) 38 (93) 83 (91) 47 (85) 26 (63) 36 (80) 301 (arrest	Yes	14 (19)	18 (44)	14 (15)	16 (29)	12 (29)	13 (29)	87 (25)
and ventilation Yes 17 (23) 12 (29) 34 (37) 21 (38) 24 (59) 11 (24) 119 (Pre-implant repair No 71 (97) 38 (93) 83 (91) 47 (85) 26 (63) 36 (80) 301 (Pre-implant intubation	No	56 (77)	29 (71)	57 (63)	34 (62)	17 (41)	34 (76)	227 (66)
Pre-implant renal No 71 (97) 38 (93) 83 (91) 47 (85) 26 (63) 36 (80) 301 (and ventilation	Yes	17 (23)	12 (29)	34 (37)	21 (38)	24 (59)	11 (24)	119 (34)
	Pre-implant renal	No	71 (97)	38 (93)	83 (91)	47 (85)	26 (63)	36 (80)	301 (87)
replacement therapy Yes 2 (3) 3 (7) 8 (9) 8 (15) 15 (37) 9 (20) 45 (4)	replacement therapy	Yes	2 (3)	3 (7)	8 (9)	8 (15)	15 (37)	9 (20)	45 (13)

6.2 Duration on support

Table 6.2 and **Figure 6.1** show the <u>median</u> duration on short-term support for patients implanted in the analysis period, both nationally and by centre. The <u>medians</u> and <u>confidence intervals</u> are estimated using the <u>Kaplan-Meier method</u>. Transplant, explant, death or transfer to a long-term device signify end of short-term support. If a patient went from <u>ECMO</u> to short-term VAD, all this time is counted. Nationally, the <u>median</u> time on support was 13 days and ranged from 5 days at Glasgow to 30 days at Papworth (log-rank p<0.0001).

Table 6.2	Median duration on short- patients implanted betwee	term bridging de n 1 April 2017 a	evice support for adult nd 31 March 2021, by centre
Centre	Number of	Time	e on support (days)
	patients	<u>Median</u>	(95% confidence interval)
Birmingham	73	12	9 - 15
Glasgow	41	5	4 - 6
Harefield	91	14	10 - 18
Manchester	55	23	14 - 32
Newcastle	41	7	5 - 9
Papworth	45	30	16 - 44
Overall	346	13	11 - 15

Figure 6.1 Median duration on short-term bridging device support for adult patients implanted between 1 April 2017 and 31 March 2021



6.3 Rate of transplant listing

Figure 6.2 and **Table 6.3** show the rate of transplant listing for patients first implanted between 1 April 2017 and 31 March 2021, by centre. This includes listing on the superurgent, urgent or non-urgent heart transplant lists (whichever occurred first) and considers time on long-term support if bridged to a long-term device. Overall, 19% of patients were on the list at short-term implant, which was a smaller proportion than that observed for long-term implants (26%). This proportion ranged between 7% at Manchester to 24% at Papworth (chi-squared p=0.2). The proportion that died or were explanted within 1 month without listing was 42% overall and ranged significantly across centres (chi-squared p<0.0001).



Figure 6.2 Heart transplant listing status with respect to short-term device implantation for adult patients receiving a first bridging device 1 April 2017 – 31 March 2021, by centre and overall

□ Not listed within 1 month □ Died/Explanted within 1 month without listing

Table 6.3 Heart transplant listing status with respect to short-term device implantation for adult patients receiving a first bridging device 1 April 2017 – 31 March 2021, by centre and overall

Centre	Number of patients	Listed before VAD	Listed within 1 month	Not listed within 1 month	Died/explanted within 1 month without listing
	N	N (%)	N (%)	N (%)	N (%)
Birmingham	73	17 (23)	20 (27)	9 (12)	27 (37)
Glasgow	41	8 (20)	4 (10)	1 (2)	28 (68)
Harefield	91	18 (20)	23 (25)	23 (25)	27 (30)
Manchester	55	4 (7)	12 (22)	10 (18)	29 (53)
Newcastle	41	8 (20)	9 (22)	1 (2)	23 (56)
Papworth	45	11 (24)	11 (24)	12 (27)	11 (24)
Overall	346	66 (19)	79 (23)	56 (16)	145 (42)

6.4 Competing outcomes

Whilst on short-term support, patients are susceptible to different outcomes. Death on support, transplant, transfer to long-term support and explant without transplant are all possible outcomes. **Figure 6.3** shows the <u>cumulative incidence</u> of each of these outcomes occurring from time of implantation, for the cohort of adult patients receiving a first short-term device between 1 April 2017 and 31 March 2021. This is calculated using the <u>Aalen-Johansen method</u> to account for <u>competing outcomes</u>. At time zero, 100% of patients are on support and as time passes, patients either experience death on support, transplant, transferral to long-term support or explant without transplant. At any time point, the proportion alive on support plus the proportions experiencing each outcome will sum to 100%. Deaths after transplant are not counted and these patients are classed simply as transplanted. Patients who were explanted and died within 30 days of explant are counted and succounted and succounted and any such patients are classed simply as explanted. If a patient is moved from one short-term device to another without a period free of support, this counts as time on support.

For this cohort, nationally, one month after receipt of a short-term device, 22% of patients were explanted, 26% died on short-term support, 24% remained alive on support, 15% received a transplant, and 14% were transferred to a long-term device. At two months, there was a small increase in the incidence of each of these events, leading to a reduction in the proportion that remained alive on support, down to 7%.





Table 6.4 shows the centre-specific 30-day estimates for each competing outcome. The incidence of each outcome varies across centres. Note that a patient may be counted as explanted but go on to have further support after a period without support, so this does not always represent recovery.

Table 6.4 C	Cumulative incidence with a first short-term	e of each outco n bridging devic	me at 30 days, ce, 1 April 2017	by centre, for ' to 31 March 2	adult patient 2021	s implanted
Centre	Number of patients	Transplanted	Transferred to LT device %	Explanted %	Alive on support %	Death on support %
Birmingham	73	22	17	13	19	29
Glasgow	41	5	0	41	17	37
Harefield	91	14	30	17	23	16
Manchester	55	11	4	20	33	33
Newcastle	41	12	15	44	0	29
Papworth	45	22	2	9	47	20
Overall	346	15	14	22	24	26

6.5 Patient survival from implant

Overall survival rates from the point of first short-term VAD implant, not censored for transplant or explant, are presented in this section. Survival data from the <u>UK Transplant</u> <u>Registry</u> were incorporated, as was any additional survival time recorded on the <u>VAD</u> <u>Database</u> for patients who were explanted. Patients who received a short-term device as a bridge to long-term support are excluded from this analysis and instead included in <u>Section</u> <u>4.6</u> (as are patients who received prior long-term support). Times are censored if the patient was still alive at last known event or follow-up.

<u>Survival rates</u> are calculated using the <u>Kaplan-Meier method</u> and are based on those patients recorded as receiving a first device between 1 April 2017 and 31 March 2021. In **Tables 6.6-6.8** and **Figures 6.4-6.6** the centre-specific <u>survival rates</u> for implants are presented for 30 days, 90 days and 1 year respectively. The centre-specific rates are not adjusted for potential differences in risk between patients treated at different centres. These differences can be seen in **Table 6.5** which displays the baseline characteristics of the 269 patients included in this analysis. The survival rates are compared with the national rate and the uncertainty around this rate using <u>funnel plots</u> where outliers appear outside of the funnels; rates above the funnel are significantly high while rates below the funnel are significantly low. The demographic characteristics of the 269 patients in this analysis are shown below in **Table 6.5**, by centre and overall. Nationally, 74% of patients were male, the median age was 46 years and 37% of patients received ventricular assist (Centrimag) devices. Note that for some characteristics, particularly pre-implant lactate, there is a high proportion of missing data. Also, due to rounding, percentages may not add up to 100.

Table 6.5 Char	acteristics of patients wl	ho received sh	ort-term bridg	ing support or	nly between 1 A	pril 2017 and 3	31 March 2021	, by centre
		Birmingham N (%)	Glasgow N (%)	Harefield N (%)	Manchester N (%)	Newcastle N (%)	Papworth N (%)	Total N (%)
Number of patients		56	41	50	52	30	40	269
Recipient age (years)	Median (IQR) Missing	44 (32-55) 0	46 (39-55) 0	49 (36-55) 1	44 (34-53) 0	48 (27-58) 0	45 (31-52) 0	46 (33-55) 1
Recipient sex	Male Female	41 (73) 15 (27)	30 (73) 11 (27)	39 (78) 11 (22)	35 (67) 17 (33)	22 (73) 8 (27)	32 (80) 8 (20)	199 (74) 70 (26)
Primary disease	Dilated cardiomyopathy	32 (57)	15 (37)	32 (64)	28 (54)	14 (47)	23 (58)	144 (54)
	Ischaemic heart disease	8 (14)	14 (34)	10 (20)	15 (29)	6 (20)	12 (30)	65 (24)
	Congenital heart disease	2 (4)	0 (0)	0 (0)	0 (0)	3 (10)	0 (0)	5 (2)
	Hypertrophic cardiomyopathy	0 (0)	0 (0)	1 (2)	1 (2)	1 (3)	2 (5)	5 (2)
	Valvular heart disease Infiltrative heart muscle disease	2 (4) 1 (2)	1 (2) 0 (0)	1 (2) 2 (4)	1 (2) 0 (0)	0 (0) 0 (0)	1 (3) 0 (0)	6 (2) 3 (1)
	Other Unknown	7 (13) 4 (7)	7 (17) 4 (10)	3 (6) 1 (2)	7 (13) 0 (0)	6 (20) 0 (0)	2 (5) 0 (0)	32 (12) 9 (3)
INTERMACS patient profile	1. Critical cardiogenic	39 (70)	28 (68)	36 (72)	44 (85)	18 (60)	25 (63)	190 (71)
	2. Progressive decline 3. Stable but inotrope	16 (29) 1 (2)	12 (29) 1 (2)	13 (26) 0 (0)	5 (10) 1 (2)	12 (40) 0 (0)	15 (38) 0 (0)	73 (27) 3 (1)
	4. Recurrent advanced heart failure	0 (0)	0 (0)	1 (2)	0 (0)	0 (0)	0 (0)	1 (0)
	 5. Exertion intolerant 6. Exertion limited 	0 (0) 0 (0)	0 (0) 0 (0)	0 (0) 0 (0)	0 (0) 0 (0)	0 (0) 0 (0)	0 (0) 0 (0)	0 (0) 0 (0)

		Diana in als and	Oleaneur	Llanafiald	Manahaatan	Navyaaatla	Developmenth	Tatal
		Birmingnam N (%)	N (%)	N (%)	Nanchester N (%)	Newcastle N (%)	N (%)	N (%)
	7. Advanced NYHA Class 3	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
	Unknown	0 (0)	0 (0)	0 (0)	2 (4)	0 (0)	0 (0)	2 (1)
First device implanted	Percutaneous VAD Ventricular assist (Centrimag)	19 (34) 25 (45)	0 (0) 13 (32)	32 (64) 0 (0)	0 (0) 35 (67)	3 (10) 0 (0)	0 (0) 27 (68)	54 (20) 100 (37)
	Peripheral ECMO	9 (16)	25 (61)	16 (32)	8 (15)	21 (72)	9 (23)	88 (33)
	Central ECMO	3 (5)	3 (7)	2 (4)	9 (17)	5 (17)	4 (10)	26 (10)
Pre-implant creatinine	Median (IQR) ¹	117 (86-150)	159 (111-215)	138 (95-166)	118 (100-134)	-	115 (80-157)	124 (93-164)
	Missing	2	11	1	23	27	4	68
Pre-implant bilirubin	Median (IQR) ¹	28 (16-49)	16 (8-30)	28 (14-46)	21 (12-57)	-	27 (15-33)	24 (14-43)
	Missing	3	14	2	29	30	11	89
Pre-implant lactate	Median (IQR ¹	3 (2-6)	4 (1-6)	4 (2-7)	-	-	-	3 (2-5)
	Missing	30	30	38	50	29	36	213
Pre-implant cardiac	No	45 (80)	23 (56)	42 (84)	36 (69)	21 (70)	27 (68)	194 (72)
arrest	Yes	11 (20)	18 (44)	8 (16)	16 (31)	9 (30)	13 (33)	75 (28)
Pre-implant intubation and ventilation	No	43 (77)	29 (71)	33 (66)	32 (62)	11 (37)	32 (80)	180 (67)
	Yes	13 (23)	12 (29)	17 (34)	20 (38)	19 (63)	8 (20)	89 (33)
Pre-implant renal	No	55 (98)	38 (93)	46 (92)	44 (85)	19 (63)	31 (78)	233 (87)
replacement therapy	Yes	1 (2)	3 (7)	4 (8)	8 (15)	11 (37)	9 (23)	36 (13)

The <u>unadjusted</u> centre-specific 30-day <u>survival rates</u> for patients receiving short-term support are shown in **Table 6.6** and **Figure 6.4**. The national survival rate was 65.5% and all centre rates were within the 95% confidence limits.



Figure 6.4 Unadjusted 30-day patient survival rates after short-term bridging device implant for adult patients implanted 1 April 2017 – 31 March 2021, by centre



The <u>unadjusted</u> centre-specific 90-day <u>survival rates</u> are shown in **Table 6.7** and **Figure 6.5**. The national survival rate was 53.9% and all centre rates were within the 95% confidence limits.

Table 6.7	90-day patient survival rates after short-term bridging device implant for adult patients implanted 1 April 2017 – 31 March 2021, by centre								
Centre	Number of patients	% 90-day s <u>Una</u>	urvival (95% CI) <mark>adjusted</mark>						
Birmingham	56	56.4	(42.4 - 68.3)						
Glasgow	41	53.7	(37.4 - 67.4)						
Harefield	50	50.0	(35.6 - 62.8)						
Manchester	52	52 56.5 (41.8 - 68.9)							
Newcastle	30 49.3 (28.9 - 66.8)								
Papworth	40	55.0	(38.5 - 68.8)						
UK	269	53.9	(47.7 - 59.7)						
Centre has reached the lower 99.8% confidence limit Centre has reached the lower 95% confidence limit Centre has reached the upper 95% confidence limit Centre has reached the upper 98.8% confidence limit									

Figure 6.5 Unadjusted 90-day patient survival rates after short-term bridging device implant for adult patients implanted 1 April 2017 – 31 March 2021, by centre



The <u>unadjusted</u> centre-specific 1-year <u>survival rates</u> are shown in **Table 6.8** and **Figure 6.6**. The national survival rate was 50.8% and all centre rates were within the 95% confidence limits.

Table 6.8	able 6.8 1-year patient survival rates after short-term bridging device implant for adult patients implanted 1 April 2017 – 31 March 2021, by centre								
Centre	Number of patients	% 1-year su <u>Una</u>	urvival (95% CI) adjusted						
Birmingham	56	52.7	(38.8 - 64.9)						
Glasgow	41	51.2	(35.1 - 65.2)						
Harefield	50	44.0	(30.1 - 57.1)						
Manchester	52 54.4 (39.7 - 67.0)								
Newcastle	30 44.8 (25.0 - 62.9)								
Papworth	40	40 55.0 (38.5 - 68.8)							
UK	269 50.8 (44.6 - 56.7)								
Centre has reached the lower 99.8% confidence limit									
	Centre has reached the upper 95%								
	Centre has reached the upper 95								
	Centre has reached the upper 96.								

Figure 6.6 Unadjusted 1-year patient survival rates after short-term bridging device implant for adult patients implanted 1 April 2017 – 31 March 2021, by centre



ADULT SHORT-TERM DEVICES USED POST-HEART TRANSPLANT

Activity



7 Short-term post-transplant devices in adults

This section considers all adult patients who received <u>short-term support</u> for <u>primary graft</u> <u>dysfunction (PGD)</u>. The International Society for Heart and Lung Transplantation defines severe PGD as the need for mechanical circulatory support post-transplant, therefore the data this section indicate levels of *severe* PGD in the UK heart transplant population. All figures and tables present information on a per transplant basis; if a single patient had more than one transplant, the device type is based on all devices used in that episode. Short-term devices used more than 30 days post-heart transplant (<u>rejection</u>) are excluded (27 recorded in the time period) as are <u>long-term</u> devices used post-transplant (classed as bridging devices).

Figure 7.1a shows the total number of transplants requiring short-term device implants for PGD in the last ten years, nationally, by device type (<u>ECMO</u>, <u>short-term VAD</u> and ECMO and short-term VAD). During 2021/2022 there were 37 transplants with severe PGD: 16% higher than 2020/2021 and 2.2 times higher than in 2012/2013. **Figure 7.2a** shows the trend per centre and **Figure 7.3a** shows last year's activity by centre and device type, indicating that Birmingham had the most transplants requiring support for PGD in 2021/2022, followed by Newcastle.

Figures **7.1b**, **7.2b** and **7.3b** show the proportion of transplants requiring short-term support for PGD out of the total number of adult heart transplants by financial year, financial year and centre, and centre respectively. The denominator of adult heart transplants includes both DBD and DCD heart transplants, any re-transplants as well as any multi-organ heart transplants.





Figure 7.1b Proportion of transplants requiring short-term support for (severe) PGD, out of total number of adult heart transplant by financial year, 1 April 2012 to 31 March 2022











Figure 7.3a Number of adult heart transplants requiring short-term support for (severe) PGD, by centre and device type, 1 April 2021 to 31 March 2022



Figure 7.3b Proportion of transplants requiring short-term support for (severe) PGD, out of total number of adult heart transplants, by centre and device type, 1 April 2021 to 31 March 2022





ADULT SHORT-TERM DEVICES USED POST-HEART TRANSPLANT

Patient Outcomes



8 Outcomes of adult patients receiving short-term devices for PGD

This section analyses patients on a per-patient basis, as opposed to per implant. If a patient was moved from one short-term device to another, this is counted as one observation.

8.1 Demographics characteristics

The transplant characteristics (as reported on the <u>UKTR</u> and <u>VAD database</u>) of the 140 patients analysed in this section are shown in **Table 8.1**, by centre and overall. Nationally, 77% of patients were in hospital pre-transplant, the median age was 48 years and 61% of patients received central ECMO only. The median ischaemia time was 3.7 hours. For some characteristics, due to rounding, percentages may not add up to 100.

Table 8.1 Charac	teristics of adult patient	s receiving sho	rt-term suppo	ort for (severe)	PGD between	1 April 2017 ar	nd 31 March 20	21, by centre
		Birmingham N (%)	Glasgow N (%)	Harefield N (%)	Manchester N (%)	Newcastle N (%)	Papworth N (%)	Total N (%)
Number of patients		36	9	29	23	23	20	140
Urgency at transplant	Non-urgent Urgent Super-urgent	6 (17) 21 (58) 9 (25)	2 (22) 4 (44) 3 (33)	2 (7) 22 (76) 5 (17)	3 (13) 13 (57) 7 (30)	4 (17) 14 (61) 5 (22)	7 (35) 6 (30) 7 (35)	24 (17) 80 (57) 36 (26)
Recipient age at transplant (years)	Median (IQR) ¹ Missing	50 (36-59) 0	- 0	49 (30-56) 0	40 (32-54) 0	37 (32-51) 0	50 (35-57) 0	48 (34-56) 0
Diabetes at registration	No Yes Missing	30 (83) 6 (17) 0 (0)	7 (78) 0 (0) 2 (22)	28 (97) 0 (0) 1 (3)	23 (100) 0 (0) 0 (0)	21 (91) 2 (9) 0 (0)	15 (75) 4 (20) 1 (5)	124 (89) 12 (9) 4 (3)
Recipient primary disease at registration	Coronary heart	5 (14)	2 (22)	2 (7)	8 (35)	0 (0)	6 (30)	23 (16)
	Cardiomyopathy Congenital heart	25 (69) 5 (14)	7 (78) 0 (0)	21 (72) 1 (3)	11 (48) 0 (0)	15 (65) 8 (35)	11 (55) 0 (0)	90 (64) 14 (10)
	Graft failure/Rejection Other	0 (0) 1 (3)	0 (0) 0 (0)	1 (3) 4 (14)	0 (0) 4 (17)	0 (0) 0 (0)	0 (0) 3 (15)	1 (1) 12 (9)

Table 8.1 Charac	teristics of adult par	tients receiving sho	rt-term supp	ort for (severe)	PGD between	1 April 2017 ai	nd 31 March 20	021, by centre
		Birmingham N (%)	Glasgow N (%)	Harefield N (%)	Manchester N (%)	Newcastle N (%)	Papworth N (%)	Total N (%)
Recipient BMI (kg/m²)	Median (IQR) ¹ Missing	27 (24-29) 0	- 0	27 (24-29) 0	26 (23-29) 1	25 (21-28) 0	27 (24-29) 0	27 (23-29) 1
In hospital at transplant	No Yes	8 (22) 28 (78)	2 (22) 7 (78)	7 (24) 22 (76)	3 (13) 20 (87)	6 (26) 17 (74)	6 (30) 14 (70)	32 (23) 108 (77)
lf in hospital, recipient on inotropes	No Yes Unknown	12 (43) 16 (57) 0 (0)	2 (29) 5 (71) 0 (0)	3 (14) 19 (86) 0 (0)	11 (55) 9 (45) 0 (0)	1 (6) 15 (88) 1 (6)	9 (64) 5 (36) 0 (0)	38 (35) 69 (64) 1 (1)
If in hospital, recipient on VAD	None LVAD RVAD BiVAD Missing	17 (61) 4 (14) 0 (0) 7 (25) 0 (0)	4 (57) 1 (14) 0 (0) 2 (29) 0 (0)	17 (77) 5 (23) 0 (0) 0 (0) 0 (0)	10 (50) 4 (20) 0 (0) 6 (30) 0 (0)	11 (65) 4 (24) 0 (0) 1 (6) 1 (6)	6 (43) 1 (7) 2 (14) 5 (36) 0 (0)	65 (60) 19 (18) 2 (2) 21 (19) 1 (1)
lf in hospital, recipient on TAH	No Yes Unknown	28 (100) 0 (0) 0 (0)	6 (86) 0 (0) 1 (14)	20 (91) 2 (9) 0 (0)	20 (100) 0 (0) 0 (0)	16 (94) 0 (0) 1 (6)	14 (100) 0 (0) 0 (0)	104 (96) 2 (2) 2 (2)
If in hospital, recipient on ECMO	No Yes Unknown	27 (96) 1 (4) 0 (0)	6 (86) 1 (14) 0 (0)	19 (86) 3 (14) 0 (0)	20 (100) 0 (0) 0 (0)	14 (82) 2 (12) 1 (6)	12 (86) 2 (14) 0 (0)	98 (91) 9 (8) 1 (1)
lf in hospital, recipient on IABP	No Yes Unknown	28 (100) 0 (0) 0 (0)	3 (43) 4 (57) 0 (0)	22 (100) 0 (0) 0 (0)	18 (90) 2 (10) 0 (0)	16 (94) 0 (0) 1 (6)	14 (100) 0 (0) 0 (0)	101 (94) 6 (6) 1 (1)
Recipient serum creatinine (umol/l)	Median (IQR) ¹ Missing	88 (66-137) 0	- 0	91 (72-110) 0	88 (72-108) 0	131 (88-148) 0	99 (83-113) 0	98 (76-129) 0
Donor cause of death	CVA Trauma Other	27 (75) 2 (6) 7 (19)	9 (100) 0 (0) 0 (0)	27 (93) 1 (3) 1 (3)	18 (78) 4 (17) 1 (4)	16 (70) 2 (9) 5 (22)	13 (65) 3 (15) 4 (20)	110 (79) 12 (9) 18 (13)
Donor age (years)	Median (IQR) ¹ Missing	34 (25-47) 0	- 0	38 (26-47) 0	31 (23-42) 0	32 (26-46) 0	36 (32-45) 0	35 (26-46) 0

					<u>.</u>			
		Birmingham N (%)	Glasgow N (%)	Harefield N (%)	Manchester N (%)	Newcastle N (%)	Papworth N (%)	Total N (%)
Donor BMI (kg/m²)	Median (IQR)¹	26 (23-29)	-	25 (22-27)	23 (22-27)	26 (24-28)	27 (22-29)	26 (23-28)
	Missing	0	0	0	0	0	0	0
Donor past smoker	No	14 (39)	6 (67)	11 (38)	12 (52)	8 (35)	2 (10)	53 (38)
	Yes	22 (61)	3 (33)	17 (59)	11 (48)	13 (57)	18 (90)	84 (60)
	Unknown	0 (0)	0 (0)	1 (3)	0 (0)	2 (9)	0 (0)	3 (2)
Donor:Recipient sex mismatch	RF:DF RF:DM RM:DM RM:DF	12 (33) 1 (3) 22 (61) 1 (3)	1 (11) 0 (0) 8 (89) 0 (0)	10 (34) 2 (7) 14 (48) 3 (10)	5 (22) 2 (9) 12 (52) 4 (17)	4 (17) 4 (17) 14 (61) 1 (4)	2 (10) 2 (10) 14 (70) 2 (10)	34 (24) 11 (8) 84 (60) 11 (8)
Total ischaemia time	Median (IQR)¹	3.5 (2.7-4)	-	5.4 (4.6-5.9)	3 (2.5-3.9)	3.9 (3.3-4.7)	3.9 (3.1-5.4)	3.7 (3-5.2)
(hours)	Missing	2	0	0	0	1	0	3
First device implanted	Ventricular assist (Centrimag)	3 (8)	2 (22)	0 (0)	1 (4)	0 (0)	3 (15)	9 (6)
	Peripheral ECMO only	17 (47)	2 (22)	8 (28)	7 (30)	11 (48)	1 (5)	46 (33)
	Central ECMO only	16 (44)	5 (56)	21 (72)	15 (65)	12 (52)	16 (80)	85 (61)

8.2 Duration on support

Table 8.2 and **Figure 8.1** show the <u>median</u> duration on short-term support for patients implanted in the analysis period, both nationally and by centre. The <u>medians</u> and <u>confidence intervals</u> are estimated using the <u>Kaplan-Meier method</u>. This includes time spent on any short-term device post-transplant so if a patient went from <u>ECMO</u> to short-term <u>VAD</u>, all this time is counted. Nationally, the <u>median</u> time on support was 5 days and was similar across centres.

Table 8.2	Median duration on short- for adult patients implante March 2021, by centre	term device ed between 1	support for (severe) PGD April 2017 and 31			
Centre	Number of	Tim	ne of support (days)			
	patients	<u>Median</u>	(95% confidence interval)			
Birmingham	36	7	3 - 11			
Glasgow ¹	9	-	-			
Harefield	29	5	2 - 8			
Manchester	23	5	3 - 7			
Newcastle	23	5	4 - 6			
Papworth	20	4	3 - 5			
Overall	140	5	5 - 5			
¹ Medians not presented for centres with less than 10 patients						

Figure 8.1 Median duration on short-term device support for (severe) PGD for adult patients implanted between 1 April 2017 and 31 March 2021



Median duration on support not presented for Glasgow due to small numbers

8.3 Patient survival from implant

Table 8.4

This analysis looks at the rate of survival from the point of first short-term device implant for PGD. This uses data from the <u>UK Transplant Registry (UKTR)</u> on post-transplant survival. <u>Survival rates</u> are calculated using the <u>Kaplan-Meier method</u> where times are censored if the patient was still alive at last known follow-up. If the patient was re-transplanted, any subsequent survival time is included. The rates are estimated at 30 days, 90 days and 1 year and are based on the 140 patients recorded as receiving a short-term device for PGD between 1 April 2017 and 31 March 2021. Survival rates are given nationally and for individual centres. Note that the centre-specific rates are unadjusted for potential differences in risk between patients treated at different centres.

The <u>unadjusted</u> 30-day, 90-day and 1-year <u>survival rates</u> for patients in the time period are shown in **Tables 8.3**, **8.4** and **8.5**, respectively. The national rates of survival were 73.6%, 65.0% and 59.9%, respectively.

Table 8.3	30-day patient survival adult patients implanted	rates after short-ter I 1 April 2017 – 31 M	m device implar larch 2021, by c	nt for (severe) PGD for centre	
Centre	Number of patients	Number of deaths	% 30-day : <u>Ur</u>	survival (95% CI) nadjusted	
Birmingham	36	6	83.3	(66.6 - 92.1)	
Glasgow ¹	9	3	-	-	
Harefield	29	11	58.6	(38.8 – 74.0)	
Manchester	23	3	87.0	(64.8 - 95.6)	
Newcastle	23	4	82.6	(60.1 - 93.1)	
Papworth	20	7	60.0	(35.7 - 77.6)	
UK	140	34	73.6	(65.4 - 80.1)	
¹ Survival rates for groups with fewer than 10 patients are not presented due to small numbers					

90-day patient survival rates after short-term device implant for (severe) PGD for

	adult patients implante	d 1 April 2017 – 3	1 March 2021, by	centre	
Centre	Number of patients	Number of deaths	% 90-day <u>L</u>	/ survival (95% CI) <u>Inadjusted</u>	
Birmingham	36	8	77.8	(60.4 - 88.2)	
Glasgow ¹	9	4	-	-	
Harefield	29	13	51.7	(32.5 - 67.9)	
Manchester	23	7	69.6	(46.6 - 84.2)	
Newcastle	23	7	69.6	(46.6 - 84.2)	
Papworth	20	9	55.0	(31.3 - 73.5)	
UK	140	48	65.0	(56.5 - 72.3)	
¹ Survival rates for groups with fewer than 10 patients are not presented due to small numbers					

Table 8.51-year patient survival rates after short-term device implant for (severe) PGD for
adult patients implanted 1 April 2017 – 31 March 2021, by centre

Centre	Number of patients	Number of deaths	% 1-year <u>Ur</u>	survival (95% CI) nadjusted		
Birmingham	36	10	72.0	(54.2 - 83.9)		
Glasgow ¹	9	4	-	-		
Harefield	29	17	41.4	(23.7 - 58.3)		
Manchester	23	8	65.2	(42.3 - 80.8)		
Newcastle	23	8	65.2	(42.3 - 80.8)		
Papworth	20	9	55.0	(31.3 - 73.5)		
UK	140	56	59.9	(51.3 - 67.5)		
¹ Survival rates for groups with fewer than 10 patients are not presented due to small numbers						

PAEDIATRIC DEVICES USED FOR BRIDGING

Activity

9 Mechanical circulatory support in paediatric patients

This section considers all paediatric (aged less than 16 years) patients who received mechanical circulatory support as a bridge to heart transplantation between 1 April 2013 and 31 March 2022, as reported to the <u>VAD Database</u> by 15 November 2022. Note that 50 post-transplant MCS implants were reported in this period, which are excluded from this section. All figures and tables in this activity section present information on a per implant basis as opposed to per patient, so if a single patient had more than one implant in the period, each one is included (see <u>Table A1.5</u> in <u>Appendix A1</u> for details of device histories).

Figure 9.1 shows the total number of bridging device implants each year nationally by device type (VAD and ECMO). During 2021/2022 there were 18 implants: 3 greater than 2020/2021. The highest activity was recorded in 2014/2015. Overall, there were 235 implants, with VAD implants making up 82% (however, approximately 12% of the VAD implants were reported to have involved some form of conjunction ECMO, either transient or ongoing). **Figure 9.2** shows the trend per centre for the two paediatric centres. Last year's activity is shown by centre and device type in **Figure 9.3**.



Figure 9.1 Number of paediatric bridging device implants in the UK, by financial year and device type, 1 April 2013 to 31 March 2022



Figure 9.2 Number of paediatric bridging device implants in the UK, by financial year, centre and device type, 1 April 2013 to 31 March 2022





Figure 9.4 shows the <u>INTERMACS patient profile</u> at implant for paediatric patients implanted during 2021/2022. All patients implanted were either level 1 (critical cardiogenic shock) or level 2 (progressive decline).





PAEDIATRIC DEVICES USED FOR BRIDGING

Patient Outcomes



10 Outcomes of paediatric patients receiving bridging devices

This section considers all paediatric patients who received any type of bridging support between 1 April 2017 and 31 March 2021. Patients are analysed on a per-patient basis, as opposed to per implant. If a patient was moved from one device to a different device, the entire time they were on support is considered (see <u>Table A1.5</u> in <u>Appendix A1</u> for details of device histories).

10.1 Demographic characteristics

The demographic characteristics of the 75 patients who received bridging support in the analysis period are shown below in **Table 10.1**, by centre and overall. Nationally, 53% of patients were female, the median age was 3 and the most common devices were Centrimag and Heartware. Note that for some characteristics, particularly for Newcastle, there is a high proportion of missing data. Also, due to rounding, percentages may not add up to 100.

Table 10.1 Chai 2017	acteristics of paediatric patients wh and 31 March 2021, by centre	no received a bridg	ging device k	oetween 1 April
		Great Ormond Street N (%)	Newcastle N (%)	Total N (%)
Number of patients		39	36	75
Age at implant (years)	Median (IQR)	4 (1-11)	3 (1-10)	3 (1-10)
Recipient sex	Male	21 (54)	14 (39)	35 (47)
	Female	18 (46)	22 (61)	40 (53)
Primary disease	Dilated cardiomyopathy	20 (51)	27 (75)	47 (63)
	Congenital heart disease	2 (5)	5 (14)	7 (9)
	Restrictive cardiomyopathy	5 (13)	0 (0)	5 (7)
	Valvular heart disease	1 (3)	0 (0)	1 (1)
	Other	0 (0)	4 (11)	4 (5)
	Unknown	11 (28)	0 (0)	11 (15)
INTERMACS patient profile	 Critical cardiogenic shock Progressive decline Stable but inotrope dependent Recurrent advanced heart failure Exertion intolerant Exertion limited Advanced NYHA Class 3 	16 (41) 13 (33) 7 (18) 2 (5) 1 (3) 0 (0) 0 (0)	21 (58) 15 (42) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0)	37 (49) 28 (37) 7 (9) 2 (3) 1 (1) 0 (0) 0 (0)
Height (cm) ¹	Median (IQR)	100 (79-132)	-	100 (79-120)
	Missing	16	34	50
Weight (kg) ¹	Median (IQR)	13 (9-21)	-	13 (10-24)
	Missing	15	28	43
Body surface area	Median (IQR)	0.59 (0.43-0.89)	-	0.59 (0.43-0.79)
(m²) ¹	Missing	16	34	50
First device implanted	Berlin Heart Excor	11 (28)	0 (0)	11 (15)
	Heartware	10 (26)	8 (22)	18 (24)
	Centrimag	6 (15)	13 (36)	19 (25)
	Centrimag with BH cannulae	0 (0)	14 (39)	14 (19)
	ECMO only	12 (31)	1 (3)	13 (17)
¹ Medians not presented	for centres with less than 10 observations	reported		

10.2 Duration on support

Table 10.2 and **Figure 10.1** show the <u>median</u> duration on support for patients implanted between 1 April 2017 and 31 March 2021, both nationally and by centre. The <u>medians</u> and <u>confidence intervals</u> are estimated using the <u>Kaplan-Meier method</u> since not all patients may have come to the end of support and this method allows these (censored) patients to be included in the analysis. Transplant, explant or death signify end of support. Nationally, the <u>median</u> time on support was 75 days. There was some evidence of longer time spent on support at Great Ormond Street than Newcastle (log-rank p=0.05).

Table 10.2	ble 10.2 Median duration on support for paediatric patients implanted with a bridging device between 1 April 2017 and 31 March 2021, by centre					
Centre		Number of	Time	e on support (days)		
		patients	<u>Median</u>	(95% confidence interval)		
Great Ormone	d Street Hospital	39	114	27 - 201		
Newcastle		36	40	22 - 58		
Overall		75	75	36 - 114		

Figure 10.1 Median duration on support for paediatric patients implanted with a bridging device between 1 April 2017 and 31 March 2021



10.3 Rate of transplant listing

Figure 10.2 and **Table 10.3** show the rate of transplant listing for patients implanted between 1 April 2017 and 31 March 2021 by centre. This includes listing on the superurgent, urgent or non-urgent heart transplant lists (whichever occurred first). Overall, 51% of patients were on the list at implant, with a further 45% listed after implant, 3% who had died or been explanted within one-year post-implant without being listed and 1% who were not listed within one-year.





Table 10.3	Heart trans patients in	plant listing sta planted 1 Apri	atus with respec I 2017 – 31 Marc	ct to bridging d h 2021, by cen	evice implanta tre and overall	tion for paediatric
Centre		Number of patients N	Listed before VAD implant N (%)	Listed within 1 year N (%)	Not listed within 1 year N (%)	Died/explanted within 1 year without listing N (%)
Great Ormor Newcastle	d Street	39 36	20 (51) 18 (50)	17 (44) 17 (47)	1 (3) 0 (0)	1 (3) 1 (3)
Overall		75	38 (51)	34 (45)	1 (1)	2 (3)
10.4 Competing outcomes

Whilst on support, patients are susceptible to different outcomes. Death on support, transplant and explant without transplant (with or without recovery) are all possible outcomes. **Figure 10.3** shows the <u>cumulative incidence</u> of each of these outcomes occurring from time of implant, for the cohort of paediatric patients receiving a first device between 1 April 2017 and 31 March 2021. This is calculated using the <u>Aalen-Johansen</u> <u>method</u> to account for <u>competing outcomes</u>. At time zero, 100% of patients are on support and as time passes, patients either experience death on support, transplant or explant without transplant. At any time point, the proportion alive on support plus the proportions experiencing each outcome will sum to 100%. Deaths after transplant are not counted and these patients are classed simply as transplanted. Patients who were explanted and died within 30 days of explant are counted and any such patients are classed simply as explanted. If a patient is moved from one device to another without a period free of support, this counts as time on support.

For this cohort, one month after receiving a device, 67% of patients remained alive on support, 19% received a heart transplant, 5% died on support and 9% had their device explanted. At three months, the incidence of transplantation rose to 33%, the incidence of death rose to 13%, and the proportion explanted remained at 9%, leaving 44% left on support. By six months, 44% had received a heart transplant, 11% were explanted, 17% had died on support, leaving 28% alive on support.

Figure 10.3 Cumulative incidence functions for transplant, death and explant for paediatric patients receiving a bridging device, 1 April 2017 to 31 March 2021



Table 10.4 shows the centre-specific estimates for each competing outcome. A higher proportion of patients had received a transplant by 6 months at Newcastle (53%) compared with Great Ormond Street (36%). Note that some of these percentages represent a small number of patients. Additionally, a patient may be counted as explanted but go on to have further support after a period without support, so this does not always represent recovery.

Table 10.4	Cumulativ a first brid	e incidence of eac ging device, 1 Ap	ch outcome, by co ril 2017 to 31 Mar	entre, for paedia ch 2021	atric patients i	mplanted with
Period	Centre	Number of patients	Transplanted	Explanted	Alive on support	Death on support
			%	%	%	%
30 day	GOSH	39	10	5	79	5
	Newcastle	36	28	14	53	6
	Overall	75	19	9	67	5
90 day	GOSH	39	26	5	59	10
	Newcastle	36	42	14	28	17
	Overall	75	33	9	44	13
6 months	GOSH	39	36	8	41	15
	Newcastle	36	53	14	14	19
	Overall	75	44	11	28	17

10.5 Patient survival from implant

Overall survival rates from the point of first device implant, not censored for transplant or explant, are presented in this section. Survival data from the <u>UK Transplant Registry</u> were incorporated, as was any additional survival time recorded on the <u>VAD Database</u> for patients who were explanted. Time on additional devices is also counted, so for example if a patient had several periods of support, all this time is included. Times are censored if the patient was still alive at last known event or follow-up.

<u>Survival rates</u> are calculated using the <u>Kaplan-Meier method</u>. The rates are estimated at 30 days, 90 days and 1 year and are given nationally and for individual centres in **Tables 10.5**, **10.6** and **10.7**. The centre-specific rates are unadjusted for potential differences in risk between patients treated at different centres. The national rate of survival at each time point was 96.0%, 86.5% and 76.7%, respectively.

Table 10.5	30-day patients	oatient survival s implanted 1 Ap	rates after bridging pril 2017 – 31 March	device implan 2021, by centr	t for paediatric e
Centre		Number of patients	Number of deaths	% 30-day s <u>Un</u>	survival (95% CI) adjusted
Great Ormond	Street	39 36	2 1	94.8 97.2	(80.8 - 98.7) (81.9 - 99.6)
UK		75	3	96.0	(88.0 - 98.7)

Table 10.6	90-day patient survival rates after bridging device implant for paediatric patients implanted 1 April 2017 – 31 March 2021, by centre				
Centre		Number of patients	Number of deaths	% 90-day s <u>Un</u>	survival (95% CI) adjusted
Great Ormond Street		39	4	89.5	(74.5 - 95.9)
Newcastle		36	6	83.3	(66.6 - 92.1)
UK		75	10	86.5	(76.4 - 92.5)

Table 10.7	0.7 1-year patient survival rates after bridging device implant for paediatric patients implanted 1 April 2017 – 31 March 2021, by centre				
Centre		Number of patients	Number of deaths	% 1-year s <u>Un</u>	survival (95% CI) <mark>adjusted</mark>
Great Ormond Street		39	6	84.1	(68 - 92.5)
Newcastle		36	11	68.7	(50.6 - 81.3)
UK		75	17	76.7	(65.1 - 84.8)

APPENDIX



A1: Data

The cohort of patients in this report varies by section and type of analysis. **Tables A1.1** and **A1.2** summarise the number of adult and paediatric patients/implants (respectively) in each cohort and the section this applies to.

Table A1.1 Data analysed	for adults				
Time period	Report Section	Exclusion criteria	No. implants/ patients/ transplants		
Adult – Long-term bridging					
1 April 2012 – 31 March 2022	Introduction/Activity	None	901 implants		
1 April 2017 – 31 March 2021	 Duration on support Rate of transplant listing Competing outcomes Survival on support Patient survival from implant 	 <u>TAH</u> and <u>pulsatile devices</u> Patients with no follow-up information 	334 patients		
1 April 20122011 – 31 March 2022	TAH outcomes	None	24 patients		
Adult – Short-term bridging					
1 April 2012 – 31 March 2022	Introduction/Activity	None	868 implants		
1 April 2017 – 31 March 2021	 Duration on support Rate of transplant listing Competing outcomes Survival on support 	Patients with no follow-up information	346 patients		
1 April 2017 – 31 March 2021	 Patient survival from implant 	 Patients who had a long- term device before or after the short-term device Patients with no follow-up information 	269 patients		
Adult – Short-term post-transplant					
1 April 2012 – 31 March 2022	Introduction/Activity	 Implants for <u>rejection</u> Long-term devices used post-transplant 	306 transplants		
1 April 2017 – 31 March 2021	 Duration on support Patient survival from implant 	 Implants for <u>rejection</u> Long-term devices used post-transplant Patients with no follow-up information 	140 patients		

Table A1.2 Data analysed	for paediatrics		
Time period	Report Section	Exclusion criteria	No. implants/ patients
Paediatric – Bridging devices	5		
1 April 2013 – 31 March 2022	Introduction/Activity	None	235 implants
1 April 2017 – 31 March 2021	 Duration on support Rate of transplant listing Competing outcomes Patient survival from implant 	 Patients with no follow-up information 	75 patients

Limitations and classifications:

- BiVADs are counted as one implant.
- "Bridging" includes devices entered onto the <u>VAD Database</u> under "bridge to decision" as well as "bridge to transplant" along with any long-term devices used post-transplant.
- Patients who received concurrent short-term support with long-term support are classed simply as long-term device recipients.
- Patients who received concurrent ECMO support with a VAD are classed simply as VAD recipients.
- Any paediatric (age<16) activity reported by an adult only centre is presented in the adult sections.
- Berlin Heart implants into adult patients are counted as short-term support.

Table A1.3 details the number of patients meeting the criteria for each of the four patient outcomes sections, along with how many were excluded due to no follow-up post-implant and the number of patients with no follow-up information received in the previous year.

Table A1.3	Follow-up information for p	patients analysed	in the patient of	outcomes sec	tions of the report
Outcomes section	on Centre	Patients meeting section criteria	Patients with no follow-up	Patients analysed	Patients with no follow-up in last year ¹ (%)
Adult long-term	Birmingham	77	0	77	0 (0)
bridging	Glasgow	4	0	4	0 (0)
	Harefield	83	0	83	4 (5)
	Manchester	53	0	53	0 (0)
	Newcastle	78	0	78	1 (1)
	Papworth	39	0	39	2 (5)
	Overall	334	0	334	7 (2)
Adult short-term	Birmingham	73	0	73	9 (12)
bridging	Glasgow	41	0	41	0 (0)
	Harefield	91	0	91	6 (7)
	Manchester	55	0	55	4 (7)
	Newcastle	41	0	41	12 (29)
	Papworth	45	0	45	3 (7)
	Overall	346	0	346	34 (10)
Adult post-transpla	ant Birmingham	36	0	36	5 (14)
	Glasgow	9	0	9	0 (0)
	Harefield	29	0	29	0 (0)
	Manchester	23	0	23	0 (0)
	Newcastle	23	0	23	2 (9)
	Papworth	20	0	20	1 (5)
	Overall	140	0	140	8 (6)
Paediatric bridging	Great Ormond Street	39	0	39	14 (36)
	Newcastle	36	0	36	10 (28)
	Overall	75	0	75	24 (32)
¹ Patients analysed <u>Database or UK Tra</u>	who are not reported as decea ansplant Registry since 15 Nove	sed and no informati ember 2021	on on patient stat	us has been retu	urned via <u>VAD</u>

Table A1.4 details the device history of adult patients receiving a device between 1 April 2012 and 31 March 2022 by strategy. **Table A1.5** shows the same information for paediatric recipients. In both these tables, a dash ("-") between devices indicate that the subsequent device was implanted immediately following explanation of the prior device and a slash ("/") indicates the patient had a period of no support between the two devices.

1 April 2012 -	- 31 March 2022, by strateg	Ъ
Device history	No. bridging patients	No. post-transplant patients
LT	650	
LT-ECMO	13	
LT-ECMO-LT	1	
LT-ECMO-ST	2	
LT-ECMO-ST-ST	-	
	38	
	1	
I T-I T-ECMO-ST	1	
	1	
	1	
1 T-I T-ST	1	
	1	
IT-ST	3	
IT-ST-ST	1	
ІТ-ТАН	2	
	3	1
	1	1
	1	
	1	
	1 2	
	2	
	11	
IAII ST	261	20
STECMO	201	20
ST-LONIO	2	Ζ.
	3	
	27	
	2	
	2	
	1	2
	20	2
	2	I
	1	
	4	1
	1	1
		I
ST-TAN ST/ECMO	2	1
	2	I
	161	021
		231
	4	1
	45	Ι
	40	
	1	
	1	
ECMO-LI-LI-SI	1	22
	62	33
	45	2
ECMO-ST-LI	15	4
	3	1
	1	
ECMO-SI-SI-SI-SI	1	
ECMO-ST-TAH	1	
	2	1
	2	
	1	4
	4	1
ECMO-ST/TAH	1	
ECMO-TAH	1	

Table A1.4Device history of adult patients receiving device implants,
1 April 2012 – 31 March 2022, by strategy

Table A1.4Device history of adult patients receiving device implants,
1 April 2012 – 31 March 2022, by strategy

Device history	No. bridging patients	No. post-transplant patients
ECMO-UNKNOWN		1
ECMO-UNKNOWN-ST		1
ECMO/ECMO	3	14
ECMO/ECMO-ST	1	
ECMO/ECMO/ECMO/ECMO		1
ECMO/LT	4	
ECMO/ST	2	2
Overall	1403	319

Note: a dash ("-") between devices indicate that the subsequent device was implanted immediately following explantation of the prior device and a slash ("/") indicates the patient had a period of no support between the two devices

Table A1.5Device history of paediatric patients receiving device implants,
1 April 2013 – 31 March 2022, by strategy

Device history	No. bridging patients	No. post-transplant patients		
ВН	51	-		
BH-ECMO	1			
BH/BH	1			
BH/ECMO-BH	1			
LT		1		
HVAD	34			
HVAD-CM-ECMO-CM	1			
HVAD-ECMO-HVAD	1			
СМ	43			
CM-BH	7			
CM-BH-CM	1			
CM-BH-CM-BH	1			
CM-BH/CM	1			
CM-CM	1			
CM/CM	2			
CM-ECMO	1			
ST		1		
ECMO	13	46		
ECMO-BH	3			
ECMO-CM	1			
ECMO-HVAD	1			
ECMO/BH	5			
ECMO/CM	4			
ECMO/ECMO/CM	1			
ECMO/HVAD	8			
Overall	183	48		
BH = Berlin Heart; HVAD = Heartware HVAD, CM = Centrimag, ECMO = Extracorporeal				

Membrane Oxygenation Note: a dash ("-") between devices indicate that the subsequent device was implanted immediately following explantation of the prior device and a slash ("/") indicates the patient had a period of no support between the two devices

A2: Methods

Analysis of geographical variation in MCS rates

Patients were assigned to NHS regions in England or country for Wales, Scotland and Northern Ireland using their postcode of residence, as reported at implant. Patients were only counted once regardless of how many devices they received in the period. The number of patients receiving a device per million population (pmp) of NHS region/country was obtained using mid-2020 population estimates based on the Office for National Statistics (ONS) 2011 Census figures (denominator). No NHS region age- or sex-specific standardisation of rates was performed when calculating the systematic component of variation. The MCS rates pmp were categorised into four groups – low, low-medium, medium-high and high – based on the quartiles of their distribution and visualised in a map using contrasting colours.

Systematic component of variation

For a given individual who is a resident in a given English NHS region, provision of a bridging device is modelled as a Bernoulli trial. At the whole area level, this becomes a Binomial process which can be approximated by a Poisson distribution when rare events are modelled.

To allow for the possibility that, even after allowing for area-specific Poisson rates, area differences remain, introduce an additional multiplicative rate factor which varies from area to area. Postulate a non-parametric distribution for the multiplicative factor, with variance σ^2 . If the factor is one for all areas, then area differences are fully explained by the area-specific Poisson rate. If the factor varies with a nonzero variance, σ^2 , then we conclude that there are unexplained area differences.

The systematic component of variation (SCV; McPherson et al., N Engl J Med 1982, 307: 1310-4) is the moment estimator of σ^2 . Under the null hypothesis of homogeneity across areas, the SCV would be zero. The SCV, therefore, allows us to detect variability across areas beyond that expected by chance; the larger the SCV, the greater the evidence of systematic variation across areas.

A one-sided p-value for the hypothesis that the SCV is greater than zero versus the null hypothesis that the SCV is equal to zero was derived using a parametric bootstrap where data were simulated from the Poisson distribution that would be consistent with the null hypothesis (multiplicative rate factor is equal to one in all areas and σ^2 equal to zero). The observed SCV was then compared against this simulated data to calculate the probability that an SCV of at least this size would be observed due to chance if the null hypothesis were true.

10,000 bootstrap samples of size 7 (number of areas) were simulated, where the bridging implant count in each area was drawn from a Poisson distribution with its expected value being the area-specific expected count (the rate of bridging implants in the total population multiplied by the population of the area). The SCV was then calculated in each of the 10,000 samples and a bootstrap p-value for the SCV in the observed data was estimated as:

 $P_{boot} = \frac{1 + \#\{SCV_{sim} \ge SCV_{obs}\}}{10000 + 1}$

where $\#\{SCV_{sim} \ge SCV_{obs}\}\$ is the number of SCV values in the simulated datasets which are greater than or equal to the SCV in the observed data. This follows the simulation method given in Ibanez et al., BMC Health Services Research, 2009, 9:60. No adjustment was made for area-specific demographic characteristics that may impact the rates of bridging implantation such as age and sex.

Unadjusted survival rates

The <u>Kaplan-Meier method</u> is used to estimate <u>unadjusted</u> patient <u>survival rates</u>. Patients can be included in this method of analysis irrespective of the length of follow-up recorded. If a patient is alive at the end of the follow-up then information about the survival of the patient is censored, which means they have not yet experienced the outcome of death.

Funnel plots

The funnel plot is a graphical method to show how consistent the <u>survival rates</u> of the different centres are with the national rate. The graph shows for each centre a survival rate plotted against the number of procedures undertaken, with the national rate and <u>confidence limits</u> around this national rate superimposed. In this report, 95% and 99.8% <u>confidence limits</u> were used. Centres that lie within the <u>confidence limits</u> have survival rates that are statistically consistent with the national rate. When a centre is close to or outside the limits, this is an indication that the centre may have a rate that is different from the national rate.

A3: Glossary of terms

Aalen-Johansen method

A method for calculating the cause-specific <u>cumulative incidence</u> which allows for patients experiencing one of a set of outcomes where each outcome may preclude or change the probability of a patient experiencing any of the others ("competing risks"). It allows for patients with incomplete follow-up to be included as per the <u>Kaplan-Meier method</u>.

Competing outcomes

A situation when patients or subjects can experience one or more events or outcomes which 'compete' with the outcome of interest. For instance, when the event of interest is death on VAD support, receiving a transplant or having ones' device explanted and recovering are competing outcomes. Generally, the competing outcomes hinder the observation of the event of interest or modify the chance that this event occurs.

Confidence interval (CI)

When an estimate of a quantity such as a <u>survival rate</u> is obtained from data, the value of the estimate depends on the set of patients whose data were used. If, by chance, data from a different set of patients had been used, the value of the estimate may have been different. There is therefore some uncertainty linked with any estimate. A confidence interval is a range of values whose width gives an indication of the uncertainty or precision of an estimate. The number of patients analysed influences the width of a confidence interval. Smaller data sets tend to lead to wider confidence intervals compared to larger data sets. Estimates from larger data sets are therefore more precise than those from smaller data sets. Confidence intervals are calculated with a stated probability, usually 95%. We then say that there is a 95% chance that the confidence interval includes the true value of the quantity we wish to estimate.

Confidence limit

The upper and lower bounds of a confidence interval.

Continuous-flow device

An electrically driven rotary pump that pumps blood continuously throughout the cardiac cycle.

Cumulative incidence

The probability of an event (death, transplant or explant in this context) occurring before a particular point in time.

ECMO

Extra Corporeal Membrane Oxygenation. The term ECMO is this report is used to describe veno-arterial (VA) ECMO, rather than veno-venous (VV) ECMO.

INTERMACS patient profile

Level 1: <u>Critical cardiogenic shock</u> describes the patient who is "crashing and burning"; in which patients have life–threatening hypotension despite rapidly escalating inotropic support, occasionally with IABP placement as well, with critical organ hypoperfusion often confirmed by worsening acidosis and lactate levels. Patients may have less than 24 hours survival expected without mechanical support.

Level 2: <u>Progressive decline</u> describes the patient who has been demonstrated "dependent" on inotropic support but nonetheless shows signs of continuing deterioration in nutrition, renal function, fluid retention, or other major status indicator. Level 2 can also describe a patient with refractory volume overload, perhaps with

evidence of impaired perfusion, in whom inotropic infusions *cannot be maintained* due to tachyarrhythmia, clinical ischemia, or other intolerance.

Level 3: <u>Stable but inotrope dependent</u> describes the patient who is clinically stable on mild–moderate doses of intravenous inotropes after repeated documentation of failure to wean without symptomatic hypotension, worsening symptoms, or progressive organ dysfunction (usually renal). It is critical to monitor nutrition, renal function, fluid balance, and overall status carefully in order to distinguish between patients who are truly stable at Level 3 and those who have unappreciated decline rendering them Level 2.

Level 4: is the level of <u>"recurrent"</u> rather than "refractory" decompensation. After interventions such as hospitalization for intravenous diuretics, these patients can be stabilized briefly on an oral regimen at close to normal volume status. However, they experience brief relapses into fluid retention. These patients should be carefully considered for more intensive management and surveillance programs, by which some may be recognized to have poor compliance that would compromise outcomes with any therapy.

Level 5: describes patients who are comfortable at rest but are <u>exercise intolerant</u> for most activity, living predominantly within the house or housebound. They have no congestive symptoms, but may have chronically elevated volume status, frequently with renal dysfunction, and may be characterized as housebound.

Level 6: is a similar patient who is generally without any evidence of fluid overload and able to do some mild activity. Activities of daily living are comfortable and minor activities outside the home such as visiting friends or going to a restaurant can be performed, but fatigue results within a few minutes or any meaningful physical exertion.

Level 7: describes patients who are clinically stable with a reasonable level of comfortable activity, despite history of previous decompensation that is not recent. Any decompensation requiring intravenous diuretics or hospitalization within the previous 2 weeks should make the person a Level 4 or lower.

ISHLT Mechanically Assisted Circulatory Support Registry Users' Guide (2012). Birmingham, AL (http://www.ishlt.org/ContentDocuments/IMACS_Users_Guide_Final_032414.pdf)

Kaplan-Meier method

A method that allows patients with incomplete follow-up information to be included in estimating <u>survival rates</u> and other time related statistics such as median duration on support. For example, when estimating one year patient survival rates, a patient may be followed up for only nine months before they relocate. If we calculated a crude survival estimate using the number of patients who survived for at least a year, this patient would have to be excluded as it is not known whether or not the patient was still alive at one year after VAD implantation. The Kaplan-Meier method allows information about such patients to be used for the length of time that they are followed-up, when this information would otherwise be discarded. Such instances of incomplete follow-up are not uncommon and the Kaplan-Meier method allows the computation of estimates that are more meaningful in these cases.

Long-term (LT) devices

Long-term devices are implantable and intended to support the patient for years. Patients can be discharged from hospital with a LT device. Most LT devices are <u>continuous-flow</u> devices but some are <u>pulsatile</u>.

MCS

Mechanical Circulatory Support.

Median

The midpoint in a series of numbers, so that half the data values are larger than the median, and half are smaller.

Patient survival rate

The estimated percentage of patients who are still alive. This is usually specified for a given time period after implant. For example, a 1 year patient survival rate is the estimated percentage of patients who are still alive 1 year after their first device implant.

Primary graft dysfunction

In this report primary graft dysfunction (PGD) is defined as all VADs and ECMOs used for graft failure within 30 days of heart transplantation.

Pulsatile device

A device that mimics the natural pulsing action of the heart.

p value

In the context of comparing listing rates across centres, as an example, the p value is the probability that the differences observed in the rates across centres occurred by chance. As this is a probability, it takes values between 0 and 1. If the p value is small, say less than 0.05, this implies that the differences are unlikely to be due to chance and there may be some identifiable cause for these differences. If the p value is large, say greater than 0.1, then it is quite likely that any differences seen are due to chance.

Rejection

Rejection is defined as all VADs and ECMOs used for graft failure more than 30 days after heart transplantation.

Short-term (ST) devices

Short-term devices are intended to support the heart for a short period of time (days or weeks). Patients cannot leave hospital with the device.

Survival on support

The percentage of patients who are still alive and on VAD support. Unlike patient survival from implant, survival on support is censored at time of device explantation or transplantation. This is usually specified for a given time period after implantation. For example, a three-year survival on support rate is the estimate of patients who are still alive on support three years after their first short-term or long-term VAD implantation.

TAH

Total Artificial Heart.

UK Transplant Registry

A national database held by NHS Blood and Transplant collecting data on all organ transplant procedures. Information is accrued prospectively at recipient registration on the national transplant list, at organ donation, at time of transplantation and at regular intervals thereafter.

Unadjusted survival rate

Unadjusted <u>patient survival rates</u> do not take account of potential confounders and are based only on the number of patients at a given centre and the number and timing of those that die within the post-implant period of interest. In this case, unlike for risk-adjusted rates, all patients are assumed to be equally likely to die at any given time. However, some centres may have lower unadjusted survival rates than others simply because they happen to have patients that have increased risks of death. All results presented in this report are unadjusted as the risk factors affecting survival post-MCS in the UK have not yet been examined.

VAD

Ventricular Assist Device. A mechanical pump used to increase the amount of blood that flows through the body, relieving the symptoms of advanced heart failure.

VAD Database

Database used for an ongoing extensive audit to capture in-depth data prior to and at implant of device, explant, transplant and death along with follow-up at various time points post-implant and post-explant. The database captures data on long-term and short-term mechanical circulatory support, including VADs, TAH and ECMO, for the purpose of bridge to transplant, bridge to decision (in this report both analysed together as "bridging"), primary graft dysfunction and "other" (allowing capture of devices for rejection). Devices used post-cardiotomy are not funded via the NHS England bridge to transplant or recovery programme and so are excluded from the VAD Database. Destination Therapy is not explicitly captured on the database but these cases may be captured within "bridge to transplant" or "bridge to decision" where the patient never received a transplant.

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