

The diagnosis of death by neurological criteria in infants less than two months old

April 2015

Updated recommendations

Implications for practice



The diagnosis of death by neurological criteria in infants less than two months old



Royal College of
Paediatrics and Child Health

Leading the way in Children's Health

Executive summary

This document considers the diagnosis of death by neurological criteria (DNC) in infants from 37 weeks corrected gestation (post menstrual) to two months (post term) of age.

Previous guidance^{1,2} has excluded infants in this age group due to the lack of evidence surrounding the presence of the required criteria for this group.

The working group reviewed the evidence published since the publication of the British Paediatric Association's (BPA) report¹ in 1991. After reviewing the evidence, the working group confirmed there is now sufficient evidence to extend the criteria for diagnosis in this patient population.

This guidance is provided for UK paediatric and neonatal health care staff working with infants under two months (post term) of age. This guidance is not applicable to pre term infants less than 37 weeks corrected gestation (post menstrual) age or infants older than two months post term. For infants older than two months the 2008 Academy of Medical Royal Colleges (AoMRC) *A code of practice for the diagnosis and confirmation of death*² continues to apply.

After considering the relevant current evidence, these are the recommendations of the working group:

Preconditions

The working group recommends that the preconditions detailed in the 2008 AoMRC's Code of Practice², and also expressed in the 1991 BPA report¹, should be fulfilled before diagnosing DNC:

- *The patient is comatose and mechanically ventilated for apnoea.*
- *The diagnosis of structural brain damage has been established or the immediate cause of coma is known (2008 AoMRC's Code of Practice²) and, in particular:*
 - a. *Drugs are not the cause of coma;*
 - b. *Neuromuscular blockade has been demonstrably reversed;*
 - c. *Hypothermia does not exist (temperature >34°C);*
 - d. *There is no endocrine or metabolic disturbance that could be the primary cause of the state of unresponsiveness.*

The working group considered an extra precondition in this patient population was appropriate:

- In post-asphyxiated infants, or those receiving intensive care after resuscitation, whether or not they have undergone therapeutic hypothermia, there should be a period of at least 24 hours of observation during which the preconditions necessary for assessment for DNC should be present before clinical testing for DNC. If there are concerns about residual drug-induced sedation, then this period of observation may need to be extended.

Clinical diagnosis

The diagnosis of DNC using the clinical examination criteria used to establish death in adults, children and older infants, as outlined in the 2008 AoMRC's Code of Practice², can be confidently used for infants from 37 weeks corrected gestation (post menstrual) to two months post term:

- *Absent brain stem reflexes*
- *Absent motor responses*
- *No respiratory response to hypercarbia*

However in view of the immaturity of the newborn infant's respiratory system, the following precautionary measure should be considered regarding the apnoea test:

- A stronger hypercarbic stimulus is used to establish respiratory unresponsiveness. Specifically, there should be a clear rise in the arterial blood partial pressure of carbon dioxide (PaCO₂) levels of >2.7 kPa (>20 mm Hg) above a baseline of at least 5.3 kPa (40 mm Hg) to >8.0 kPa (60 mm Hg) with no respiratory response at that level.

The interval between tests need not be prolonged as stated in the 2008 AoMRC's Code of Practice².

Ancillary tests

Ancillary tests are not required to make a diagnosis of DNC in infants from 37 weeks corrected gestation (post menstrual) to two months post term.

Acknowledgements

The working group would like to thank all stakeholders and contributors in the development of this report.

Working group

Neil McIntosh	Retired Consultant Neonatologist, Emeritus Professor of Child Life and Health, University of Edinburgh	Chair
Jane Abbot	Head of Programmes at BLISS	Baby Life Support Systems (BLISS)
Denis Azzopardi	Professor of Neonatal Medicine, King's College, London	British Association of Perinatal Medicine (BAPM)
Joe Brierley	Consultant in Paediatric Intensive Care, Great Ormond Street Hospital, London	UK Donation Ethics Committee, UK Paediatric Intensive Care Society (PICS), Royal College of Paediatrics and Child Health Ethics and Law Advisory Committee
Colin Kennedy	Professor in Neurology and Paediatrics, University of Southampton, and Honorary Consultant in Paediatric Neurology, University Hospital Southampton NHS Foundation Trust	British Paediatric Neurology Association (BPNA), and also member of 2008 Academy of Medical Royal Colleges Working Group on ' <i>A Code of Practice for the Diagnosis and Confirmation of Death</i> '
Victoria Marshment	Head of Strategy and Planning of Human Tissue Authority	Human Tissue Authority (HTA)
Jillian McFadzean	Consultant in Paediatric Anaesthesia and Paediatric Intensive Care	Association of Paediatric Anaesthetists of Great Britain and Ireland (APAGBI)
Claire Snowdon	Social scientist; lead researcher for the BRACELET Study (Bereavement in the context of a randomized controlled trial)	London School of Hygiene and Tropical Medicine, University of London
Neil Stoodley	Consultant Paediatric Neuroradiologist	Royal College of Radiologists (RCR)
Brenda Strohm	Neonatal Research Nurse and Coordinator of the international TOBY trial of total body cooling after birth asphyxia	TOBY Trial/National Perinatal Epidemiology Unit, University of Oxford/ Neonatal Nurses' Association (NNA)
Robert C Tasker	Professor in Neurology and Anaesthesia (Harvard Medical School), Chair in Neurocritical Care and Senior Specialist in Paediatric Intensive Care, Boston Children's Hospital	

Dominic Wilkinson	Associate Professor, Consultant Neonatologist, Director of Medical Ethics, University of Oxford
Leann Willis	Parent Representative
John Wyatt	Emeritus Professor of Neonatal Paediatrics, University College London and retired Consultant Neonatologist

RCPCH Team

- **Rosa Nieto-Hernandez**, Clinical Standards Facilitator (Lead Project Manager)
- **Sara Haveron**, Clinical Standards Administrator (Project Coordinator)
- **Lindsey Hunter**, Systematic Reviewer (Interim Project Manager, December 2014-March 2015)
- **Rita Ranmal**, Clinical Standards Manager (to April 2014)
- **Tyler Moorehead**, Interim Clinical Standards Programme Manager (from April 2014)

Stakeholders

British Association of Perinatal Medicine
 British Medical Association
 British Organ Donor Society
 British Paediatric Neurology Association
 British Transplantation Society
 Carer Network
 College of Emergency Medicine
 Coroner's Officers Association
 Donor Family Network
 Faculty of Pharmaceutical Medicine
 Human Tissue Authority
 National Patient Safety Agency
 Neonatal Nurses Association
 NHS Blood and Transplant
 Nursing and Midwifery Council
 Paediatric Intensive Care Society
 RCPCH Ethics and the Law Advisory Committee
 Resuscitation Council UK
 Royal College of Anaesthetists
 Royal College of Nursing
 Royal College of Obstetricians and Gynaecologists
 Royal College of Pathologists, Specialist Advisory Committee
 Royal College of Physicians
 Royal College of Radiologists, Patient Liaison Group
 Scottish Intensive Care Society
 The Royal College of Anaesthetists
 The Royal College of Radiologists

Explanatory note

This report has been produced by a working group set up by the Royal College of Paediatrics and Child Health (RCPCH) at the request of the Academy of Medical Royal Colleges (AoMRC). The working group was asked to review the current position of the RCPCH on the diagnosis of death by neurological criteria (DNC) in infants less than two months of age. In previous deliberations by the RCPCH (previously known as the British Paediatric Association, BPA) in 1991ⁱ which is also included in the AoMRC '*A Code of Practice for the Diagnosis and Confirmation of Death*'ⁱⁱ in 2008, it was concluded "*it is rarely possible confidently to diagnose brain stem death at this age*"ⁱⁱⁱ. However, other countries around the world do recognise a practice of determination of death in young infants with certain neurological criteria in the presence of persisting cardiac function. The brain stem reflexes used in the examination, when considered together, are expected to have developed by term gestation. A working group was convened to review the evidence base on the diagnosis of DNC in infants less than two months of age.

i *Diagnosis of Brain-Stem Death in Infants and Children*. A working party report of the British Paediatric Association, London, 1991. Henceforth called the 1991 BPA report.

ii *A Code of Practice for the Diagnosis and Confirmation of Death*. Academy of Medical Royal Colleges, London 2008. Henceforth called the 2008 AoMRC's Code of Practice.

iii 2008 AOMRC's Code of Practice, page 28 (see *Summary of Conclusions and recommendations* to 1991 report: point 2, Thirty Seven weeks gestation to 2 months of age).

Contents

1.	Introduction	8
1.1.	Scope and objectives of the report	8
1.2.	Target audience	9
1.3.	Target population.....	9
1.4.	Exclusions.....	9
2.	Methodology	10
3.	Clinical diagnosis of death by neurological criteria	11
3.1.	Evidence review.....	11
3.1.1.	Clinical examination	11
3.1.2.	Apnoea test.....	12
3.1.3.	Duration of interval between tests.....	12
3.1.4.	Duration of interval after second examination and cessation of cardiac function	12
3.2.	Working group interpretation	12
4.	Preconditions	14
4.1.	Evidence review.....	14
4.2.	Working group interpretation	14
5.	The use of ancillary tests in the diagnosis of death by neurological criteria	16
5.1.	Evidence review.....	16
5.2.	Working group interpretation	17
6.	Recommendations on the diagnosis of death by neurological criteria	18
7.	Implications for practice	21
7.1.	Guideline update	21
7.2.	Research recommendations	21
7.3.	Editorial independence.....	21
7.4.	Implementation.....	21
7.5.	Implementation advice	21
7.6.	Resource implications	22
8.	Glossary	23
8.1.	Definitions	23
8.2.	Abbreviations.....	23
9.	References	24
	Appendices	26
	Appendix 1.1.....	26
	Appendix 1.2.....	28
	Appendix 1.3	32
	Appendix 1.4	33

1. Introduction

In 2008, The Academy of Medical Royal Colleges (AoMRC) published 'A Code of Practice for the Diagnosis and Confirmation of Death' and defined² death as *"the irreversible loss of those essential characteristics which are necessary to the existence of a living person and, thus, the definition of death should be regarded as the irreversible loss of the capacity for consciousness, combined with irreversible loss of the capacity to breathe."* [AoMRC's Code of Practice, page 11].

In the 2008 Code of Practice², the AoMRC took the position that death is a unitary state that might be reached following the cessation of cardiorespiratory function or in irreversible unresponsive coma with cessation of brain stem function. Detailed guidance was provided on the criteria for the diagnosis of death when it occurred after irreversible cardiorespiratory arrest ('death by cardiac criteria' (DCC)); or when it occurred in the course of unresponsive coma and in the absence of cardiorespiratory arrest ('death by neurological criteria' (DNC)). These terms are adopted in the present report. DNC may occur as a result of intracranial (for example, after traumatic head injury) or systemic events (for example, hypoxic-ischaemic injury).

In regard to the diagnosis of death in children, the 2008 AoMRC's Code of Practice² supported conclusions presented by the British Paediatric Association (BPA) (later to become the Royal College of Paediatrics and Child Health (RCPCH)) in a report published in 1991. The report stated: *"over the age of two months, the criteria used to establish death should be the same as those in adults. Between thirty-seven weeks of gestation and two months of age, it is rarely possible to confidently diagnose death as a result of cessation of brain stem reflexes, and below thirty-seven weeks of gestation the criteria to establish this cannot be applied"* [2008 AoMRC's Code of Practice, page 18].

Following this recommendation, neonatal teams in the UK currently do not diagnose DNC in young infants. However, other countries³⁻⁵ around the world do accept determination of death in young infants by certain neurological criteria in the presence of persisting cardiac function.

1.1 Scope and objectives of the report

This report is an update of the 1991 BPA report¹ which is included as an appendix in the 2008 AoMRC's Code of Practice². It aims to take account of contributions to evidence in the medical literature from 1990 to 2014 relating to the diagnosis of death in young infants from 37 weeks corrected gestation (post menstrual) to two months post term. This report does not cover broader issues around withdrawal or withholding medical treatment in children which are covered in the RCPCH 2015 Ethics Advisory Committee report⁶ or issues surrounding organ donation and transplantation.

This report sets out to address the following three questions in regard to infants born 37 weeks corrected gestation (post menstrual) to two months post term:

1. Can the clinical criteria used to diagnose DNC in older infants, children and adults be applied to these young infants?
2. Should there be preconditions for the diagnosis of DNC in these young infants that are additional to those applied to older infants, children and adults?
3. Can ancillary tests provide us with additional relevant information in the diagnosis of DNC?

1.2 Target audience

This report is intended for use by all UK paediatric and neonatal health care practitioners and other groups involved in the regulation or practice of the health care of critically ill neonates and young infants.

1.3 Target population

This report addresses the diagnosis of DNC in infants between the ages of 37 weeks corrected gestation (post menstrual) and two months post term.

1.4 Exclusions

This report does not include recommendations for the management of pre term infants, below 37 weeks gestation.

2. Methodology

This report has been developed in accordance with the *RCPCH Standards for Development of Clinical Guidelines in Paediatrics and Child Health*⁷ which has National Institute for Health and Care Excellence (NICE) accreditation. A systematic review was carried out and the process to develop the guidance included the development of relevant clinical questions, systematic search of the literature in electronic databases, selection of the evidence according to pre-determined inclusion criteria, critical appraisal of the included papers and assessment of the evidence using the Scottish Intercollegiate Guideline Network (SIGN) grading hierarchy⁸. In instances where there was no strong evidence to be found, recommendations were agreed by working group consensus (see [full methodology report](#) for further details).

An assessment was also carried out on the degree of detail reported in each study regarding the diagnosis of death in the presence of persisting cardiac function. Studies were categorised as I, II or III depending on which clinical features were described (see Table 1 for more details).

Table 1. Degree of information given in studies of diagnosis of death in the presence of persisting cardiac function in infants under two months.

Degree of detail	Clinical features of death in the presence of persisting cardiac function described in the report
I	Coma; brain stem reflexes individually described; reflex respiratory response to hypercarbia formally tested (the apnoea test).
II	As for degree I, but brain stem reflexes not individually reported.
III	Death in the presence of persisting cardiac function reported, but individual clinical features not described in any detail.

The report has undergone stakeholder consultation. Comments and responses can be viewed on the RCPCH website. Please see [full methodology report](#) for further details.

3. Clinical diagnosis of death by neurological criteria

Question 1: Can the clinical criteria used to diagnose DNC in older infants, children and adults be applied to young infants?

In the 2008 AoMRC's Code of Practice² the clinical diagnosis of DNC rested on two elements; the identification of irreversible loss of consciousness and the absence of brain stem reflexes, including an apnoea test².

In regard to infants, coma can be recognised in those aged less than two months. Unresponsive coma is not uncommon in infants secondary to birth trauma and perinatal asphyxia, and it is also seen in the first few months of life following apparent life threatening events and head trauma from accidents or inflicted injury. Unresponsiveness can be evaluated and expressed on a coma scale in such infants in the same way as older infants and children.

The reflexes for brain stem function include:

- Pupillary response to light
- Corneal reflex
- Vestibulo-ocular reflex (Caloric test)^{iv}
- Motor response to pain in the distribution of cranial nerve V (i.e. facial grimace or other motor response to supra-orbital pressure)
- Gag and cough reflex in response to oropharyngeal stimulation and suction through an endotracheal tube or tracheostomy
- Respiratory response to rise in arterial blood partial pressure of carbon dioxide (PaCO₂)

3.1 Evidence review

The literature was searched from 1990 to 2014 for cases of infants whose death was diagnosed from 37 weeks corrected gestation (post menstrual) to two months post term. Thirteen studies [SIGN level of evidence 3] were identified in the literature and are summarized in Appendices 1.1 to 1.3.

3.1.1 Clinical examination

Thirteen studies were identified describing 42 infants younger than two months whose diagnosis of death was determined by certain neurological criteria despite persisting cardiac function and where sufficient detail is provided to support this diagnosis (see Appendix 1.1 for a summary of the studies). In the 42 cases identified from 13 studies, the degree of detail describing the cases was I in six studies⁹⁻¹⁴, II in six studies¹⁵⁻²⁰, and III in one study²¹ (see Table 1 for more information about the different degrees of detail). Thus the brain stem reflexes were individually described in 27 infants, in six studies (degree of detail I)⁹⁻¹⁴, and in 14 infants in six studies (degree of detail II)¹⁵⁻²⁰ the majority of brain stem reflexes were described (although not all were individually reported).

iv The 2008 AoMRC's Code of Practice did not consider it necessary to include the Doll's-eye reflex when establishing the presence of irreversible and non-survivable cessation of brain stem function (see page 26, Appendix 4).

The diagnostic guidance used in each of the 13 studies is summarized in Appendix 1.2. One major difference between the national guidelines is whether electroencephalography (EEG) should be used as part of the diagnosis of death in the presence of persisting cardiac function. Its use was included in 10 of the 13 studies^{9,11,12,14,16-21}. The use of EEG is considered in Section 5.

3.1.2 Apnoea test

Twelve of the studies identified^{9-14,16-21} described the criteria used in the apnoea test. In 10 of these studies^{9,11,12,14,16-21} the national guidelines required a minimum PaCO₂ to test respiratory response to hypercarbia of 8.0 kPa (60 mm Hg). In fact, the PaCO₂ achieved during the apnoea test varied from 6.9 to 19.1 kPa. The other two studies used the 1987 national guidelines from Canada (see Parker¹⁰ for reference details) and the 1991 BPA report,¹ in which the minimum level in PaCO₂ to determine respiratory unresponsiveness, was 6.7 kPa (50 mm Hg).

3.1.3 Duration of interval between tests

All of the studies in Appendices 1.1 to 1.3 used two examinations in order to declare death. The interval between the first and second examinations is described in 11 studies; ranging from 30 minutes to four days or greater^{9-16,19-21}.

3.1.4 Duration of interval after second examination and cessation of cardiac function

The interval between the second examination for the diagnosis of death in the presence of persisting cardiac function and discontinuation of supportive mechanical ventilation was only reported in some of the studies^{9-16,19-21} and ranged from a few hours to three weeks; seven infants had respiratory support continued for a week or more (see Appendix 1.3 for a summary of the cases). There were no cases identified where supportive treatment was continued until cardiac arrest. None of the infants in these studies regained brain stem function, breathing, or consciousness during the period of observation.

3.2 Working group interpretation

In the 42 cases identified in the evidence, no infants regained brain stem function after meeting neurological criteria. Although this evidence is limited to case reports and case series [SIGN level of evidence 3], there is now a reasonable body of international medical literature that describes the determination of death by certain neurological criteria in the presence of persisting cardiac function in infants from 37 weeks corrected gestation (post menstrual) to two months post term (see Appendix 1.2 for further information). Twelve studies⁹⁻²⁰ described in detail (degree I and II, see Table 1 for description of degree of detail) the assessment of brain stem reflexes, similar to the detail required for the diagnosis of DNC as recommended in the 2008 AoMRC's Code of Practice².

The working group could find no developmental or other rationale for the specification of a higher threshold level of hypercarbia to that stated in the 1991 BPA¹ and 2008 AoMRC² reports to demonstrate respiratory unresponsiveness but noted that reports of death in

younger infants in the presence of persisting cardiac function have used higher minimum levels than are routinely used in older infants and children.

In regard to the repetition of testing, most studies in the literature have reported intervals between clinical testing longer than the “short period of time” prescribed in the 2008 AoMRC’s Code of Practice². The working group supports the view of the BPA¹ and AoMRC² reports that the prime purpose of the second examination is to minimize the possibility of an incorrect diagnosis because of error in the first examination. The working group could find no cogent rationale for specifying a precise interval between clinical examinations. Instead, the view of the working group was that an appropriate period of observation and assessment of preconditions before testing for DNC should be specified.

As a result of reviewing the evidence and international guidance the working group concluded that the diagnosis of DNC using the clinical examination criteria used to establish death in adults, as outlined in 2008 AoMRC’s Code of Practice² can be diagnosed confidently in young infants using the same criteria as for older infants. However in view of the importance of maximum safeguarding of the security of the diagnosis of DNC in these very young infants, the working group concluded that a longer period of observation prior to testing and a higher level of PaCO₂ compared to the criteria described in the AoMRC’s Code of Practice² for the apnoea test should be adopted at the present time.

4. Preconditions

Question 2: Should there be preconditions for the diagnosis of DNC in young infants that are additional to those applied to older infants, children and adults?

The 2008 AoMRC² and 1991 BPA¹ reports specify a number of conditions that must be fulfilled before the diagnosis of DNC following irreversible cessation of brain stem function can be undertaken, including:

- **Aetiology of irreversible brain damage:** *There should be no doubt that the patient's condition is due to irreversible brain damage of known aetiology;*
- **Exclusion of potentially reversible causes of coma:** *The patient is deeply comatose, unresponsive and apnoeic, with his/her lungs being artificially ventilated;*
 - **There should be no evidence that this state is due to depressant drugs:** *If there is any doubt about the action of narcotics, hypnotics and tranquillizers (particularly when hypothermia coexists or in the presence of renal or hepatic failure) then specific drug levels should be measured and shown to be within or below therapeutic range, before proceeding;*
 - **Primary hypothermia as the cause of unconsciousness must have been excluded:** *The core temperature should be greater than 34°C at the time of testing;*
 - **Potentially reversible circulatory, metabolic and endocrine disturbances must have been excluded as the cause of the continuing unconsciousness;**
- **Exclusion of potentially reversible causes of apnoea:** *Neuromuscular blocking agents and other drugs must have been excluded as the cause of respiratory inadequacy or failure. In addition, the presence of cervical cord injury must be excluded in infants where trauma is the mechanism of coma. [2008 AoMRC's Code of Practice, page 14-16]*

4.1 Evidence review

The selected studies described in Section 3 (Appendix 1.1) were reviewed with the purpose of making recommendations with regard to preconditions for the determination of death in the presence of persisting cardiac function. In each of the 13 studies (see Appendix 1.1 for a summary of the cases), the guidelines used the following preconditions:

- The aetiology of the irreversible brain problem should be known.
- Potentially reversible causes of coma should have been excluded.
- Potentially reversible causes of apnoea should have been excluded.

The literature search did not retrieve any additional evidence on the subject of preconditions.

4.2 Working group interpretation

The working group reviewed the retrieved evidence, the international guidelines used in the evidence (see Appendix 1.2 for a summary), along with the 2008 AoMRC² and 1991 BPA¹ reports, and considered that there was insufficient information to justify the use of different preconditions for diagnosis of DNC in infants aged 37 weeks corrected gestation to two months post term. The working group considered that the particular context in which

the question of DNC arises in this age group will commonly include hypoxic-ischaemic injury and/or recent birth before which the infant cannot have been directly observed. Therefore, a period of observation after birth is required in order to confirm irreversibility of unresponsiveness is a reasonable additional precautionary measure in this age group.

There was no evidence found to guide the diagnosis of DNC in infants who have undergone therapeutic hypothermia. However, the working group considered that such treatment (to a temperature of 33.5°C) was an important issue for the diagnosis of DNC in newborn infants. Therapeutic hypothermia has recently been adopted as a standard of care for infants with hypoxic-ischaemic encephalopathy²², and its use may influence the clinical assessment of DNC. The working group also raised concerns that this treatment may impair clearance of opiates, benzodiazepines and barbiturates used for comfort.

The working group concluded that it was important to include these preconditions for the diagnosis of DNC in infants aged 37 weeks corrected gestation to two months post term. The recommendations included in this report are likely to bring about a change in practice, and the working group concluded that it was necessary to be cautious in the clinical assessment and diagnosis of DNC for this reason.

5. The use of ancillary tests in the diagnosis of death by neurological criteria

Question 3: Can ancillary tests provide us with additional relevant information in the diagnosis of DNC?

In the 2008 AoMRC's Code of Practice², ancillary tests are not required to establish the diagnosis of DNC and they should not be used as a substitute for the neurological examination. However, death cannot be diagnosed by the testing of brain stem reflexes alone in instances where a comprehensive neurological examination is not possible, for example:

- a) *“When there is uncertainty about the results of the clinical examination such as occurs with extensive facio-maxillary injuries, or in cases of high cervical cord injury, or in cases with residual sedation;*
- b) *When the apnoea test cannot be completed because the medical condition of the patient results in the development of hypoxia or hypotension during the testing;*
- c) *When the effect of a primary metabolic or pharmacological derangement cannot be ruled out”.*

The ancillary tests that have been reported in previous findings for infants include:

- **Clinical Neurophysiology:** EEG, evoked potentials (somatosensory or brain stem auditory).
- **Cerebral blood flow:** transcranial Doppler ultrasound, computed tomography with injection of contrast material or Xenon inhalation, digital subtraction angiography, single photon positron emission computed tomography (SPECT), and positron emission tomography (PET).

Many of these tests rely on assessing some threshold of activity, above which values are accepted as satisfactory and below which they are not. However, thresholds for detection of any such activity do not necessarily indicate, or guarantee, the presence of adequate function in the infant brain. Some function can occur below the activity threshold level and in some cases there may be negligible function despite demonstrable activity above threshold.

5.1 Evidence review

The working group initially searched the literature for published cases of patients where death was diagnosed in infants from 37 weeks corrected gestation to two months post term, and where ancillary tests were used to inform the diagnosis. Due to the lack of evidence identified, the inclusion criterion was widened to include infants up to 12 months of age, to determine the reliability of ancillary tests.

All publications were case series and case reports [SIGN level of evidence 3]. The summary data in Appendix 1.4 indicate that ancillary investigations do not aid the bedside

determination of death in the presence of persisting cardiac function. EEG is the most widely available ancillary test in most clinical centres caring for critically ill infants (see Appendix 1.2 and 1.4 for summaries); often with the criteria of death in the presence of persisting cardiac function including the finding of isoelectric EEG on two occasions. However, absent electrical activity is not judged necessary for a diagnosis of DNC in the 2008 AoMRC's Code of Practice². Also, an isoelectric EEG was not required as part of guidelines from Germany (1991)¹⁵, Canada (1987)¹⁰ and the UK (1991)¹³, as shown in Appendix 1.2.

The studies showed instances of false negatives and false positives when using EEG along with clinical criteria for the determination of death with persisting cardiac function. The literature search identified 11 studies^{10,15-17,23-29} that described the use of EEG to aid the diagnosis of "brain death". One study found three out of 17 infants who met "brain death" criteria had electrocerebral silence (ECS)¹⁰; another²⁹ found EEG activity in 15 of 29 children at the time these patients met national (Spain) "brain death" clinical criteria. There are other such reports of infants under one year of age^{24,25,28}.

Similar instances of false negatives and false positives were reported when using tests of cerebral blood flow^{10,14-17,19,21,23-30}.

5.2 Working group interpretation

The review of the evidence suggests that all of the investigations used as ancillary tests in the diagnosis of DNC in adults and children could be inaccurate when applied to young infants. Such investigations do not aid the clinical assessment of DNC in young infants.

Therefore, the working group considered that if reliable clinical assessment is not possible because of residual sedation, primary metabolic or pharmacological derangement, then assessment should be made when these confounding factors are no longer present. In infants where there is uncertainty about the results of the clinical examination (e.g. extensive facio-maxillary injuries, or high cervical cord injury, or inability to perform the apnoea test), the limitations of using ancillary tests should be recognised and should not be used to assist diagnosis. Since the diagnosis of DNC does not denote cessation of all neurological activity in the brain, but the irreversible loss of the capacity for consciousness combined with the cessation of brain stem function as determined by the clinical testing described in Section 3, ancillary tests that demonstrate some neurological activity do not invalidate a clinical diagnosis of DNC.

6. Recommendations on the diagnosis of DNC

The working group recommends that the diagnosis of DNC in young infants from 37 weeks corrected gestation (post menstrual) to two months post term is a clinical diagnosis with certain preconditions, and that ancillary tests do not help in this diagnosis. From reviewing the evidence identified, the existing guidance^{1,2} and their own expertise, the working group approved the following recommendations:

Preconditions

The working group recommends that the preconditions detailed in the 2008 AoMRC's Code of Practice², also expressed (in less detail) in the 1991 BPA report¹, should be fulfilled before diagnosing DNC:

- *"The patient is comatose and mechanically ventilated for apnoea (2008 AoMRC's Code of Practice²).*
- *The diagnosis of structural brain damage has been established or the immediate cause of coma is known (2008 AoMRC's Code of Practice²) and, in particular:*
 - *Drugs are not the cause of coma;*
 - *Neuromuscular blockade has been demonstrably reversed;*
 - *Hypothermia does not exist (temperature >34°C);*
 - *There is no endocrine or metabolic disturbance that could be the primary cause of the state of unresponsiveness".*

An additional precautionary precondition to be taken in young infants:

- In post-asphyxiated infants, or those receiving intensive care after resuscitation, whether or not they have undergone therapeutic hypothermia, there should be a period of at least 24 hours of observation during which the preconditions necessary for assessment for DNC should be present before clinical testing for DNC. If there are concerns about residual drug-induced sedation, then this period of observation may need to be extended [Level 3, Grade D].

Clinical diagnosis of DNC

The diagnosis of DNC using the clinical examination criteria used to establish death in adults, children and older infants, as outlined in the 2008 AoMRC's Code of Practice², can be confidently used in infants from 37 weeks corrected gestation (post menstrual) to two months post term [Level 3, Grade D].

The following precautionary measures should be considered:

- A stronger hypercarbic stimulus is used to establish respiratory unresponsiveness. Specifically, there should be a clear rise in PaCO₂ levels of >2.7 kPa (>20 mm Hg) above a baseline of at least 5.3 kPa (40 mm Hg) to >8.0 kPa (60 mm Hg) with no respiratory response at that level [Level 3, Grade D].
- The interval between tests need not be prolonged as stated in 2008 AoMRC's Code of Practice².

Ancillary tests

Ancillary tests are not required to make a diagnosis of DNC in infants from 37 weeks corrected gestation (post menstrual) to two months post term [Level 3, Grade D].

In cases where a clinical diagnosis of DNC is not possible (for example because of extensive facio-maxillary injuries, or high cervical cord injury), ancillary tests are not sufficiently robust to help confidently diagnose DNC in infants [Level 3, Grade D].

Procedure for the diagnosis and confirmation of cessation of brain stem function by neurological testing of brain stem reflexes

Diagnosis is to be made by two paediatricians who have been registered for more than five years and are competent in the procedure. At least one should be a consultant. Testing should be undertaken by the paediatricians together and must always be performed completely and successfully on two occasions in total.

Patient Name:

Hospital Record No:

Infant's corrected gestation (post menstrual):

Preconditions:

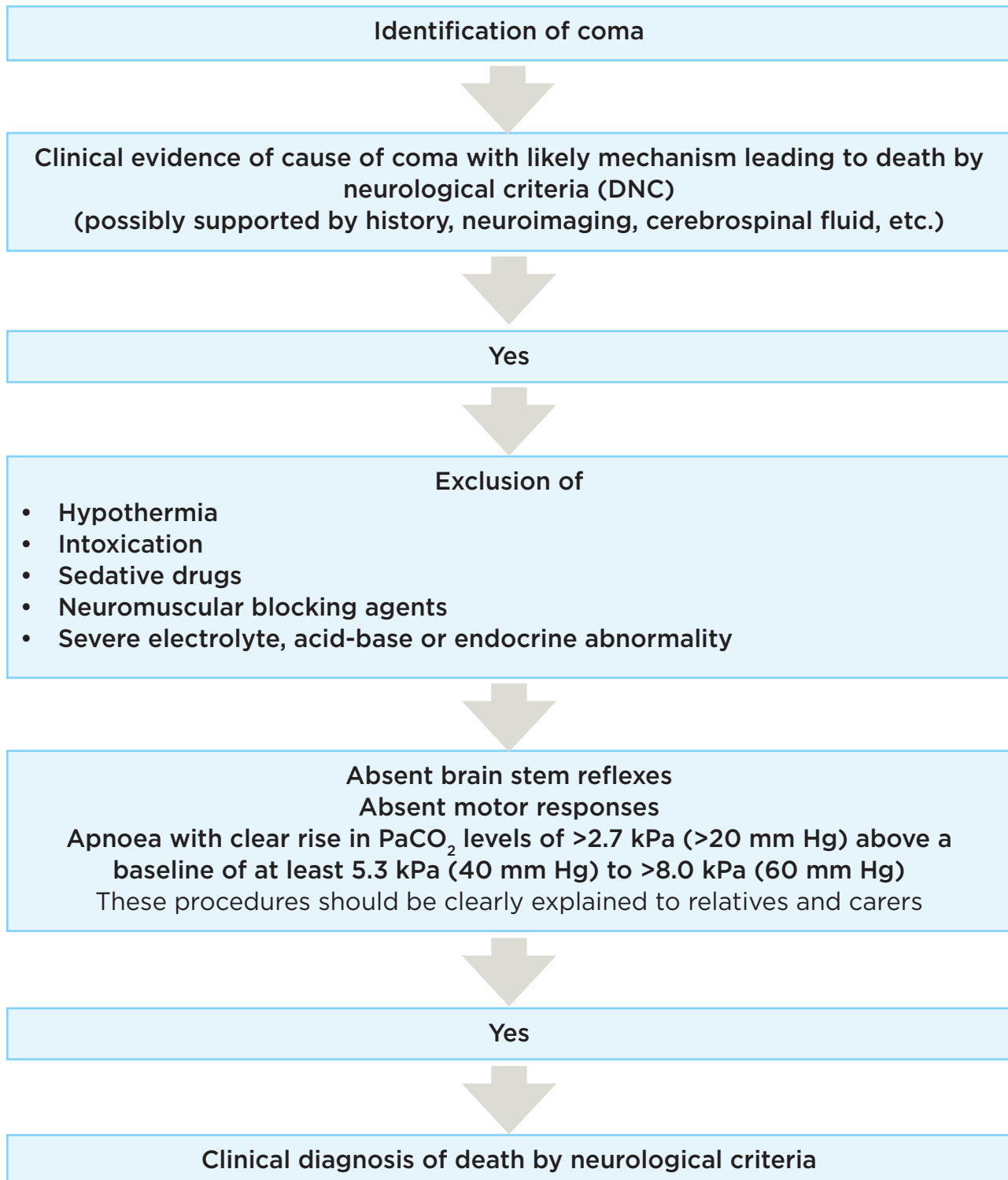
Observation period before testing:

Are you satisfied that the patient suffers from a condition that has led to irreversible brain damage?

Specify the condition:

Dr A:	Dr B:			
Time of onset of unresponsive coma:				
Observation period:				
Dr A:	Dr B:			
Are you satisfied that the potentially reversible causes for the patient's condition have been adequately excluded (Y = excluded; N = not excluded), in particular:				
	Dr A:		Dr B:	
	1st exam	2nd exam	1st exam	2nd exam
Depressant drugs				
Neuromuscular blockade				
Hypothermia				
Metabolic/endocrine disturbance				
Tests for absence of brain stem function (Y = present; N = absent)	1st set of tests	2nd set of tests	1st set of tests	2nd set of tests
Do the pupils react to light?				
Are there corneal reflexes?				
Is there eye movement on caloric testing?				
Are there motor responses in the cranial nerve distribution in response to stimulation of face, limbs, or trunk?				
Is the gag reflex present?				
Is there a cough reflex?				
Have the recommendations concerning testing for apnoea been fulfilled?				
Were there any respiratory movements seen?				
Date and time of first set of tests:				
Date and time of second set of tests:				
Dr A signature:	Dr B signature:			
Status:	Status:			

Diagnostic and management algorithm



7. Implications for practice

7.1 Guideline update

It is recommended that the guidance in this report is updated so that clinical recommendations take into account important new information. The evidence should be reviewed five years after publication, and the views of health care professionals, parents and carers should be sought to assess whether the guidance requires updating. If new evidence is published between updates it may be decided that a more rapid update of some of the recommendations is necessary.

7.2 Research recommendations

- A study to define more clearly the developmental trajectory of brain stem reflexes in pre term infants.
- A British Paediatric Surveillance Unit study should be carried out to assess the impact of widening the age range in which a diagnosis of DNC is made to include the period of 37 weeks corrected gestation (post menstrual) to two months post term.
- A study to incorporate the views of parents of infant whose death is established in this way and of health care professionals involved in the process of diagnosing DNC (i.e. nurses and medical staff). This should include ascertainment at both a short and a longer interval after the event.

7.3 Editorial independence

The report update was internally funded by the RCPCH and all working group members declared all conflicts of interests, which were recorded (see more detail in [full methodology report](#)).

7.4 Implementation

The report is available on the RCPCH website (Clinical Guidelines and Standards section). In addition, all confirmed stakeholders will be approached for direct publication on their website or via a link to the RCPCH site.

7.5 Implementation advice

Clinicians working in intensive care are highly skilled at supporting parents and carers at the time of the death of their infant and have developed a range of practices relating to withdrawal of treatment⁶. Parents have been shown to be appreciative of sensitive bereavement support and care^{31,32}. Bereavement can be difficult and demanding for those working with infants and families around the time of death³³⁻³⁵. When a diagnosis of DNC occurs, new challenges may arise for clinicians as well as for parents, and current practices of bereavement support may need to evolve in response.

A diagnosis of DNC may require some degree of extension to the observation period prior to the first clinical test for DNC. The implications of this for parents are not yet known. Such a delay may or may not be problematic. When the dying process is extended, for instance by a lingering death after withdrawal of treatment, this is known to be a potential cause of parental distress³⁶ especially if there is a mismatch of expectations and events. A quick death after redirection of care has been shown to be seen as an affirmation of the decision that was made, while a lingering death can raise parental doubts. In the situation considered in this report the timescale of the assessment process and any signs which may suggest hope may be difficult for parents and may complicate their experience and subsequent bereavement. It may be the case that additional time that is expected and planned for, and the careful accumulation of evidence to inform and support a decision may be viewed positively by parents and by clinicians.

7.6 Resource implications

Following the recommendations stated in this report, the need for ongoing training and support might have financial implications, particularly for smaller units. Physicians will need training in the diagnosis of DNC in young infants from 37 weeks corrected gestation (post menstrual) to two months post term, and all health care practitioners will need training in managing bereavement when this diagnosis is made. Neonatal paediatricians are unlikely to have experience of making the diagnosis of DNC and will require close liaison with paediatric neurologists and intensivists locally when making a diagnosis. The 2008 AoMRC's Code of Practice² states:

“(The) diagnosis should be made by two doctors who have been registered for more than five years and are competent in the procedure. At least one should be a consultant. Testing should be undertaken by the doctors together and must always be performed completely and successfully on two occasions in total.” (2008 AoMRC's Code of Practice, page 22).

Paediatricians making the diagnosis of DNC should meet these criteria.

The recommendations in this report might present challenges for clinicians emotionally and ethically. Clinicians and health care teams should provide support to parents and carers of infants being clinically assessed for DNC, to ensure they are well informed and have access to any emotional support they require. Training should be available for paediatricians to offer bereavement support and, where appropriate, direct families to those who can provide further advice and information. Clear, easy-to-understand information should be provided to parents and carers at all stages. It will be important that parents have access to clear information to help them understand the determination of DNC, and health care professionals will need to provide supportive care to help them incorporate this information into their understanding of the processes surrounding their infant's death.

8. Glossary

8.1 Definitions

Coma	A state of unconsciousness lasting for six hours or more.
Corrected gestation	The gestational age that the baby was born at plus the number of completed weeks after birth.
Cerebral blood flow (CBF)	The volume of blood flowing through the brain in a particular time. The units are mL/100 gm brain tissue/minute.
Death by cardiac criteria (DCC)	The consequence of irreversible loss of cardiac function, with cardiac arrest.
Death by neurological criteria (DNC)	The irreversible loss of those essential characteristics which are necessary to the existence of a living person (loss of the capacity for consciousness, combined with loss of the capacity to breathe)
Electrocerebral silence (ECS)	Electrocerebral silence as determined by EEG recording, showing absence of electrical activity in the brain. Also known as an isoelectric electroencephalogram (EEG).
Transcranial doppler (TCD)	TCD is a technique used to measure the velocity of blood in the cerebral blood vessels.

8.2 Abbreviations

AAP	American Academy of Pediatrics
AoMRC	Academy of Medical Royal Colleges
BPA	British Paediatric Association (forerunner of the Royal College of Paediatrics and Child Health)
CBF	Cerebral blood flow
CO ₂	Carbon dioxide
DCC	Death by cardiac criteria
DNC	Death by neurological criteria
ECS	Electrocerebral silence
EEG	Electroencephalography
O ₂	Oxygen
PaCO ₂	Partial pressure of carbon dioxide in arterial blood
PaO ₂	Partial pressure of oxygen in arterial blood
PET	Positron emission tomography
RCPCH	Royal College of Paediatrics and Child Health
SPECT	Single photon positron emission computed tomography
TCD	Transcranial Doppler

9. References

1. British Paediatric Association. Diagnosis of brain stem death in children: A Working Party Report. 1991.
2. Academy of Medical Royal Colleges. A code of practice for the diagnosis and confirmation of death. 2008. Available from http://www.aomrc.org.uk/doc_details/42-a-code-of-practice-for-the-diagnosis-and-confirmation-of-death
3. Nakagawa T., Ashwal S., Mathur M., *et al.* Guidelines for the determination of brain death in infants and children: An update of the 1987 Task Force recommendations. *Critical Care Medicine* 2011;39:2139 - 2155.
4. Garcia C., Ferro J. European brain death codes: a comparison of national guidelines (review). *Journal of Neurology* 2000;246:432 - 437.
5. The Canadian Council for Donation and Transplantation. Severe brain injury to neurological determination of death: A Canadian Forum (*Report and Recommendations*). 2003. Available from <http://www.giftoflife.on.ca/resources/pdf/Severe%20Brain%20Injury%20.pdf>
6. Larcher V., Craig F., Bhogal K., Wilkinson D., Brierley J. on behalf of the Royal College of Paediatrics and Child Health. Making decisions to limit treatment in life-limiting and life-threatening conditions in children: a framework for practice. *Archives of Disease in Childhood* 2015;100(Suppl 2):s1 - s26. Available from <http://www.rcpch.ac.uk/ethics>
7. Royal College of Paediatrics and Child Health. Standards for development of clinical guidelines in paediatrics and child health. 2006. Available from http://www.rcpch.ac.uk/sites/default/files/asset_library/Research/Clinical%20Effectiveness/Standards%20Document%20June%202006.pdf
8. Scottish Intercollegiate Guidelines Network (SIGN). A guideline developer's handbook. Available from <http://www.sign.ac.uk/pdf/sign50.pdf>
9. Ashwal S. Brain death in early infancy. *Journal of Heart and Lung Transplantation* 1993;12:S176-S178.
10. Parker BL, Frewen TC, Levin SD, *et al.* Declaring pediatric brain death: current practice in a Canadian pediatric critical care unit. *Canadian Medical Association Journal* 1995;153:909-16
11. Scher M., Barabas R., Barmada M. Clinical examination findings in neonates with the absence of electrocerebral activity: an acute or chronic encephalopathic state. *Journal of Perinatology* 1996;16:455-460.
12. Gotay-Cruz F., Fernandez-Sein A. Pediatric experience with brain death determination. *Puerto Rico Health Sciences Journal* 2002;21:11-15.
13. Goh A., Mok Q. Clinical course and determination of brain stem death in a children's hospital. *Acta Paediatrica* 2004;93:47-52.
14. Okuyaz C., Gücüyener K., Karabacak N., *et al.* Tc-99m-HMPAO SPECT in the diagnosis of brain death in children. *Pediatrics International* 2004;46:711-714.
15. Sanker P., Roth B., Frowein R., *et al.* Cerebral reperfusion in brain death of a newborn. Case report. *Neurosurgical Review*. 1992;15:315-317.
16. Terk M., Gober J., DeGiorgio C., *et al.* Brain death in the neonate: assessment with P-31 MR spectroscopy. *Radiology* 1992;182:582-583.
17. Jalili M., Crade M., Davis A.S. Carotid blood-flow velocity changes detected by Doppler ultrasound in determination of brain death in children *Clinical Pediatrics* 1994;33:669.

18. Singh N.C., Reid R.H., Loft J.A., et al. Usefulness of (Tc 99m) HM-PAO scan in supporting clinical brain death in children: uncoupling flow and function. *Clinical Intensive Care* 1994;5:71-74.
19. Facco E., Zucchetta P., Munari M., et al. Tc-HMPAO SPECT diagnosis of brain death. *Intensive Care Medicine* 1998;24:911-917.
20. Cauley R., Suh M., Kamin D., et al. Multivisceral transplantation using a 2.9kg neonatal donor. *Pediatric Transplantation* 2012;16:E379-E382.
21. Medlock M., Hanigan W., Cruse R. Dissociation of cerebral blood flow, glucose metabolism, and electrical activity in pediatric brain death. *Journal of Neurosurgery* 1993;79:752-755.
22. National Institute for Health and Care Excellence. Therapeutic hypothermia with intracorporeal temperature monitoring for hypoxic perinatal brain injury. 2010. Available from <http://www.nice.org.uk/guidance/ipg347>
23. Ashwal S. Brain death in the newborn: Current perspectives. *Clinics in Perinatology* 1997;24:859-882.
24. Joffe A., Kolski H., Duff J., et al. A 10-month old infant with reversible findings of brain death. *Pediatric Neurology* 2009;41:378-382.
25. Kato T., Tokumaru A., O'Uchi T., et al. Assessment of brain death in children by means of P-31 MR spectroscopy: preliminary note. Work in progress. *Radiology* 1991;179:95-99.
26. LaMancusa J., Cooper R., Vieth R., et al. The effects of the falling therapeutic and subtherapeutic barbiturate blood levels on electrocerebral silence in clinically brain-dead children. *Clinical Electroencephalography* 1991;22:112-117.
27. Mata-Zubilana D., Oulego-Eroz I. Persistent cerebral blood flow by transcranial doppler ultrasonography in an asphyxiated newborn meeting brain death diagnosis case report and review of the literature. *Journal of Perinatology* 2012;32:473-475.
28. Okamoto K., Sugimoto T. Return of spontaneous respiration in an infant who fulfilled current criteria to determine brain death. *Pediatrics* 1995;96:518.
29. Ruiz López M., Martínez de Azagra A., Serrano A., et al. Brain death and evoked potentials in pediatric patients. *Critical Care Medicine* 1999;27:412-416.
30. Wilson K., Gordon L., Selby J. The diagnosis of brain death with Tc-99m HMPAO. *Clinical Nuclear Medicine* 1993;18:428-434.
31. Macdonald M., Liben S., Carnevale F., et al. Parental perspectives on hospital staff members' acts of kindness and commemoration after a child's death. *Pediatrics* 2005;116:884-890.
32. Brosig C., Pierucci R., Kupst M., et al. Infant end-of-life care: the parents' perspective. *Journal of Perinatology* 2007;27:510-516.
33. Okah F., Wolff D., Boos V., et al. Perceptions of a strategy to prevent and relieve care provider distress in the neonatal intensive care unit. *American Journal of Perinatology* 2012;29:687-692.
34. McGraw S., Truog R., Solomon M., et al. "I was able to still be her mom"- parenting at end of life in the pediatric intensive care unit. *Pediatric Critical Care Medicine* 2012;13:e350-356.
35. van Zuuren F., van Manen E. Moral dilemmas in neonatology as experienced by health care practitioners: a qualitative approach. *Medicine, Health Care Philosophy* 2006;9:339-347.
36. McHaffie H., Lyon A., Fowlie P. Lingering death after treatment withdrawal in the neonatal intensive care unit. *Archives of Disease in Childhood, Fetal and Neonatal* 2001;85:8-12.

Appendices

Appendix 1.1

Clinical Diagnosis of death in the presence of persisting cardiac function in infants under two months of age. (Studies presented in alphabetical order rather than date order for ease of cross-referencing between appendices). The PaCO₂ data are presented in units of ‘mm Hg’ since this is the unit used in all of the publications. To convert ‘mm Hg’ to the UK standard ‘kPa’ divide the number by 7.5.

Reference	Country and diagnostic criteria	Cases	Degree of detail (see Table 1)	SIGN level of evidence
Ashwal (1993) ⁹	USA according to National Guidelines	Twelve infants ≤2 months of age. Apnoea testing was described in the larger group of cases in the report with PaCO ₂ ranging 52 to 143 mm Hg (two cases with PaCO ₂ below 60 mm Hg - 52 and 56 mm Hg).	I	3
Cauley et al (2012) ²⁰	USA according to National Guidelines	The case report (36 weeks plus 6 day old infant with age equivalent of 8 days old with clinical diagnosis of “brain death”) described apnoea testing with no breathing after 15 minutes when PaCO ₂ 100 mm Hg.	II	3
Facco et al (1998) ¹⁹	Italy according to National Guidelines	A case series of 50 children and adults with no specific details provided for infants ≤2 months. Diagnosis of DNC made with apnoea, PaCO ₂ level >60 mm Hg, although no details of apnoea testing was described.	II	3
Goh et al (2004) ¹⁵	UK according to National Guidelines for infants ≥2 months of age	Case series with 3 infants ≤2 months . States apnoea test recorded but provides no detail of apnoea testing and PaCO ₂ levels.	I	3
Gotay-Cruz et al (2002) ¹²	Puerto Rico according to USA Guidelines	Case series with 7 infants ≤6 months of age with 2 infants ≤2 months. The apnoea testing and PaCO ₂ levels were not described.	I	3
Jalili et al (1994) ¹⁷	USA according to National Guidelines	Two infants ≤2 months: one infant removed from life-sustaining therapy before they met “brain death” criteria; one infant met “brain death” criteria . The apnoea testing and PaCO ₂ levels were not described.	II	3

Reference	Country and diagnostic criteria	Cases	Degree of detail (see Table 1)	SIGN level of evidence
Medlock et al (1993) ²¹	USA according to National Guidelines	One infant ≤ 2 months: infant aged 2 months met “brain death” criteria. The apnoea testing and PaCO ₂ levels were not described.	III	3
Okuyaz et al (2004) ¹⁴	Turkey according to USA Guidelines	Case series with 8 children, 2 of which were neonates aged 7 days. The apnoea testing and PaCO ₂ levels were not described.	I	3
Parker et al (1995) ¹⁰	Canada according to Canadian Medical Association Guidelines	Seventeen infants ≤ 1 year: 5 of 6 neonates and 8 of 11 the infants over 28 days old met “brain death” criteria. The apnoea testing and PaCO ₂ levels were not described.	I	3
Sanker et al (1992) ¹⁵	Germany according to Federal Republic of Germany Guidelines	One infant ≤ 2 months: infant aged 6 weeks met “brain death” criteria. The apnoea testing and PaCO ₂ levels were not described.	II	3
Scher et al (1996) ¹¹	USA according to National Guidelines	Three of 14 neonates ≥ 37 weeks gestation met “brain death” criteria. The apnoea testing and PaCO ₂ levels were not described.	I	3
Singh et al (1994) ¹⁸	Canada according to USA Guidelines	Nine infants ≤ 1 month: data not provided as to whether these infants had cerebral blood flow scanning as an assessment of cerebral injury or to confirm a clinical examination consistent with “brain death”.	II	3
Terk et al (1992) ¹⁶	USA according to National Guidelines	One infant ≤ 2 months: term infant met “brain death” criteria. The apnoea testing and PaCO ₂ levels were not described.	II	3

Appendix 1.2

Overview of national guidance used for the diagnosis of death in infants in the presence of persisting cardiac function. (Reports presented in alphabetical order rather than date order for ease of cross-referencing between appendices). The PaCO₂ data are presented in units of ‘mm Hg’ since this is the unit used in all of the publications. To convert ‘mm Hg’ to the UK standard ‘kPa’ divide the number by 7.5.

Reference	Diagnostic Guidelines after preconditions and exclusions	Criteria applied	Apnoea test criteria	Interval between testing
Ashwal (1993) ⁹	<i>Special Task Force for Guidelines for the Determination of Brain Death in Children: guidelines for the determination of brain death in children, Pediatrics 1987;80:298-300</i>	<ul style="list-style-type: none"> • Absence of brain stem function • Complete loss of cerebral function • Flaccid tone • Absence of spontaneous or induced movements • Isoelectric EEG 	<p>Criterion: apnoea despite a rise in PaCO₂ to >60 mm Hg, with normal oxygenation.</p> <p>Apnoea testing described in 27 cases in the report with PaCO₂ ranging 52 to 143 mm Hg (two cases with PaCO₂ below 60 mm Hg – 52 and 56 mm Hg).</p>	Infants aged 7 days to 2 months, two examinations and EEGs 48 hours apart
Cauley et al (2012) ²⁰	<i>Special Task Force for Guidelines for the Determination of Brain Death in Children: guidelines for the determination of brain death in children, Pediatrics 1987;80:298-300</i>	<ul style="list-style-type: none"> • Absence of brain stem function • Complete loss of cerebral function • Flaccid tone • Absence of spontaneous or induced movements • Isoelectric EEG 	<p>Criterion: apnoea despite a rise in PaCO₂ to >60 mm Hg, with normal oxygenation.</p> <p>The case report (36 weeks plus 6 day old infant with age equivalent of 8 days old with clinical diagnosis of “brain death”) described apnoea testing with no breathing after 15 minutes when PaCO₂ 100 mm Hg.</p>	Infants aged 8 days before declared “brain dead” (i.e., an interval of 7 days between examinations)

Reference	Diagnostic Guidelines after preconditions and exclusions	Criteria applied	Apnoea test criteria	Interval between testing
Facco et al (1998) ¹⁹	<i>Ministero dell Sania (1994) Regolamento recante le modalita per l'accertamento e la certificazione di morte. Decreto 22/8/1994 n.582</i>	<ul style="list-style-type: none"> Absence of brain stem reflexes and oculovestibular responses Absence of motor responses following painful stimuli in trigeminal areas Absence of oropharyngeal and respiratory reflexes, and apnoea (with PaCO₂ >60 mm Hg) Isoelectric EEG (three recordings, each lasting at least 30 minutes, at the beginning in the middle and at the end of the observation period Demonstration of cerebral circulatory arrest in infants below 1 year of age 	Criterion: apnoea despite a rise in PaCO₂ to >60 mm Hg , with normal oxygenation.	Observation period between testing lasting 24 hours in newborns and infants under 1 year of age
Goh et al (2004) ¹³	<i>Conference of Medical Royal Colleges and their Faculties in the United Kingdom. Diagnosis of brain stem death in infants and children. London: Royal College of Paediatrics and Child Health; 1991.</i>	<ul style="list-style-type: none"> Coma No pupillary response to light No corneal reflex No vestibulo-cochlear reflex No Doll's eye reflex No motor response to pain in the cranial fifth nerve distribution No gag reflex in response to suction 	<p>Criterion: apnoea despite a rise in PaCO₂ to >50 mm Hg, with normal oxygenation.</p> <p>The PaCO₂ values were documented in 21 patients from the whole series, giving a mean value of 65 ± 8.8 (SD) mm Hg. Five patients had PaCO₂ measurements of less than 60 mm Hg (4 patients had values >58 mm Hg)</p>	
Gotay-Cruz et al (2002) ¹²	<i>Special Task Force for Guidelines for the Determination of Brain Death in Children: guidelines for the determination of brain death in children, Pediatrics 1987;80:298-300</i>	<ul style="list-style-type: none"> Absence of brain stem function Complete loss of cerebral function Flaccid tone Absence of spontaneous or induced movements Isoelectric EEG 	Criterion: apnoea despite a rise in PaCO₂ to >60 mm Hg , with normal oxygenation.	Infants aged 7 days to 2 months, two examinations and EEGs 48 hours apart

Reference	Diagnostic Guidelines after preconditions and exclusions	Criteria applied	Apnoea test criteria	Interval between testing
Jalili et al (1994) ¹⁷	<i>Guidelines for determination of brain death in children. Ann Neurol 1987;21:616-617</i>	<ul style="list-style-type: none"> • Absence of brain stem function • Complete loss of cerebral function • Flaccid tone • Absence of spontaneous or induced movements • Isoelectric EEG 	Criterion: apnoea despite a rise in PaCO₂ to >60 mm Hg , with normal oxygenation.	Infants aged 7 days to 2 months, two examinations and EEGs 48 hours apart
Medlock et al (1993) ²¹	<i>Special Task Force for Guidelines for Determination of Brain Death in Children: guidelines for determination of brain death in children, Pediatrics 1987; 80:298-300</i>	<ul style="list-style-type: none"> • Absence of brain stem function • Complete loss of cerebral function • Flaccid tone • Absence of spontaneous or induced movements • Isoelectric EEG 	Criterion: apnoea despite a rise in PaCO₂ to >60 mm Hg , with normal oxygenation.	“If repeated neurological examinations performed over several days... support the diagnosis of brain death, the presence of CBF and glucose metabolism should not alter this conclusion”
Okuyaz et al (2004) ¹⁴	<i>Special Task Force for Guidelines for Determination of Brain Death in Children: guidelines for determination of brain death in children, Pediatrics 1987; 80:298-300</i>	<ul style="list-style-type: none"> • Absence of brain stem function • Complete loss of cerebral function • Flaccid tone • Absence of spontaneous or induced movements • Isoelectric EEG 	Criterion: apnoea despite a rise in PaCO₂ to >60 mm Hg , with normal oxygenation.	Infants aged 7 days to 2 months, two examinations and EEGs 48 hours apart
Parker et al (1995) ¹⁰	<i>Guidelines for the diagnosis of brain death. [CMA position statement] Can Med Assoc J 1987;136:200A-200B</i>	<ul style="list-style-type: none"> • Absence of brain stem reflexes • Deep coma and no response within the cranial nerve distribution to stimulation of any part of the body • No movements such as cerebral seizures, dyskinetic movements, decorticate or decerebrate posturing • Apnoeic when taken off the respirator for an appropriate time 	Criterion: apnoea despite a rise in PaCO₂ to 50-55 mm Hg , with normal oxygenation. Individual data for infants are not provided. The median PaCO ₂ was 74 (range 55 to 112) mm Hg. In all cases, PaCO ₂ achieved was higher than the level required in the 1987 Canadian guidelines. (Current Canadian Guidelines use 60 mm Hg). ⁵	Interval between first and second examination in neonates and infants was 30 minutes to 31 hours .

Reference	Diagnostic Guidelines after preconditions and exclusions	Criteria applied	Apnoea test criteria	Interval between testing
Sanker et al (1992) ¹⁵	<i>Bundesarztekkammer: Kriterien des Hirntodes. 2. Fortschreibung am 29 Juni 1991. Dt Arztebl (B) 88, 49 (1991) 2855-2860</i>	<ul style="list-style-type: none"> • Coma • Cranial nerve areflexia • Apnoea 		In newborns the “recommended waiting period of three days for the declaration of brain death...is mandatory, and cannot be replaced by other confirmatory test”
Scher et al (1996) ¹¹	<i>Special Task Force for Guidelines for the Determination of Brain Death in Children: guidelines for the determination of brain death in children, Pediatrics 1987;80:298-300</i>	<ul style="list-style-type: none"> • Absence of brain stem function • Complete loss of cerebral function • Flaccid tone • Absence of spontaneous or induced movements • Isoelectric EEG 	Criterion: apnoea despite a rise in PaCO₂ to >60 mm Hg , with normal oxygenation.	
Singh et al (1994) ¹⁸	<i>Ad Hoc Committee on Brain Death. Determination of brain death. J Pediatr 1987;110:15-19</i>	<ul style="list-style-type: none"> • Absence of brain stem function • Complete loss of cerebral function • Flaccid tone • Absence of spontaneous or induced movements • Isoelectric EEG 	Criterion: apnoea despite a rise in PaCO₂ to >60 mm Hg , with normal oxygenation.	“All patients had repeated clinical examinations to determine brain death with the intervals between examinations varying between six and 48 hours. ”
Terk et al (1992) ¹⁶	<i>Lynn J, Barber J, Becker D, et al. Guidelines for the determination of death: report of the Medical Consultants on the Diagnosis of Death to the President's Commission for the Study of Ethical Problems in Medicine and Biomedical and Behavioral Research. JAMA 1981;246:2185-2186</i>	<ul style="list-style-type: none"> • Absence of brain stem function • Complete loss of cerebral function • Flaccid tone • Absence of spontaneous or induced movements • Isoelectric EEG 	Criterion: apnoea despite a rise in PaCO₂ to >60 mm Hg , with normal oxygenation.	Interval of 4 days between testing

Appendix 1.3

Timing of cessation of cardiac function or withdrawal of treatment after the diagnosis of death in the presence of persisting cardiac function in infants. (Studies are presented in alphabetical order rather than date order for ease of cross-referencing between appendices).

Reference	Gestation (weeks)	Age at diagnosis	Interval between diagnosis and circulatory arrest	Observation notes
Ashwal (1993) ⁹		5 infants < 1 week 12 infants 1 week to 2 months	Treatment withdrawn or organ donation: Average 1.2 days Treatment withdrawn: Average 1.2 days	
Cauley et al (2012) ²⁰	36.6	7 days	Treatment withdrawn and organ donation: 2 days	Clinically unchanged for a total of 9 days, with diagnosis delayed until aged 1 week
Facco et al (1998) ¹⁹		10 days	“Terminal cardiac arrest”: 2 - 3 days	
Goh et al (2004) ¹³		4 days 2 weeks 6 weeks	Treatment withdrawn: 33 hours Treatment withdrawn: 33 hours Treatment withdrawn: 33 hours	Average observation period in infants <12 months
Gotay-Cruz et al (2002) ¹²		1 day 2 months	Treatment withdrawn Treatment withdrawn	Timings not described
Medlock et al (1993) ²¹		10 days	Treatment withdrawn: 9 days	
Okuyaz et al (2004) ¹⁴		7 days 7 days	7 days 7 days	
Parker et al (1995) ¹⁰		6 infants < 1 week	Treatment withdrawn or organ donation: Average 7 hours	
Sanker et al (1992) ¹⁵	Term	2 weeks	Treatment withdrawn: 7 days	
Scher et al (1996) ¹¹	38 40 40	2 days 1 day 2 days	Treatment withdrawn: 17 days Treatment withdrawn: 1 day Treatment withdrawn: 1 day	“No return of neurological function in any”
Terk et al (1992) ¹⁶		1 day	≥ 18 days	

Appendix 1.4

Ancillary tests used to aid diagnosis of death in the presence of persisting cardiac function in infants under 1 year of age. (Studies are presented in alphabetical order rather than date order for ease of cross-referencing between appendices)

Reference	Test type	Cases and test description	Degree of Detail (see Table 1)	SIGN level of evidence
Aswal (1997) ²³	EEG	A review of 87 children, 37 of 53 newborns with a diagnosis of “brain death” had an EEG performed. 19 children had ECS, 15 had very low voltage activity, 1 intermittent activity, 1 seizure activity, and 1 normal activity. Almost all patients whose first EEG showed ECS had ECS on the second study and most of the patients who did not show ECS on the first EEG did so on a repeat study.	N/A	3
Facco et al (1998) ¹⁹	CBF	A cases series of 50 comatose or “brain dead” children aged 10 days to 16 years with no specific details provided for infants ≤2 months. Death in the presence of persisting cardiac function was determined, and SPECT showed cerebral circulation in 11/17 children.	II	3
Jalili et al (1994) ¹⁷	EEG	Case series of 17 children from 1 month to 5 years of age, with 5 under 1 year old. Four of these children met “brain death” criteria which included ECS on EEG.	II	3
Joffe et al (2009) ²⁴	EEG	A case study of a 10 month old infant who had an examination consistent with “brain death” 42 hours after being found face down in bathwater. Second assessment, 15 hours later, hiccup-like breaths were recognized (86 hours after the first assessment). Infant met criteria for “brain death” according to Canadian guidelines on the first assessment, however subsequent EEG did not confirm ECS.	N/A	3
Kato et al (1991) ²⁵	EEG	Study reporting 3 infants (indeterminate ages) meeting adult criteria for “brain death”. An 8 month old who was severely asphyxiated after a road traffic accident had ECS on the EEG; criteria for “brain death” were present 3 weeks later, but after 2 months the child was in a persistent vegetative state.	N/A	3
LaMancusa et al (1991) ²⁶	EEG	Case series of 92 children with 19 infants under 1 year of age meeting criteria for death in the presence of persisting cardiac function and having at least one EEG. In these infants, therapeutic levels of phenobarbitone (i.e., 15-40 µg/mL) did not affect the EEG.	N/A	3
Mata-Zubillaga (2012) ²⁷	EEG	Case study of 36 week old infant with a diagnosis of “brain death” made at 48 hours after two EEGs, separated by a period of 48 hours, which showed ECS.	N/A	3
	TCD	Persistent cerebral blood flow as demonstrated by peak systolic velocities was demonstrated at 12 hours of birth, and repeated at 24, 48, 72 and 96 hours.		

Reference	Test type	Cases and test description	Degree of Detail (see Table 1)	SIGN level of evidence
Medlock et al (1993) ²¹	CBF	A case study of a 2 month old infant meeting criteria for death in the presence of persisting cardiac function, using EEG and apnoea test. CBF study showed radionuclide uptake and 1 week later a second test showed perfusion of cerebral hemispheres. Authors suggest that CBF may not indicate neurological function.	III	3
Okamoto (1995) ²⁸	EEG	Study reported 3 month old infant who had hypoglycaemia and apnoea requiring cardiopulmonary resuscitation. Three and 5 days after the event there was ECS on EEG and all criteria for "brain death" were met. The child regained spontaneous respiration on day 43 but died on day 71.	N/A	3
Okuyaz et al (2004) ¹⁴	CBF	Case series of 8 children between 7 days and 8 years; 2 infants 7 days of age. Death in the presence of persisting cardiac function was confirmed using apnoea test and assessment of brain stem reflexes. Patients given Tc-99m prior to SPECT: first examination showed perfusion in the cerebrum and cerebellum; and second examination showed no perfusion. The 2 infants died 24-48 hours after first SPECT.	I	3
Parker et al (1995) ¹⁰	EEG	Chart review of 60 "brain dead" patients, 17 under 1 year of age (6 neonates 35-40 weeks gestation). One infant and 2 neonates had ECS on EEG.	I	3
Ruiz-Lopez (1999) ²⁹	EEG	A case series of 51 children, with 15 infants under 1 year of age. Once death in the presence of persisting cardiac function was determined, an EEG was carried out in 29/51 patients, with ECS found in 7/29.	N/A	3
Sanker et al (1992) ¹⁵	EEG	Case study of an infant less than 2 months meeting "brain death" criteria, which included an EEG performed the next day, and twice more at 2 day intervals. The EEG revealed ECS.	II	3
	TCD	TCD showed evidence of persisting cerebral blood flow.		
Terk et al (1992) ¹⁶	EEG	Case study of an infant (\leq 2 months) classified as "brain dead" using clinical criteria. Two EEG examinations with ECS were performed on days 12 and 16 after delivery.	II	3
Wilson et al (1993) ³⁰	CBF	Case series of 17 patients with suspected "brain death". Three patients were under 1 years old (6 weeks, 2 months old and 3 months). The 6 week old infant had breathed on apnoea testing, the 2 month old had ECS, and the 3 month old had EEG activity. Intracranial blood flow was present in all 3 infants.	N/A	3