

ANNUAL REPORT ON VENTRICULAR ASSIST DEVICES

REPORT FOR 2015/2016 (1 APRIL 2006 – 31 MARCH 2016)

PUBLISHED FEBRUARY 2017

PRODUCED IN COLLABORATION WITH NHS ENGLAND

DISCLAIMER: DUE TO A LACK OF COMPLETE DATA REPORTING TO THE VAD DATABASE, THIS REPORT REFLECTS AN INCOMPLETE PICTURE OF THE CURRENT BRIDGE TO TRANSPLANT AND POST-TRANSPLANT VAD SERVICE. NHSBT ARE WORKING WITH NHS ENGLAND AND HEART TRANSPLANT CENTRES TO IMPROVE REPORTING AND THE INFORMATION PRESENTED IN THIS REPORT.

CONTENTS

Contents

EXECU	TIVE SUMMARY	3
	OUCTION	
	LONG TERM DEVICES USED FOR BRIDGING	
Activit	y	13
Patien	nt outcomes	20
A.	Patient survival	28
В.	Survival on a device	32
ADULT	BRIDGED TO LONG-TERM DEVICES	35
Patien	nt outcomes	35
ADULT	SHORT TERM DEVICES USED FOR BRIDGING	43
Activit	y	43
Patien	nt outcomes	49
ADULT	SHORT TERM DEVICES USED POST-HEART TRANSPLANT	54
Activit	y	54
	nt outcomes	
PAEDIA	TRIC LONG TERM DEVICES USED FOR BRIDGING	63
Activit	у	63
	t outcomes	
Α.	Patient survival	
В.	Survival on a device	
	DIX	
	ethods	
	lossary of terms	
/ \Z. U	IOOOUI	

EXECUTIVE SUMMARY

EXECUTIVE SUMMARY

The UK ventricular assist device (VAD) service was provisionally designated and commissioned by NHS England from April 2001 as a method to bridge patients with severe heart failure to heart transplantation. Data collection on all patients implanted with VADs began in April 2002 and, since January 2007, NHS Blood and Transplant (NHSBT) have been responsible for data collection and reporting.

This report contains key figures about <u>VAD</u> and Extra Corporeal Membrane Oxygenation (<u>ECMO</u>) implantation between 1 April 2006 and 31 March 2016, for all six adult cardiothoracic transplant centres performing VAD and ECMO implants in the UK for either

- bridging to heart transplant,
- primary graft dysfunction (PGD), or
- rejection post heart transplantation.

The report presents information on the number of VADs and ECMOs implanted and survival analysis after implant, both on a national and centre-specific basis.

There are two UK paediatric implant centres; Great Ormond Street Hospital (GOSH) and Newcastle (also an adult implant centre). However, GOSH and the Newcastle paediatric department have only recently started entering data in 2013. Results therefore only consider paediatrics (age<16 years) at Newcastle and all patients who received a VAD/ECMO at GOSH between 1 April 2013 and 31 March 2016.

Patients can receive either a <u>long-term</u> or a <u>short-term device</u>. ECMOs are included in the short-term device sections whilst Total Artificial Hearts (TAHs) are included in the long-term sections. Patients can receive more than one device (for example a patient may receive a short-term device and then a long-term device). Patients who receive a short-term device or ECMO and then a long-term device are classed as "bridged to long-term device".

Use of the contents of this report should be acknowledged as follows: Annual Report on Ventricular Assist Devices 2015/16, NHS Blood and Transplant

Key findings

- 857 adult and 67 paediatric patients received a VAD or ECMO for the intention of bridging to heart transplantation.
- 640 of the 857 adult patients received a long-term device with 80% of all long-term implants performed at Newcastle, Papworth and Harefield.
- 52 of the 67 paediatric patients received a long-term device with implants evenly split between the centres.
- 89% (95% CI: 86% 92%) of the 532 first continuous long-term adult VAD patients were estimated to be alive at 30 days.
- 94% (95% CI: 65% 99%) of the 17 first continuous long-term paediatric VAD patients were estimated to be alive at 30 days.
- Long-term adult VAD duration of support ranged between 0 and 3656 days (10 years) with a median VAD duration (95% CI) estimated to be 597 days (490, 704).
- Long-term paediatric VAD duration of support ranged between 16 and 620 days (1.7 years) with a median VAD duration (95% CI) estimated to be 87 days (0, 220).
- The national unadjusted rate of adult <u>patient survival</u> 1 year after first continuous long-term device is 72% (95% CI: 68-75). These rates vary between centres, ranging from 62% to 83%.
- The national unadjusted rate of paediatric <u>patient survival</u> 1 year after first continuous **long-term device** is 88% (95% CI: 61-97).
- The national unadjusted rate of <u>survival on a VAD</u> for adult patients 1 year after first continuous **long-term device** is 73% (95% CI: 69-77). These rates vary between centres, ranging from 69% to 85%.
- 62 adult patients and 4 paediatric patients received a short-term device or ECMO before receiving long-term device. These patients are not included in the patient outcome summaries above.
- 287 adult patients received a short-term device or ECMO for the intention of bridging to heart transplant and 151 received a short-term device or ECMO for primary graft dysfunction after heart transplantation.

INTRODUCTION

Introduction

The UK ventricular assist device (VAD) service was provisionally designated and commissioned by NHS England from April 2001 as a method to bridge patients with severe heart failure to heart transplantation. Data were collected on all patients implanted with VADs between April 2002 and December 2004 as part of the Evaluation of Ventricular Assist Device Program UK (EVAD) study, funded by the National Institute of Health Research (NIHR) Health Technology Assessment (HTA) programme. Following the EVAD study, Papworth Hospital continued to record VAD activity at Papworth, Harefield and Newcastle for VADs that were funded by NHS England for the purposes of bridge to transplant. Since January 2007, NHS Blood and Transplant (NHSBT) have been responsible for data collection and reporting.

Data collection had been limited and focused on basic outcome and demographic information. A more extensive audit was launched in the autumn of 2009 enabling more detailed data collection and analysis of risk factors and outcomes for implants at all centres. Centres were asked to retrospectively enter data for all VAD/ECMO implants performed since 1 January 2005 for long-term devices and 9 May 2002 for short-term devices, in addition to data related to all devices implanted since 2009. The data collected via this more extensive audit are stored in the VAD database held at NHSBT and are presented in this report.

This report presents information on <u>VAD</u> and <u>ECMO</u> implant activity and patient outcome after implant between 1 April 2006 and 31 March 2016, for all six adult centres performing VAD and ECMO implants in the UK for either

- bridging to heart transplant,
- primary graft dysfunction (PGD)
- rejection post heart transplantation.

Data were obtained from the UK <u>VAD Database</u> held at NHSBT as at 29 December 2016.

There are two UK paediatric implant centres; Great Ormond Street Hospital and Newcastle (also an adult implant centre). However, Great Ormond Street and the Newcastle paediatric department have only recently started entering data in 2013. Results therefore only include paediatrics (age<16 years) at Newcastle and all patients who received a VAD/ECMO at Great Ormond Street between 1 April 2013 and 31 March 2016.

Methods used are described in the Appendix.

Eight patients refused to give consent for their data to be recorded on the VAD database between 1 April 2006 and 31 March 2016 and they are not included in this report.

Table 1.1 shows the number of patients who received a device for bridging to heart transplantation and the number of devices implanted between 1 April 2006 and 31 March 2016 at each centre, whilst **Table 1.2** shows the equivalent information for patients who received a device for either PGD or rejection. For paediatric patients, these data are presented for implants since 1 April 2013.

Results in this report are presented in three main sections:

- Adult Bridge to Transplant
 - o Long-term
 - o Bridge to long-term
 - o Short-term
- Adult Primary Graft Dysfunction (PGD)
- Paediatric Bridge to Transplant
 - o Long-term

Note that some patients included in the bridging section also received a VAD or ECMO for primary graft dysfunction (PGD) and are included in both sections. Also, some patients may have received concurrent ECMO support with their VAD and these are reported as VAD implantations. Uncommon treatment options such as total artificial heart (TAH) bridging, treatment of rejection several years post-transplant and long-term VADs for PGD are mentioned in the relevant sections in text only. Rejection is defined as all VADs and ECMOs used for graft failure more than 30 days post heart transplant.

Table 1.1	Table 1.1 Number of bridging to transplant patients and devices implanted, by implant centre, 1 April 2006 to 31 March 2016									
Patient Age Group	Hospital	No. of patients	LT	Typ ST	e of dev ECMO		Total			
Adult	Newcastle	197	200	7	6	1	214			
Adult	Papworth	134	94	30	26	2	152			
Adult	Harefield	265	259	52	26	8	345			
Adult	Birmingham	104	56	43	32	0	131			
Adult	Manchester	101	61	44	24	0	129			
Adult	Glasgow	56	19	33	14	0	66			
	All adult centres	857	689	209	128	11	1037			
Paediatric	Newcastle	36	26	11	0	0	37			
Paediatric	Great Ormond Street	31	29	5	5	0	39			
	All paediatric centres	67	55	16	5	0	76			
LT=Long-term, ST-	short-term, ECMO=Extra Corporeal N	Membrane Oxygenatio	n, TAH= 1	Γotal artif	icial heart					

Table 1.2	Table 1.2 Number of PGD and rejection patients and devices implanted, by implant centre, 1 April 2006 to 31 March 2016									
Patient Age Group	Hospital	No. of patients	Type of device Type of d						Total	
Adult	Newcastle	10	3	3	2	8	0	2	0	2
Adult	Papworth	20	0	9	11	20	0	0	0	0
Adult	Harefield	42	0	25	19	44	0	0	0	0
Adult	Birmingham	24	0	9	18	27	0	0	1	1
Adult	Manchester	35	0	9	33	42	0	0	0	0
Adult	Glasgow	26	0	9	22	31	0	1	2	3
	All adult centres	157	3	64	105	172	0	3	3	6
Paediatric	Newcastle	2	0	0	0	0	2	0	0	2
Paediatric	Great Ormond Street	1	0	0	0	0	1	0	1	2
	All paediatric centres	3	0	0	0	0	3	0	1	4
LT=Long-term, S	T-short-term, ECMO=Extra Corp	ooreal Membrane Oxyge	nation							

Table 1.3a and **Table 1.4a** detail the <u>VAD</u> and <u>ECMO</u> sequence for 966 adult patients who are reported as receiving a device between 1 April 2006 and 31 March 2016 (47 received both a bridging device and a PGD device whilst one received separate devices for bridging, PGD and rejection).

Table 1.3b and **Table 1.4b** detail the <u>VAD</u> and <u>ECMO</u> sequence for 70 paediatric patients who are reported as receiving a device between 1 April 2013 and 31 March 2016. Each patient received either a bridging or rejection device with none receiving both.

Table 1.3a Number of adult bridging patients, by device history and inclusion in section, 1 April 2006 to 31 March 2016

Device history	No. of patients		Ad Long-term section E I			m section
		Activity (Pages 13 – 19)	Outcome ¹ (Pages 20 – 34)	outcome (Pages 35 – 42)	Activity (Pages 43 – 48)	Outcome (Pages 49 – 53)
LT	523	523	486			
LT-ECMO	2	2	2		2	
LT-LT	38	38	33			
LT-LT-LT	1 1	1	0		4	
LT-LT-ST LT-LT-ST-LT	1 1	1 1	1 0		1 1	
LT-ST-LT	4	4	4		4	
LT-ST-ECMO	1	1	1		1	
LT-ST-LT	1 1	1	1		1	
LT-TAH	2	2	2			
LT/LT-ECMO	1	1	1		1	
LT/LT-LT/ST	1	1	1		1	
LT/ST*	1	0	0		1	
TAH	6					
ST	123				123	123
ST-LT	25	25		25	25	
ST-LT-LT	2	2		2	2	0
ST-ST ST-ST-LT	3	1		1	3 1	3
ST-ECMO-ST-LT	1	1 1		1 1	1	
ECMO	48	ı		'	48	48
ECMO-ECMO	2				2	2
ECMO-LT	25	25		25	25	_
ECMO-LT-ECMO	1	1		1	1	
ECMO-ST	30				30	30
ECMO-ST-LT	7	7		7	7	
ECMO-ST/LT	1	1			1	
ECMO-TAH	3				3	
ECMO/ECMO-ST	1				1	1
ECMO/LT	1	1			1	
Overall	857	640	532	62	287	207

¹ First devices that were continuous long-term devices

Shading indicate where device histories would not be analysed

^{*} Long-term implant occurred before start of period so only short-term implant is considered

LT=Long-term, ST-short-term, ECMO=Extra Corporeal Membrane Oxygenation, TAH= Total artificial heart

LT-ST indicates that a patient received a long-term device and then a short-term device immediately following explantation of a long-term device

LT/ST indicates that a patient had two episodes and received a long-term device which was explanted and then a short-term device after a period of no support

Table 1.3b Number of paediatric bridging patients, by device history and inclusion in section, 1 April 2013 to 31 March 2016

Device history	No. of patients	Paediatric Long Term Section				
		Activity (Pages 63 – 67)	Outcome ¹ (Pages 68 – 71)			
LT	46	46	16			
LT-LT	1	1	1			
LT/ECMO-LT	1	1	0			
ST	15					
ECMO-LT	4	4				
Overall	67	52	17			

¹ First devices that were continuous long-term devices

Shading indicate where device histories would not be analysed

Table 1.4a UK adult VAD and ECMO patients who received a device following heart transplantation for either primary graft failure or rejection, 1 April 2006 to 31 March 2016

Device history	No. of patients	Primary graft dys	sfunction section	Rejection ¹
	patients	Activity (Pages 54 – 58)	Outcome (Pages 59 – 62)	
LT ¹	3			
ST	52	50	50	2
ST-ECMO	1	1	1	0
ST-ST	1	1	1	0
ECMO	85	85	85	2
ECMO-ECMO-ST	1	1	1	0
ECMO-ST	8	7	7	1
ECMO/ECMO	3	3	3	0
ECMO/ST	2	2	2	0
ECMO/ST-ECMO	1	1	1	0
Overall	157	151	151	5

¹ Included in text only

Shading indicate where device histories would not be analysed

LT=Long-term, ST-short-term, ECMO=Extra Corporeal Membrane Oxygenation, TAH= Total artificial heart

LT-ST indicates that a patient received a long-term device and then a short-term device immediately following explantation of a long-term device

LT/ST indicates that a patient had two episodes and received a long-term device which was explanted and then a short-term device after a period of no support

LT=Long-term, ST-short-term, ECMO=Extra Corporeal Membrane Oxygenation, TAH= Total artificial heart

LT-ST indicates that a patient received a long-term device and then a short-term device immediately following explantation of a long-term device

LT/ST indicates that a patient had two episodes and received a long-term device which was explanted and then a short-term device after a period of no support

Table 1.4b UK paediatric VAD and ECMO patients who received a device following heart transplantation for either primary graft failure or rejection, 1 April 2006 to 31 March 2016								
Device history	No. of patients	Primary graft dysfunction	Rejection					
LT ECMO-LT	2 1	0 0	2 1					
Overall	3	0	3					

LT=Long-term, ST-short-term, ECMO=Extra Corporeal Membrane Oxygenation, TAH= Total artificial heart

LT-ST indicates that a patient received a long-term device and then a short-term device immediately following explantation of a long-term device

LT/ST indicates that a patient had two episodes and received a long-term device which was explanted and then a short-term device after a period of no support

Table 1.5 shows the outcomes for the 11 patients who received a Total Artificial Heart (TAH) in the time period. TAH patients are not analysed further in this report. Five of these patients received either a long-term or an ECMO device prior to their TAH.

	e of TAH implant 006 to 31 March	
	To	otal
	N	%
Alive (post transplant)	1	(9)
Alive (post explant)	0	(0)
Alive with device	0	(0)
Total alive	1	(9)
Died (post transplant)	2	(18)
Died (post explant)	0	(0)
Died with device	8	(73)
Total died	10	(91)
TOTAL	11	(100)

Table 1.6 shows the bridging device activity rates per million population by country/ Strategic Health Authority of patients residence, both overall and for the most recent three year time period for adult and paediatric patients combined. The overall bridging device rate was 14.1 pmp and ranged from 9.5 to 34.7 pmp across the Strategic Health Authorities. The overall bridging device rate for the most recent three years was 7.1 pmp and ranged from 3.4 to 16.4 pmp across the Strategic Health Authorities.

Table 1.6 First bridging device (includes VADs, ECMOs and TAHs) rates per million population (pmp) in the UK, by Country/ Strategic Health Authority

Overall¹ Three year² Last year²

	Overall ¹ (1 April 2006 – 31 March 2016)		(1 Apri	e year ² I 2013 – ch 2016)	Last year (1 April 2015 – 31 March 2016)	
Country/ Strategic Health Authority	N	pmp	N	pmp	N	pmp
North East North West Yorkshire and The Humber North of England	91 98 72 261	(34.7) (13.7) (13.4) (17.3)	43 63 33 139	(16.4) (8.8) (6.2) (9.2)	14 26 15 55	(5.3) (3.6) (2.8) (3.6)
East Midlands West Midlands East of England Midlands and East	44 89 89 222	(9.5) (15.6) (14.8) (13.6)	16 66 37 119	(3.4) (11.6) (6.1) (7.3)	7 30 16 53	(1.5) (5.3) (2.7) (3.2)
London	103	(12.1)	46	(5.4)	11	(1.3)
South East Coast South Central South West South of England	76 46 67 189	(16.6) (10.7) (12.4) (13.2)	33 18 32 83	(7.2) (4.2) (5.9) (5.8)	12 5 10 27	(2.6) (1.2) (1.8) (1.9)
England Isle of Man Channel Islands	775 1 1	(14.3) (12.5) (6.3)	387 1 1	(7.1) (12.5) (6.3)	146 0 0	(2.7) (0.0) (0.0)
Wales	46	(14.9)	28	(9.1)	7	(2.3)
Scotland	72	(13.5)	34	(6.4)	12	(2.2)
Northern Ireland	22	(12.0)	8	(4.3)	5	(2.7)
TOTAL	917	(14.1)	459	(7.1)	170	(2.6)

¹ Excludes 3 recipients whose postcode was unknown, 1 recipient who resides in ROI and 3 recipients who reside overseas

overseas ² Excludes 1 recipient whose postcode was unknown, 1 recipient who resides in ROI and 2 recipients who reside overseas

ADULT LONG TERM DEVICES USED FOR BRIDGING

Activity

This section considers all patients who received a <u>long-term device</u> for bridging to heart transplantation regardless of whether they received a previous device.

All figures and tables in this section, apart from **Table 2.1**, present information on a per long-term device basis as opposed to per patient. **Table 2.1** shows the characteristics of patients who received a long-term device on a per patient basis.

689 long-term ventricular assist devices were implanted for 640 patients at six adult implant centres in the UK between 1 April 2006 and 31 March 2016. 188 patients received a device at Newcastle (200 devices), 229 at Harefield (259 devices), 93 at Papworth (94 devices), 60 at Manchester (61 devices), 51 at Birmingham (56 devices) and 19 at Glasgow (19 devices).

An additional eleven patients received <u>total artificial hearts</u> (TAH). These patients are not included in the summaries below.

Data presented in this section includes both left ventricle VADs (LVADs) and VADs implanted into both ventricles (BiVADs) unless otherwise stated.

Figure 2.1 shows the cumulative number of long-term VADs implanted each month, overall and by centre, whilst **Figure 2.2** shows the number of long-term VADs by financial year and centre. Long-term VAD activity at Newcastle, Harefield, Manchester and Birmingham has increased.

Figure 2.1 Cumulative long-term VAD activity, by month and implant centre, 1 April 2006 to 31 March 2016

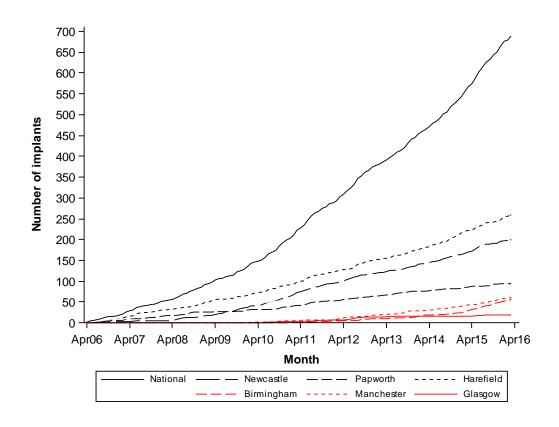


Figure 2.2 Long-term VAD activity, by financial year and implant centre, 1 April 2006 to 31 March 2016

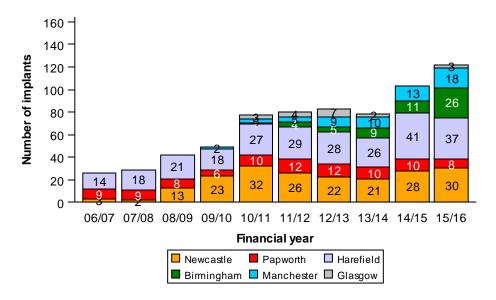


Figure 2.3 shows the number of long-term devices categorised by <u>generation</u> of device and shows the majority of long-term devices implanted in the last five years were third generation.

Figure 2.3 Long-term VAD generation, by financial year and device generation, 1 April 2006 to 31 March 2016

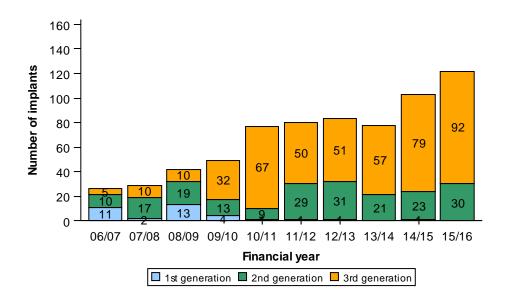


Figure 2.4 shows the <u>INTERMACS patient profile</u> at time of long-term VAD implantation and shows that profile 2 (progressive decline) is the most common.

Figure 2.4 INTERMACS patient profile for all long-term VADs implanted, 1 April 2006 to 31 March 2016

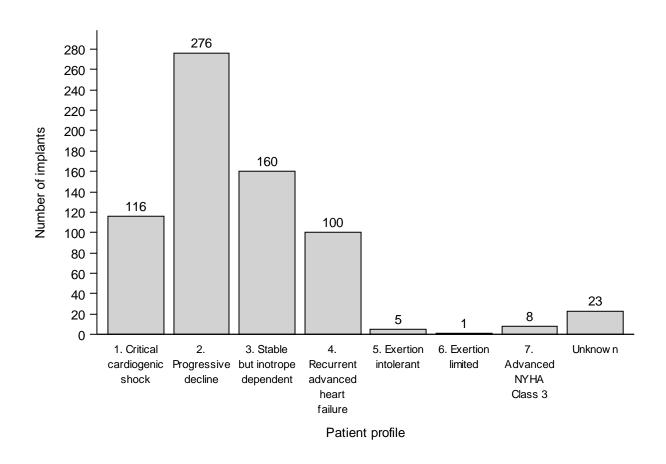


Table 2.1 shows the characteristics of patients who received a long-term device by implant centre. Overall, the most frequently reported cardiothoracic diseases were dilated cardiomyopathy (59%) and ischaemic heart disease (31%). The overall median age at implant was 50 years (inter-quartile range 38 - 57 years) and the majority of recipients were male (83%).

Overall 82% received only one long-term device. The device history for all long-term device patients is outlined in sequence in **Table 2.1**.

Unlike **Table 2.1**, which presents information on a per patient basis, **Table 2.2** presents characteristics on a per device basis. **Table 2.2** shows that the most frequently used devices were Heartware (60%) and Heartmate II (26%). 68% were on inotropes at time of VAD implant whilst 31% received an IABP prior to VAD implant.

		Newcastle N (%)	Harefield N (%)	Papworth N (%)	Manchester N (%)	Birmingham N (%)	Glasgow N (%)	Total N (%)
Number		188	229	93	60	51	19	640
Recipient sex	Male Female	163 (87) 25 (13)	187 (82) 42 (18)	76 (82) 17 (18)	46 (77) 14 (23)	46 (90) 5 (10)	13 (68) 6 (32)	531 (83) 109 (17)
Recipient age	Median (IQR) Missing	52 (38-59) 0	47 (34-56) 0	49 (42-56) 0	52.5 (40.5-57) 0	53 (48-60) 0	38 (28-51) 0	50 (38-57) 0
Cardiothoracic disease	Dilated cardiomyopathy Ischaemic heart disease Congenital heart disease Hypertrophic cardiomyopathy Restrictive cardiomyopathy Valvular heart disease Infiltrative heart muscle disease Other Unknown	99 (53) 66 (35) 14 (7) 2 (1) 2 (1) 3 (2) 2 (1) 0 (0) 0 (0)	160 (70) 49 (21) 3 (1) 7 (3) 5 (2) 2 (1) 1 (0) 1 (0) 1 (0)	61 (66) 26 (28) 0 (0) 5 (5) 1 (1) 0 (0) 0 (0) 0 (0) 0 (0)	26 (43) 25 (42) 0 (0) 2 (3) 0 (0) 2 (3) 1 (2) 2 (3) 2 (3)	23 (45) 26 (51) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 2 (4) 0 (0)	10 (53) 5 (26) 0 (0) 2 (11) 0 (0) 0 (0) 0 (0) 1 (5) 1 (5)	379 (59) 197 (31) 17 (3) 18 (3) 8 (1) 7 (1) 4 (1) 6 (1) 4 (1)
Device history	LT LT-ECMO LT-LT LT-LT-LT LT-LT-LT-LT LT-LT-ST LT-ST-LT LT-ST-ECMO LT-ST-LT LT-TAH LT/LT-ECMO LT/LT-LT/ST ST-ECMO-ST-LT ST-LT ECMO-LT ECMO-LT ECMO-LT ECMO-LT ECMO-ST/LT ECMO-ST/LT ECMO/LT ECMO/LT	173 (92) 0 (0) 12 (6) 0 (0) 0 (0) 0 (0) 0 (0) 1 (1) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 2 (1) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0)	165 (72) 1 (0) 20 (9) 1 (0) 1 (0) 1 (0) 3 (1) 0 (0) 1 (0) 2 (1) 1 (0) 0 (0) 17 (7) 1 (0) 0 (0) 9 (4) 1 (0) 2 (1) 1 (0) 1 (0)	88 (95) 0 (0) 1 (1) 0 (0)	46 (77) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 2 (3) 1 (2) 1 (2) 6 (10) 0 (0) 4 (7) 0 (0) 0 (0)	38 (75) 1 (2) 5 (10) 0 (0) 0 (0) 0 (0) 1 (2) 0 (0) 0 (0) 0 (0) 1 (2) 2 (4) 0 (0) 2 (4) 0 (0) 3 (6) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0)	13 (68) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 4 (21) 0 (0) 4 (21) 0 (0) 1 (5) 0 (0) 1 (5) 0 (0) 0 (0)	523 (82) 2 (0) 38 (6) 1 (0) 1 (0) 1 (0) 4 (1) 1 (0) 2 (0) 1 (0) 1 (0) 2 (0) 1 (0) 2 (0) 1 (0) 2 (0) 1 (0) 2 (0) 1 (0) 2 (1) 1 (0) 2 (1) 1 (0) 7 (1) 1 (0) 1 (0)

LT-ST indicates that a patient received a long-term device and then a short-term device immediately following explantation of a long-term device LT/ST indicates that a patient had two episodes and received a long-term device which was explanted and then a short-term device after a period of no support

		Newcastle N (%)	Harefield N (%)	Papworth N (%)	Manchester N (%)	Birmingham N (%)	Glasgow N (%)	Total N (%)
Number		200	259	94	61	56	19	689
INTERMACS patient profile	 Critical cardiogenic shock Progressive decline Stable but inotrope dependent Recurrent advanced heart failure Exertion intolerant Exertion limited Advanced NYHA Class 3 Unknown 	31 (16) 90 (45) 29 (15) 46 (23) 3 (2) 0 (0) 1 (1) 0 (0)	60 (23) 94 (36) 59 (23) 19 (7) 0 (0) 0 (0) 5 (2) 22 (8)	7 (7) 49 (52) 21 (22) 17 (18) 0 (0) 0 (0) 0 (0) 0 (0)	6 (10) 16 (26) 22 (36) 15 (25) 2 (3) 0 (0) 0 (0) 0 (0)	9 (16) 16 (29) 27 (48) 2 (4) 0 (0) 1 (2) 0 (0) 1 (2)	3 (16) 11 (58) 2 (11) 1 (5) 0 (0) 0 (0) 2 (11) 0 (0)	116 (17) 276 (40) 160 (23) 100 (15) 5 (1) 1 (0) 8 (1) 23 (3)
Treatment history prior to long-term VAD implant		55 (28) 6 (3) 5 (3) 76 (38) 1 (1) 4 (2) 20 (10) 4 (2) 29 (15)	35 (14) 9 (3) 3 (1) 95 (37) 5 (2) 22 (8) 38 (15) 8 (3) 44 (17)	1 (1) 0 (0) 7 (7) 17 (18) 1 (1) 0 (0) 61 (65) 3 (3) 4 (4)	2 (3) 0 (0) 0 (0) 18 (30) 3 (5) 0 (0) 11 (18) 7 (11) 20 (33)	1 (2) 2 (4) 1 (2) 31 (55) 1 (2) 3 (5) 7 (13) 3 (5) 7 (13)	2 (11) 0 (0) 4 (21) 1 (5) 2 (11) 0 (0) 2 (11) 0 (0) 8 (42)	96 (14) 17 (2) 20 (3) 238 (35) 13 (2) 29 (4) 139 (20) 25 (4) 112 (16)
Device name	Berlin Heart Excor Heartmate XVE Heartmate II Heartmate III Heartware Jarvik 2000 Micromed DeBakey Thoratec IVAD Thoratec PVAD VentrAssist Heart Assist 5 Circulite Synergy	20 (10) 0 (0) 0 (0) 0 (0) 170 (85) 0 (0) 1 (1) 0 (0) 0 (0) 6 (3) 0 (0) 0 (0)	0 (0) 2 (1) 77 (30) 0 (0) 158 (61) 1 (0) 0 (0) 1 (0) 1 (0) 0 (0) 4 (2) 15 (6)	0 (0) 0 (0) 0 (0) 0 (0) 65 (69) 0 (0) 0 (0) 5 (5) 5 (5) 19 (20) 0 (0) 0 (0)	0 (0) 0 (0) 39 (64) 9 (15) 13 (21) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0)	0 (0) 0 (0) 50 (89) 6 (11) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0)	0 (0) 0 (0) 15 (79) 0 (0) 4 (21) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0)	20 (3) 2 (0) 181 (26) 15 (2) 410 (60) 1 (0) 6 (1) 6 (1) 25 (4) 4 (1) 15 (2)

Table 2.3 shows the first long-term VAD activity rates per million population by country/ Strategic Health Authority of patients residence, both overall and for the most recent three year time period. The overall long-term VAD rate was 9.8 pmp and ranged from 6.7 to 30.5 across the Strategic Health Authorities. The overall first long-term VAD rate for the most recent three years was 4.3 pmp and ranged from 2.2 to 12.6 across the Strategic Health Authorities.

Table 2.3 Long-term VAD patient rates per million population (pmp) in the UK, by Country/ Strategic Health Authority									
	Overall ¹ (1 April 2006 – 31 March 2016)		(1 Apri	year² I 2013 – ch 2016)	(1 April	year 2015 – ch 2016)			
Country/ Strategic Health Authority	N	pmp	N	pmp	N	pmp			
North East North West Yorkshire and The Humber North of England	80 65 60 205	(30.5) (9.1) (11.2) (13.6)	33 36 26 95	(12.6) (5.0) (4.9) (6.3)	11 16 11 38	(4.2) (2.2) (2.1) (2.5)			
East Midlands West Midlands East of England Midlands and East	31 43 58 132	(6.7) (7.5) (9.6) (8.1)	10 35 18 63	(2.2) (6.1) (3.0) (3.8)	5 19 10 34	(1.1) (3.3) (1.7) (2.1)			
London	78	(9.1)	31	(3.6)	6	(0.7)			
South East Coast South Central South West South of England	59 39 52 150	(12.9) (9.1) (9.6) (10.5)	26 14 23 63	(5.7) (3.3) (4.2) (4.4)	10 5 8 23	(2.2) (1.2) (1.5) (1.6)			
England Isle of Man Channel Islands	565 1 0	(10.4) (12.5) (0.0)	252 1 0	(4.6) (12.5) (0.0)	101 0 0	(1.9) (0.0) (0.0)			
Wales	18	(5.8)	11	(3.6)	3	(1.0)			
Scotland	29	(5.4)	7	(1.3)	4	(0.7)			
Northern Ireland	21	(11.4)	7	(3.8)	4	(2.2)			
TOTAL	634	(9.8)	278	(4.3)	112	(1.7)			

¹ Excludes 3 recipients whose postcode was unknown and 3 recipients who reside overseas ² Excludes 1 recipient whose postcode was unknown and 2 recipients who reside overseas

ADULT LONG TERM DEVICES USED FOR BRIDGING

Patient Outcomes

This section considers patients whose first device was a continuous long-term device. It excludes 64 patients who either received a short-term device or ECMO prior to the long-term device (included in <u>bridged to long-term device section</u>) along with 44 patients who received either a Berlin Heart Excor, Thoratec PVAD, Thoratec IVAD, Heartmate XVE or Circulite Synergy.

Data presented in this section combines LVADs and BiVADs unless otherwise stated.

Table 3.1a shows the long-term VAD outcome of recipients, by centre, for the whole 10 year time period. Nationally, 126 patients were transplanted, 31 survived explantation of the VAD, 216 died on support, 5 died post-explantation (3 within a month of explantation) and 154 were still on support on 29 December 2016. Deaths which occurred more than one year post-transplant or explant are not referenced in these tables.

Table 3.1b shows the long-term VAD outcome of recipients who received devices during the most recent three years (April 2013 - March 2016).

Table 3.1a Outco	me of	long-ter	m VA	Ds, by i	mplan	t centre	, 1 Ap	oril 2006	6 to 3	1 March	2016	6		
	New	castle	Pap	oworth	Ha	refield	Birm	ingham	Man	chester	Gla	asgow	Tota	al
	Ν	%	N ·	%	Ν	%	Ν	%	Ν	%	Ν	%	N	%
Alive (post transplant)	21	(13)	28	(35)	30	(16)	6	(13)	6	(13)	4	(31)	95 ^{8,0}	(18)
Alive (post explant)	12	(7)	1	(1)	15	(8)	0	(0)	1	(2)	2	(15)	31 ^{6,2}	(6)
Alive with VAD	36	(22)	15	(19)	53	(29)	22	(49)	26	(57)	2	(15)	154 ^{16,0}	(29)
Total alive	69	(42)	44	(55)	98	(54)	28	(62)	33	(72)	8	(62)	280 ^{30,2}	(53)
Died (post transplant)	9	(5)	6	(8)	13	(7)	1	(2)	1	(2)	1	(8)	31 ^{8,0}	(6)
Died (post explant)	2	(1)	1	(1)	2	(1)	0	(0)	0	(0)	0	(0)	5	(1)
Died with VAD	86	(52)	29	(36)	69	(38)	16	(36)	12	(26)	4	(31)	216 34,10	(41)
Total died	97	(58)	36	(45)	84	(46)	17	(38)	13	(28)	5	(38)	252 ^{42,10}	(47)
TOTAL	166	(100)	80	(100)	182	(100)	45	(100)	46	(100)	13	(100)	532	(100)

Superscripts indicate the number of patients receiving a second device following explantation of their long-term device, e.g. 2,1 indicates two patients received a second long term device and one patient received a short term device after explantation of a long-term device

Table 3.1b Outco	me of	long-ter	m VA	Ds, by ii	mplan	t centre	, 1 Ap	oril 2013	3 to 3	1 March	2010	6		
	Nev	vcastle	Par	Papworth Harefield		Birm	ningham	Man	chester	Gla	asgow	Tot	al	
	Ν	%	N	%	Ν	%	Ν	%	Ν	%	Ν	%	N	%
Alive (post transplant)	8	(11)	3	(12)	9	(12)	3	(8)	1	(3)	2	(67)	26	(11)
Alive (post explant)	3	(4)	0	(0)	2	(3)	0	(0)	0	(0)	0	(0)	5	(2)
Alive with VAD	27	(37)	13	(50)	38	(50)	21	(57)	22	(73)	1	(33)	122 ^{5,0}	(50)
Total alive	38	(52)	16	(62)	49	(64)	24	(65)	23	(77)	3	(100)	153 ^{5,0}	(62)
Died (post transplant)	1	(1)	3	(12)	4	(5)	0	(0)	1	(3)	0	(0)	9 ^{1,0}	(4)
Died (post explant)	1	(1)	0	(0)	0	(0)	0	(0)	0	(0)	0	(0)	1	(0)
Died with VAD	33	(45)	7	(27)	23	(30)	13	(35)	6	(20)	0	(0)	82 ^{3,2}	(33)
Total died	35	(48)	10	(38)	27	(36)	13	(35)	7	(23)	0	(0)	92 ^{4,2}	(38)
TOTAL	73	(100)	26	(100)	76	(100)	37	(100)	30	(100)	3	(100)	245	(100)

Superscripts indicate the number of patients receiving a second device following explantation of their long-term device, e.g. 2,1 indicates two patients received a second long term device and one patient received a short term device after explantation of a long-term device

Table 3.2 shows the causes of death for the 221 patients who died either post-explant or with a VAD over the whole ten year period. Deaths which occur more than one year post-explant are not referenced in these tables. Deaths post-explant are included in **Table 3.2** due to very small numbers (n=4). An additional 31 patients died within the first year post-transplant.

Following clinical review of the causes of death, 42 deaths were identified as deaths due to intracranial haemorrhage, 21 due to pump thrombosis, nine due to ischaemic stroke and two deaths due to aortic regurgitation.

Table 3.2 Causes of	death for patients who re	ceived a first lor	ng-term device,	1 April 2006 to 31	March 2016, by ce	entre	
	Newcastle N (%)	Harefield N (%)	Papworth N (%)	Manchester N (%)	Birmingham N (%)	Glasgow N (%)	Total N (%)
Number	88	71	30	12	16	4	221
Cardiovascular	6 (7)	2 (3)	3 (10)	4 (33)	2 (13)	0 (0)	17 (8)
Haemorrhage	12 (14)	10 (14)	7 (23)	5 (42)	0 (0)	0 (0)	34 (15)
Infection	7 (8)	4 (6)	1 (3)	0 (0)	0 (0)	0 (0)	12 (5)
Renal failure	0 (0)	1 (1)	0 (0)	0 (0)	0 (0)	0 (0)	1 (Ò)
Liver failure	0 (O)	2 (3)	0 (0)	0 (0)	0 (0)	0 (0)	2 (1)
Pulmonary	1 (1)	2 (3)	1 (3)	0 (0)	0 (0)	0 (0)	4 (2)
Device malfunction	2 (2)	4 (6)	1 (3)	0 (0)	0 (0)	0 (0)	7 (3)
Other	59 (67)	43 (61)	16 (53)	3 (2 5)	14 (88)	4 (100)	139 (63)
Unknown	0 (0)	1 (1)	0 (0)	0 (0)	0 (0)	0 (0)	1 (Ò) ´
Post-explant	1 (1)	2 (3)	1 (3)	0 (0)	0 (0)	0 (0)	4 (2)

The outcomes of long-term first VAD recipients presented in **Table 3.1** shows the latest status for each patient as at 29 December 2016. However, this does not take into account the variable lengths of follow-up. This data is presented in **Figure 3.1a** and **Table 3.3a** using competing risks methodology to estimate the cumulative incidence of transplant, explant, death or remaining on support over time. **Figure 3.1a** shows the cumulative incidence curves for the national data along with one, two and five-year estimates for the whole cohort. At two-years, it was estimated that 44% of patients remained on support, 16% were transplanted, 6% explanted and 33% had died on support. **Table 3.3a** shows the one-year estimates by centre.

Figure 3.1b shows the cumulative incidence curves for third generation devices only whilst **Table 3.3b** shows the one-year estimates by centre. Information is not presented for Birmingham (n=4), Manchester (n=12) and Glasgow (n=3) is not presented due to the small number of third generation VADs implanted. This data is, however, included when calculating the overall one-year incidence rates across all centres.

Figure 3.1a Cumulative incidence of each outcome for long-term first devices, 1 April 2006 to 31 March 2016

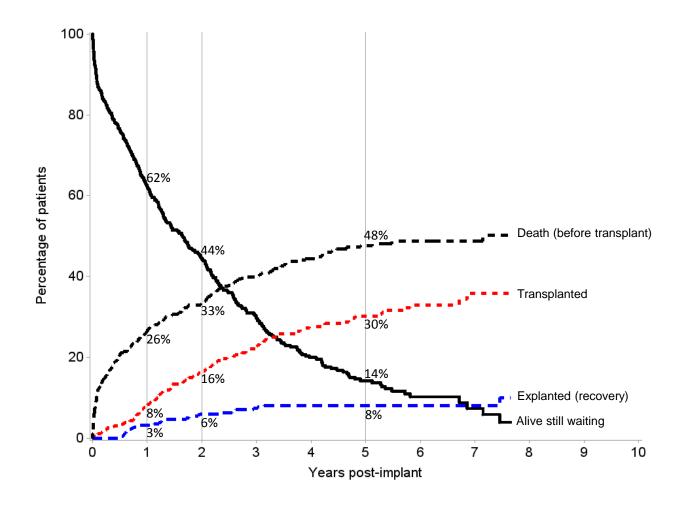


Table 3.3a One-year cumulative incidence of each outcome, by centre, 1 April 2006 to 31 March 2016													
Hospital	No. at risk on day 0	Transplanted	Explanted	Alive on support	Death (before transplant)								
		%	%	%	%								
Newcastle	166	9	6	56	29								
Papworth	80	10	6	54	30								
Harefield	182	9	6	58	27								
Birmingham	45	7	6	58	30								
Manchester	46	10	6	57	27								
Glasgow	13	5	8	65	23								
All centres	532	8	3	62	26								

Figure 3.1b Cumulative incidence of each outcome for third generation long-term first devices, 1 April 2006 to 31 March 2016

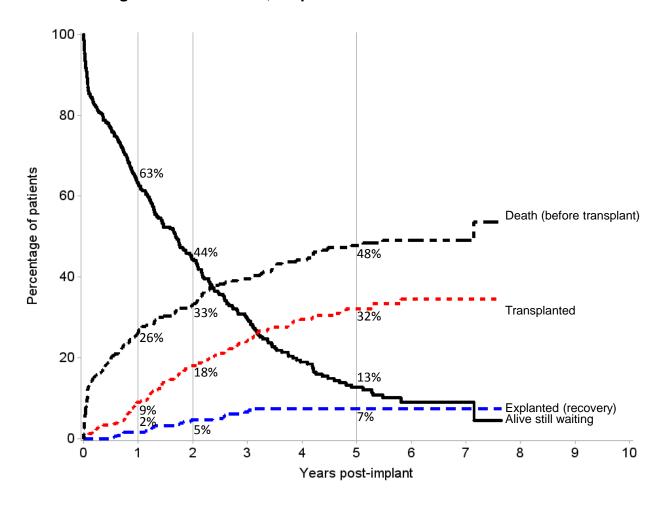


Table 3.3b	One-year cumula centre, 1 April 20			e for third generation	on devices, by
Hospital	No. at risk on day 0	Transplanted	Explanted	Alive on support	Death (before transplant)
		%	%	%	%
Newcastle	165	10	3	58	28
Papworth	80	10	3	59	28
Harefield	123	10	3	61	26
All centres	387	9	2	63	26

Table 3.4 shows the proportion of patients registered on the heart transplant list prior to VAD implantation by financial year. The proportion by financial year ranged from 18% to 62% (chi-squared p-value <0.0001).

	art transplant reg olantation, by fina			
Financial year	Listed pre-VAD implant N (%)	Listed post- VAD implant N (%)	Never listed N (%)	Total N (%)
2006/2007	5 (42)	4 (33)	3 (25)	12 (100)
2007/2008	11 (52)	5 (24)	5 (24)	21 (100)
2008/2009	8 (32)	10 (40)	7 (28)	25 (100)
2009/2010	22 (52)	9 (21)	11 (26)	42 (100)
2010/2011	13 (18)	36 (49)	24 (33)	73 (100)
2011/2012	19 (31)	20 (33)	22 (36)	61 (100)
2012/2013	33 (62)	13 (25)	7 (13)	53 (100)
2013/2014	37 (60)	15 (24)	10 (16)	62 (100)
2014/2015	41 (51)	9 (11)	31 (38)	81 (100)
2015/2016	23 (23)	15 (15)	64 (63)	102 (100)
Total	212 (40)	136 (26)	184 (35)	532 (100)

Figure 3.2 shows the <u>Kaplan-Meier</u> incidence curves for time from implant to registration for the subset of patients who were not registered on the transplant list at time of implant. The survival time for patients who had their VADs explanted prior to registration or died on support without being registered were censored at the point of explantation or death, respectively.

Figure 3.2 Time from implant of first long-term VAD to registration on the heart transplant list for people not registered on the transplant list at time of receiving first long-term device, 1 April 2006 to 31 March 2016

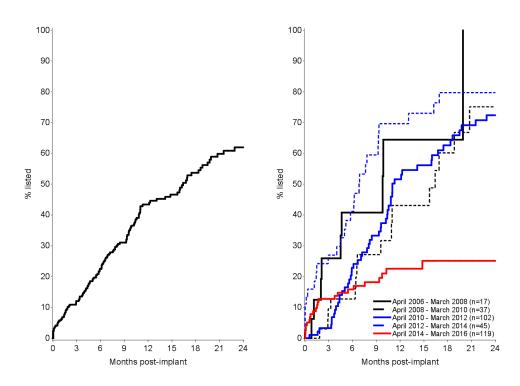


Table 3.5 shows the estimated proportion of patients listed in different time periods for the subset of patients who were not registered on the heart transplant list at time of implant. This was done using the <u>Kaplan-Meier estimation method</u>. Overall, an estimated 22% of those not on the list at time of implant were registered within 6 months and 62% within 2 years. There was a statistically significant difference at each time period post-implant between the grouped financial years (log-rank p-value<0.01).

patients r	d time for first not registered 106 to 31 Marc	prior t	o receiving a			_	
Grouped financial year	No. at risk on day 0	6	% listed pos 5 months	st-implar	nt (95% confid 1 year		erval) 2 years
April 2006 - March 2008	17	41	(21 - 70)	64	(37 - 90)	100	(-)
April 2008 - March 2010	37	13	(5 - 30)	43	(26 - 66)	75	(53 - 92)
April 2010 - March 2012	102	23	(15 - 33)	52	(41 - 63)	72	(61 - 82)
April 2012 - March 2014	45	41	(27 - 58)	70	(54 - 84)	80	(64 - 92)
April 2014 - March 2016	119	16	(10 - 24)	23	(15 - 33)	25	(17 - 36)
Log-rank p-value			0.002	<	0.0001	<	0.0001
Overall	320	22	(18 - 28)	43	(37 - 50)	62	(54 - 70)

Table 3.6 shows the long-term VAD duration of support. Overall, the long-term VAD duration of support ranged between 0 and 3656 days (ten years). Using the <u>Kaplan-Meier estimation method</u>, median long-term VAD duration for all patients was estimated to be 597 days (95% CI: 490, 704).

Table 3.6	Long-term VAD dura	ition, by impla	int centre, 1 Ap	ril 2006 to 31 N	March 2016
Hospital	No. of implants	No. missing	Range	Median	(95% confidence interval)
Newcastle	166	0	0 - 2794	615	(478, 752)
Papworth	80	0	3 - 2611	664	(485, 843)
Harefield	182	0	1 - 3656	518	(354, 682)
Birmingham	45	0	9 - 1480	578	(184, 972)
Manchester	46	0	24 - 2165	1383	(522, 2244)
Glasgow	13	0	2 - 1716	500	(121, 879)
All centres	532	0	0 - 3656	597	(490, 704)

A. Patient survival

Table 3.7a shows <u>Kaplan-Meier</u> estimates of <u>patient survival</u> from time of first implant to death for the whole time period whilst **Table 3.7b** shows the Kaplan-Meier estimates for the most recent three year time period. Patients still alive were censored at the date of last follow-up. Other events such as device explantation or transplantation were not censored. Care should be taken when interpreting survival estimates for Birmingham, Manchester and Glasgow due to the small number of patients at risk. This is reflected in the wide confidence intervals.

Table 3.7a P			after implar 1 March 20		ng-term VAI), by im	plant centro	е,			
Centre	No. at risk on day 0	30) days		% patient su 0 days	`.	95% confider year	_	erval) years	3	years
Newcastle	166	87	(81 - 92)	82	(75 - 87)	69	(62 - 76)	55	(47 - 63)	47	(39 - 55)
Papworth	80	93	(84 - 97)	88	(78 - 93)	74	(63 - 82)	65	(53 - 75)	60	(48 - 70)
Harefield	182	87	(81 - 91)	82	(75 - 87)	71	(64 - 77)	62	(54 - 69)	53	(45 - 61)
Birmingham	45	96	(83 - 99)	91	(78 - 97)	70	(54 - 82)	62	(44 - 76)	52	(28 - 72)
Manchester	46	96	(84 - 99)	89	(76 - 95)	83	(68 - 91)	73	(56 - 84)	65	(45 - 78)
Glasgow	13	85	(51 - 96)	77	(44 - 92)	62	(31 - 82)	62	(31 - 82)	62	(31 - 82)
All centres	532	89	(86 - 92)	84	(81 - 87)	72	(68 - 75)	61	(57 - 65)	54	(49 - 58)
Number at risk		475		448		346		231		169	

Table 3.7b P			after implar 1 March 20		ıg-term VAI	O, by im	plant centre	€,			
Centre	No. at risk on day 0	30	0 days		% patient su O days	١.	95% confider year	_	rval) years	3	years
Newcastle	73	82	(71 - 89)	78	(67 - 86)	66	(54 - 76)	44	(30 - 57)	40	(25 - 54)
Papworth	26	88	(68 - 96)	88	(68 - 96)	73	(52 - 86)	66	(41 - 82)	45	(18 - 69)
Harefield	76	83	(72 - 90)	76	(65 - 84)	67	(54 - 76)	61	(47 - 71)	61	(47 - 71)
Birmingham	37	95	(80 - 99)	92	(77 - 97)	69	(52 - 82)	56	(32 - 75)	0	(-)
Manchester	30	100	(-)	93	(76 - 98)	83	(64 - 93)	77	(54 - 89)	64	(32 - 84)
Glasgow	3	100	(-)	0	(-)	0	(-)	0	(-)	0	(-)
All centres	245	87	(82 - 91)	83	(78 - 87)	70	(64 - 76)	57	(50 - 65)	50	(39 - 59)
Number at risk		214		203		136		49		10	

Table 3.8a compares overall <u>patient survival</u> for patients receiving an LVAD only with those receiving both an LVAD and an RVAD (BiVAD). There is evidence of a difference in survival between the two groups (log-rank test, p<0.001). However, treatment has not been randomised and it is likely that the pre-implant illness was more severe in the BiVAD group. **Table 3.8b** present patient survival rates for patients who received long-term VADs during the last three years.

Table 3.8a P			after implan 1 March 20		ıg-term VAI	O, by L\	/AD/BiVAD,				
Device	No. at risk on day 0	30) days		% patient su 0 days	`.	95% confide year		rval) years	3	years
LVAD only	468	92	(89 - 94)	87	(84 - 90)	74	(70 - 78)	65	(60 - 69)	56	(51 - 61)
BiVAD	64	70	(57 - 80)	61	(48 - 72)	55	(42 - 66)	37	(25 - 49)	33	(22 - 45)
Overall	532	89	(86 - 92)	84	(81 - 87)	72	(68 - 75)	61	(57 - 65)	54	(49 - 58)
Number at risk		475		448		346		231		169	

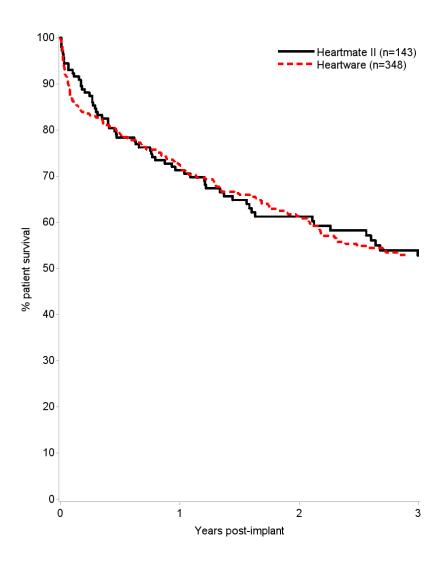
Table 3.8b P			after implan 1 March 20		ng-term VAI	O, by L\	/AD/BiVAD,				
Device	No. at risk on day 0	30	0 days		% patient su 0 days	•	95% confider year		rval) years	3	years
LVAD only	221	90	(85 - 93)	87	(82 - 91)	73	(67 - 79)	62	(53 - 69)	53	(42 - 63)
BiVAD	24	63	(40 - 78)	46	(26 - 64)	42	(22 - 60)	20	(5 - 43)	20	(5 - 43)
Overall	245	87	(82 - 91)	83	(78 - 87)	70	(64 - 76)	57	(50 - 65)	50	(39 - 59)
Number at risk	(214		203		136		49		10	

Table 3.9a and **Figure 3.3** compare <u>patient survival</u> for patients who received the two frequently implanted device types: Heartmate II and Heartware. There is no evidence of a difference in survival between the two groups (log-rank test, p≥0.2). **Table 3.9b** present survival rates for patients who received long-term VADs during the last three years.

				% patient su	ırvival (9	95% confide	nce inte	erval)		
No. at risk on day 0	30) days	90	ງ days	1	year	2	years	3	years
143	93	(87 - 96)	87	(81 - 92)	71	(63 - 78)	61	(52 - 69)	53	(43 - 61)
348	87	(83 - 90)	83	(79 - 87)	72	(67 - 77)	61	(56 - 66)	53	(47 - 59)
491	89	(86 - 91)	84	(81 - 87)	72	(68 - 76)	61	(57 - 66)	53	(48 - 58)
	risk on day 0 143 348	risk on day 0 143 93 348 87	risk on day 0 143 93 (87 - 96) 348 87 (83 - 90)	No. at 30 days 90 risk on day 0 143 93 (87 - 96) 87 348 87 (83 - 90) 83	No. at 30 days 90 days risk on day 0 143 93 (87 - 96) 87 (81 - 92) 348 87 (83 - 90) 83 (79 - 87)	No. at 30 days 90 days 1 risk on day 0 143 93 (87 - 96) 87 (81 - 92) 71 348 87 (83 - 90) 83 (79 - 87) 72	No. at 30 days 90 days 1 year risk on day 0 143 93 (87 - 96) 87 (81 - 92) 71 (63 - 78) 348 87 (83 - 90) 83 (79 - 87) 72 (67 - 77)	No. at 30 days 90 days 1 year 2 risk on day 0 143 93 (87 - 96) 87 (81 - 92) 71 (63 - 78) 61 348 87 (83 - 90) 83 (79 - 87) 72 (67 - 77) 61	risk on day 0 143 93 (87 - 96) 87 (81 - 92) 71 (63 - 78) 61 (52 - 69) 348 87 (83 - 90) 83 (79 - 87) 72 (67 - 77) 61 (56 - 66)	No. at 30 days 90 days 1 year 2 years 3 risk on day 0 143 93 (87 - 96) 87 (81 - 92) 71 (63 - 78) 61 (52 - 69) 53 348 87 (83 - 90) 83 (79 - 87) 72 (67 - 77) 61 (56 - 66) 53

Table 3.9b Pa			al after implan 31 March 201		ng-term VAD), by He	eartmate II/ I	Heartw	are,		
					% patient su	rvival (9	95% confider	nce inte	rval)		
Device	No. at risk on day 0		30 days	90	0 days	`1	year	2	years	3	years
Heartmate II	56	98	(88 - 100)	95	(84 - 98)	75	(61 - 84)	66	(50 - 78)	55	(30 - 74)
Heartware	175	84	(78 - 89)	79	(73 - 85)	68	(60 - 74)	54	(45 - 63)	46	(35 - 57)
Overall	231	87	(82 - 91)	83	(78 - 87)	70	(63 - 75)	57	(49 - 64)	49	(39 - 59)
Number at risk		202		192		134		48		10	

Figure 3.3 Overall patient survival after implant of long-term VAD, by device type, 1 April 2006 to 31 March 2016



B. Survival on a device

Table 3.10a shows <u>Kaplan-Meier</u> estimates of patient <u>survival during VAD support</u> for the whole ten year time period whilst **Table 3.10b** shows the survival estimates for the most recent three years. Unlike the survival estimates in <u>section A</u>, survival was censored at time of device explantation or transplantation. The survival during VAD support was similar to the overall patient survival due to the majority of patients either being on support at last follow-up or dying whilst on VAD support; survival during VAD support is identical to overall patient survival in these cases. Again, care should be taken when interpreting survival estimates for Birmingham, Manchester and Glasgow due to the small number of patients at risk.

Table 3.10a			long-term \ 31 March 20		pport, by ir	nplant (centre,				
Centre	No. at risk on day 0	30	0 days		survival on a days		e (95% confic year		nterval) years	3	years
Newcastle	166	87	(81 - 91)	83	(76 - 88)	70	(63 - 77)	59	(50 - 66)	47	(38 - 56)
Papworth	80	93	(84 - 97)	89	(79 - 94)	74	(63 - 83)	66	(54 - 76)	63	(50 - 74)
Harefield	182	87	(81 - 91)	82	(76 - 87)	74	(67 - 80)	69	(61 - 76)	55	(44 - 64)
Birmingham	45	96	(83 - 99)	91	(78 - 97)	69	(53 - 81)	60	(40 - 75)	40	(10 - 70)
Manchester	46	96	(84 - 99)	89	(76 - 95)	85	(70 - 92)	77	(60 - 88)	65	(43 - 81)
Glasgow	13	85	(51 - 96)	77	(44 - 92)	69	(37 - 87)	69	(37 - 87)	69	(37 - 87)
All centres	532	89	(86 - 92)	85	(81 - 87)	73	(69 - 77)	65	(60 - 69)	54	(48 - 59)
Number at risk	K	469		441		300		168		92	

Table 3.10b		_	long-term \ 31 March 20		pport, by ir	nplant (centre,				
Centre	No. at risk on day 0	30	0 days		survival on a O days		e (95% confic year	_	nterval) years	3	years
Newcastle	73	82	(71 - 89)	79	(68 - 87)	67	(54 - 76)	45	(29 - 59)	39	(23 - 55)
Papworth	26	88	(68 - 96)	88	(68 - 96)	73	(52 - 86)	73	(52 - 86)	73	(52 - 86)
Harefield	76	83	(72 - 90)	77	(66 - 85)	70	(57 - 79)	70	(57 - 79)	70	(57 - 79)
Birmingham	37	95	(80 - 99)	92	(77 - 97)	68	(50 - 81)	54	(28 - 74)	0	(-)
Manchester	30	100	(-)	93	(76 - 98)	86	(68 - 95)	80	(56 - 92)	66	(32 - 86)
Glasgow	3	100	(-)	0	(-)	0	(-)	0	(-)	0	(-)
All centres	245	87	(82 - 91)	84	(78 - 88)	71	(65 - 77)	61	(53 - 69)	56	(46 - 65)
Number at risk		211		199		123		38		6	

Table 3.11a compares <u>survival whilst on support</u> for patients receiving an LVAD only with those receiving both an LVAD and an RVAD (BiVAD). There is evidence of a difference in survival between the two groups (log-rank test, p<0.001). However, treatment has not been randomised and it is likely that the pre-implant illness was more severe in the BiVAD group. **Table 3.11b** present survival rates for patients who received long-term VADs during the last three years.

	Table 3.11a Survival during long-term VAD support, by LVAD/BiVAD, 1 April 2006 to 31 March 2016														
Device	No. at risk on day 0	30) days		survival on a days		(95% confid year		nterval) years	3	years				
LVAD only	468	92	(89 - 94)	88	(84 - 90)	76	(71 - 79)	68	(63 - 73)	57	(51 - 63)				
BiVAD	64	70	(57 - 80)	63	(50 - 74)	57	(43 - 68)	42	(28 - 55)	33	(18 - 48)				
Overall	532	89	(86 - 92)	85	(81 - 87)	73	(69 - 77)	65	(60 - 69)	54	(48 - 59)				
Number at risk		469		441		300		168		92					

Table 3.11b			long-term \ 31 March 20		pport, by L	VAD/Bi	VAD,				
Device	No. at risk on day 0	30	0 days		survival on a O days		e (95% confid year		nterval) years	3	years
LVAD only	221	90	(85 - 93)	87	(82 - 91)	74	(68 - 80)	64	(56 - 72)	59	(47 - 68)
BiVAD	24	63	(40 - 78)	49	(28 - 67)	44	(23 - 63)	33	(12 - 56)	33	(12 - 56)
Overall	245	87	(82 - 91)	84	(78 - 88)	71	(65 - 77)	61	(53 - 69)	56	(46 - 65)
Number at risk		211		199		123		38		6	

Table 3.12a compares <u>survival whilst on support</u> for patients who received the two frequently implanted device types: Heartmate II and Heartware, over the whole ten year period whilst **Table 3.12b** present survival rates for patients who received long-term VADs during the last three years.

	Table 3.12a Survival during long-term VAD support, by Heartmate II/ Heartware, 1 April 2006 to 31 March 2016														
Device	No. at risk on day 0	30) days		survival on a O days		(95% confic year		nterval) years	3	years				
Heartmate II	143	93	(87 - 96)	87	(81 - 92)	75	(66 - 81)	66	(56 - 73)	52	(40 - 63)				
Heartware	348	87	(83 - 90)	84	(80 - 88)	73	(68 - 78)	65	(59 - 70)	54	(47 - 60)				
Overall	491	89	(86 - 91)	85	(82 - 88)	74	(69 - 77)	65	(60 - 70)	54	(48 - 59)				
Number at risk		431		408		286		159		85					

Table 3.12b			ng long-term \ o 31 March 20		pport, by H	eartma	te II/ Heartw	are,			
				%	survival on a	a device	(95% confid	lence ir	nterval)		
Device	No. at risk on day 0		30 days		0 days		year	_	years	3	years
Heartmate II	56	98	(88 - 100)	95	(84 - 98)	76	(62 - 85)	66	(50 - 79)	55	(30 - 75)
Heartware	175	84	(78 - 89)	80	(74 - 86)	70	(62 - 76)	59	(50 - 68)	56	(44 - 66)
Overall	231	87	(82 - 91)	84	(78 - 88)	71	(65 - 77)	61	(53 - 69)	56	(45 - 65)
Number at risk		199		188		122		38		6	

ADULT BRIDGED TO LONG-TERM DEVICES Patient Outcomes

This section includes patients who received a long-term device following a short period of short-term VAD or ECMO support.

Sixty-two patients were bridged from a short-term device or ECMO to a long-term device at six adult centres in the UK between 1 April 2006 and 31 March 2016. **Table 4.1a** shows the number of short-term and long-term devices used overall, whilst **Table 4.1b** shows similar information for the most recent three years. 30 patients (48%) were bridged to long-term device at Harefield, whilst Papworth and Newcastle performed less than six during the ten year period. Fifty-five patients (90%) received either a Heartmate II or Heartware following a period of short-term device support.

	evice types for pa April 2006 to 31 M		dged from a shor 6	t-term device t	o a lon	g-term c	levice,	by imp	olant c	entre,								
Sh Device 1	ort-term devices Device 2	Device 3	Long-term Device 1	devices Device 2	Newo N	castle %	Papw	orth %	Hare	field %	Birming N	gham %	Manch N	nester %	Glas	gow %	Tot	tal %
	Device 2	Device 3	Device 1	Device 2			N		N						N		N	
Overall					2	(100)	4	(100)	30	(100)	6	(100)	14	` ,	6	(/	62	(100)
Centrimag			Heartmate II						10	(33)			2	(14)	3	` ,	15	` '
Centrimag			Heartware						5	(17)					1	(17)	6	(100)
Centrimag			Heart Assist 5						1	(3)							1	(100)
Centrimag			Heart Assist 5	Heartware					1	(3)							1	(100)
Centrimag			Heartmate XVE						1	(3)							1	(100)
Centrimag			Heartware	Heartware									1	(7)			1	(100)
Centrimag	Centrimag		Heartware										1	(7)			1	(100)
ECMO only			Heartware		2	(100)	3	(75)	10 ¹	(33)			2	(14)			17	(100)
ECMO only			Heartmate II								3	(50)	2	(14)	1	(17)	6	(100)
ECMO only	Centrimag		Heartware						2	(7)			3	(21)			5	(100)
ECMO only	Centrimag		Heartmate II										1	(7)	1	(17)	2	(100)
ECMO only			Heartmate III										2	(14)			2	(100)
ECMO only			Thoratec PVAD				1	(25)									1	(100)
Impella			Heartmate II								2	(33)					2	(100)
Impella	ECMO only Ce	entrimag	HeartMate III								1	(17)					1	(100)

One patient went on to receive an ECMO only device after implantation of the long-term device

Table 4.1b Device types for patients bridged from a short-term device to a long-term device, by implant centre, 1 April 2013 to 31 March 2016 Short-term devices Birmingham Manchester Long-term devices Papworth Harefield Glasgow Total Newcastle Device 1 Device 2 Device 1 Device 2 % Ν % % % Device 3 Ν Ν % Ν % Ν Ν % Ν 14 (100) 4 (100) 10 (100) 1 (100) Overall 0 (-) 2 (100) 31 (100) Centrimag 3 (100) Heartmate II 2 (20)1 (100) Centrimag Heartware 3 (21)3 (100) ECMO only (64)(10)12 (100) Heartware 2 (100) ECMO only Centrimag Heartware 2 (14)2 (20)4 (100) ECMO only Heartmate II (25)2 (20)3 (100) ECMO only HeartMate III 2 (20)2 (100) ECMO only Centrimag (10)1 (100) Heartmate II Impella Heartmate II (50)2 (100) Impella ECMO only Centrimag HeartMate III (25)1 (100)

¹ One patient went on to receive an ECMO only device after implantation of the long-term device

Table 4.2a shows the long-term VAD outcome of recipients, by centre, for the whole 10 year time period. Nationally, 17 patients were transplanted, 6 survived explantation of the long-term VAD, 23 died on support, 1 died post device explantation (within a month of explantation) and 15 were still on support on 29 December 2016.

Table 4.2b shows the outcome of long-term VADs implanted during the most recent three years (April 2013 - March 2016). Deaths which occurred more than one year post-transplant or one-year post-explant are not referenced in either tables.

Table 4.2a Outcom	me of	patients	bridg	jed to a	long-1	term de	vice,	by impla	ant c	entre, 1	April	2006 to	31 Marc	h 2016
	Nev	vcastle	Pap	oworth	На	refield	Birm	ningham	Man	chester	Gla	asgow	To	tal
	Ν	%	N	%	Ν	%	Ν	%	Ν	%	Ν	%	N	%
Alive (post transplant)	0	(0)	2	(50)	5	(17)	2	(33)	2	(14)	1	(17)	12	(19)
Alive (post explant)	0	(0)	0	(0)	2	(7)	0	(0)	3	(21)	1	(17)	6	(10)
Alive with VAD	0	(0)	0	(0)	7	(23)	3	(50)	5	(36)	0	(0)	15	(24)
Total alive	0	(0)	2	(50)	14	(47)	5	(83)	10	(71)	2	(33)	33	(53)
Died (post transplant)	1	(50)	1	(25)	3	(10)	0	(0)	0	(0)	0	(0)	5	(8)
Died (post explant)	0	(0)	0	(0)	1	(3)	0	(0)	0	(0)	0	(0)	1	(2)
Died with VAD	1	(50)	1	(25)	12	(40)	1	(17)	4	(29)	4	(67)	23	(37)
Total died	2	(100)	2	(50)	16	(53)	1	(17)	4	(29)	4	(67)	29	(47)
TOTAL	2	(100)	4	(100)	30	(100)	6	(100)	14	(100)	6	(100)	62	(100)

Table 4.2b Outco	me of p	atients	bridg	ged to a	long-	term de	vice,	by impla	ant c	entre, 1	Apri	2013 to	31 Marc	h 2016
	New N	castle %	Pap N	oworth %	Ha N	refield %	Birm N	ningham %	Mar N	chester %	GI: N	asgow %	To N	ital %
Alive (post transplant)	0	(0)	1	(50)	3	(21)	1	(25)	0	(0)	0	(0)	5	(16)
Alive (post explant)	0	(0)	0	(0)	0	(0)	0	(0)	3	(30)	0	(0)	3	(10)
Alive with VAD	0	(0)	0	(0)	4	(29)	2	(50)	5	(50)	0	(0)	11	(35)
Total alive	0	(0)	1	(50)	7	(50)	3	(75)	8	(80)	0	(0)	19	(61)
Died (post transplant)	0	(0)	0	(0)	3	(21)	0	(0)	0	(0)	0	(0)	3	(10)
Died with VAD	0	(0)	1	(50)	4	(29)	1	(25)	2	(20)	1	(100)	9	(29)
Total died	0	(0)	1	(50)	7	(50)	1	(25)	2	(20)	1	(100)	12	(39)
TOTAL	0	(0)	2	(100)	14	(100)	4	(100)	10	(100)	1	(100)	31	(100)

Table 4.3 shows the causes of death for the 29 patients who died following long-term VAD implantation. Deaths which occur more than one year post-explant are not referenced in these tables. Deaths post-explant are included in **Table 4.3** due to very small numbers (n=2).

Table 4.3 Ca	auses of death for patients who	received a bridge	d to long-term de	evice, 1 April 2006	6 to 31 March 2016	6, by centre	
	Newcastle N (%)	Harefield N (%)	Papworth N (%)	Manchester N (%)	Birmingham N (%)	Glasgow N (%)	Total N (%)
Number	2	16	2	4	1	4	29
Cardiovascular	0 (0)	0 (0)	0 (0)	2 (50)	0 (0)	0 (0)	2 (7)
Haemorrhage	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	2 (50)	2 (7)
Infection	0 (0)	1 (6)	0 (0)	0 (0)	1 (100)	0 (0)	2 (7)
Pulmonary	0 (0)	2 (13)	1 (50)	0 (0)	0 (0)	0 (0)	3 (ÌÓ)
Device malfunction	0 (0)	1 (6)	0 (0)	0 (0)	0 (0)	0 (0)	1 (3)
Other	1 (50)	11 (69)	1 (SÓ)	2 (SÓ)	0 (0)	2 (SO)	17 (59)
Post-explant	1 (50)	1 (6)	0 (0)	0 (0)	0 (0)	0 (0)	2 (7)

Table 4.4 shows the overall VAD duration and the duration of short-term and long-term devices, separately, for the whole ten years. The overall device duration ranged between 10 and 2535 days (7 years). Using the Kaplan-Meier estimation method, median overall device duration was estimated to be 403 days (95% CI: 240, 566).

	AD duration for pat April 2006 to 31 Ma		o long-term de	vice, by impla	nt centre,
Hospital	No. of implants	No. missing	Range	Median	(95% confidence interval)
Overall duration	1				
Newcastle	2	0	240 - 691	240	(-)
Papworth	4	0	25 - 564	107	(0, 256)
Harefield	30	0	10 - 2535	338	(141, 535)
Birmingham	6	0	31 - 2082	228	(0, 606)
Manchester	14	0	27 - 791	463	(410, 516)
Glasgow	6	0	30 - 1426	63	(0, 353)
All centres	62	0	10 - 2535	403	(240, 566)
ST device durati	ion				
Newcastle	2	0	2 - 16	2	(-)
Papworth	4	0	1 - 15	3	(1, 5)
Harefield	30	0	2 - 74	20	(15, 25)
Birmingham	6	0	7 - 53	11	(5, 17)
Manchester	14	0	1 - 256	31	(0, 62)
Glasgow	6	0	2 - 64	23	(0, 55)
All centres	62	0	1 - 256	18	(12, 24)
LT VAD duration	n				
Newcastle	2	0	238 - 675	238	(-)
Papworth	4	0	10 - 561	106	(0, 267)
Harefield	30	0	1 - 2512	310	(85, 535)
Birmingham	6	0	0 - 2074	214	(0, 622)
Manchester	14	0	11 - 790	415	(344, 486)
Glasgow	6	0	7 - 1408	13	(0, 246)
All centres	62	0	0 - 2512	365	(258, 472)

Table 4.5 shows, by centre, <u>Kaplan-Meier</u> estimates of <u>patient survival</u> from time of first short-term device implant to death for the whole time period. Patients still alive were censored at the date of last follow-up. Other events such as device explantation or transplantation were not censored. Survival estimates for Newcastle, Papworth Birmingham and Glasgow are not presented due to the small number of patients at risk. Patients at all four centres are, however, included in the overall number of patients at risk.

by implant centre, 1 April 2006 to 31 March 2016

Centre No. at % patient survival (95% confidence interval)
risk on 30 days 90 days 1 year 2 years 3 years
day 0

Table 4.5 Patient survival after implant of short-term device for patients bridged to a long-term device,

	risk on day 0	30	days	90	0 days	1	l year	2	years	3	years
Harefield	30	93	(76 - 98)	83	(64 - 93)	63	(44 - 78)	60	(40 - 75)	43	(24 - 60)
Manchester	14	93	(59 - 99)	93	(59 - 99)	77	(45 - 92)	68	(34 - 87)	68	(34 - 87)
Overall	62	92	(82 - 97)	82	(70 - 90)	66	(52 - 76)	60	(46 - 71)	51	(36 - 63)
Number at risk	(58		51		39		27		16	

Centre specific survival rates for Newcastle, Papworth, Birmingham and Glasgow are not presented above but are included in the national rate

Table 4.6 shows <u>Kaplan-Meier</u> estimates of patient <u>survival during long-term VAD support</u> for the whole ten year time period. Unlike the survival estimates in **Table 4.5**, survival was from point of long-term device implantation to death with survival time censored at time of device explantation or transplantation. Again, survival estimates for Newcastle, Papworth Birmingham and Glasgow are not presented due to the small number of patients at risk, but patients at all four centres are, however, included in the overall number of patients at risk Two-year and three year survival estimates are not presented due to the small number of patients at risk.

		_	ng-term dev March 2016		, by implant	centre,	
			% surviva	al on a device	(95% confide	ence inter	val)
Centre	No. at risk on day 0	30	O days	90 d	ays	1	year
Harefield	30	90	(72 - 97)	83	(64 - 93)	62	(40 - 78)
Manchester	14	93	(59 - 99)	93	(59 - 99)	78	(46 - 92)
Overall	62	85	(74 - 92)	82	(70 - 90)	67	(53 - 78)
Number at ris	k	50		46		28	

Centre specific survival rates for Newcastle, Papworth, Birmingham and Glasgow are not presented above but are included in the national rate

Table 4.7 shows <u>patient survival</u> from first short-term device by whether the patient received a short-term device prior to the long-term device or an ECMO only. Patients who received an ECMO and a short-term device prior to a long-term device are included in the short-term device group. Care should be taken when interpreting survival estimates due to the small number of patients at risk. Statistical comparisons are not presented due to the type of short-term devices used being confounded with the implanting centres as three of the centres did not implant any short-term devices into patients who subsequently received a long-term device.

Table 4.7 Patient s by device			lant of short- 2006 to 31 M			atien	ts bridged t	o a lo	ng-term de	vice,	
				%	patient sur	vival (9	95% confide	nce in	terval)		
Device	No. at risk on day 0		30 days		90 days	`	1 year		2 years	3	3 years
ECMO only	26	85	(64 - 94)	77	(56 - 89)	57	(36 - 73)	53	(31 - 71)	47	(25 - 66)
ST device	36	97	(82 - 100)	86	(70 - 94)	72	(54 - 84)	69	(51 - 81)	57	(37 - 72)
Overall	62	92	(82 - 97)	82	(70 - 90)	66	(52 - 76)	60	(46 - 71)	51	(36 - 63)
Number at risk		58		51		39		27		16	

Table 4.8 shows estimated <u>survival whilst on long-term device support</u>. Similar to **Table 4.6** survival was from point of long-term device implantation to death with survival time censored at time of device explantation or transplantation. Again, care should be taken when interpreting survival estimates due to the small number of patients at risk. Two-year and three year survival estimates are not presented due to the small number of patients at risk.

Table 4.8 Survival during 1 April 2006 to			e support,	by de	vice group,		
Device	No. at risk on day 0		survival on a 0 days		e (95% cont 90 days		interval) 1 year
ECMO only	26	81	(60 - 92)	77	(55 - 89)	70	(46 - 85)
ST device	36	89	(72 - 96)	86	(69 - 94)	67	(48 - 80)
Overall	62	85	(74 - 92)	82	(70 - 90)	67	(53 - 78)
Number at risk		50		46		28	

ADULT SHORT TERM DEVICES USED FOR BRIDGING

Activity

This section considers all patients who received a <u>short-term device</u> or <u>ECMO</u> for bridging to heart transplantation regardless of whether they received a previous device.

All figures and tables in this section, apart from **Table 5.1**, present information on a per device basis as opposed to per patient. **Table 5.1** shows the characteristics of patients who received a short-term device on a per patient basis.

Three hundred thirty-seven short-term ventricular assist devices (VADs) or ECMOs were implanted for 287 patients at six adult implant centres in the UK between 1 April 2006 and 31 March 2016. Twelve patients received 13 devices at Newcastle, 72 at Harefield (78 devices), 44 at Papworth (56 devices), 61 at Birmingham (75 devices), 43 at Glasgow (47 devices) and 55 at Manchester (68 devices).

Figure 5.1 shows the cumulative number of short-term VADs/ECMOs implanted each month, overall and by centre, whilst **Figure 5.2** shows the number of short-term VADs/ECMOs by financial year and centre. Short term device/ ECMO activity has increased at all centres except Newcastle.

Figure 5.1 Cumulative short-term VAD/ECMO activity, by month and implant centre, 1 April 2006 to 31 March 2016

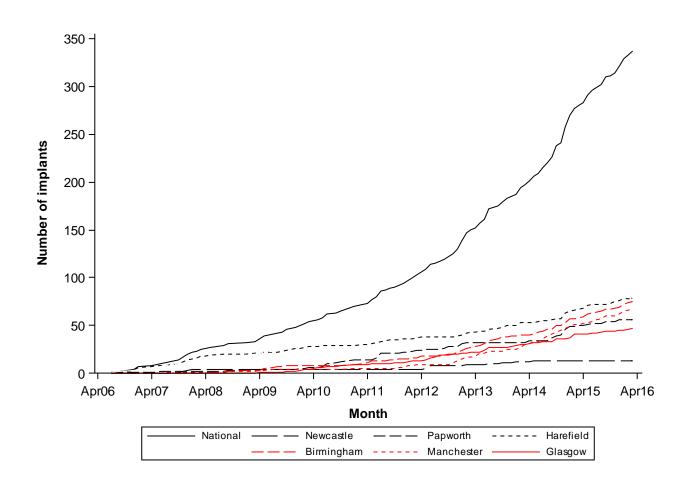


Figure 5.2 Short-term VAD/ECMO activity, by financial year and implant centre, 1 April 2006 to 31 March 2016

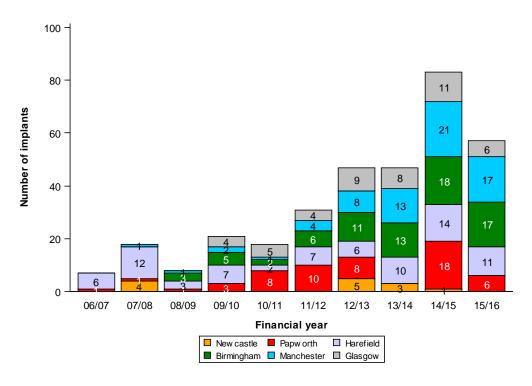


Figure 5.3 shows the <u>INTERMACS patient profile</u> at time of short-term VAD/ECMO implantation and shows that profile 1 (cardiogenic shock) is the most common.

Figure 5.3 INTERMACS patient profile for all bridging short-term devices and ECMOs, 1 April 2006 to 31 March 2016

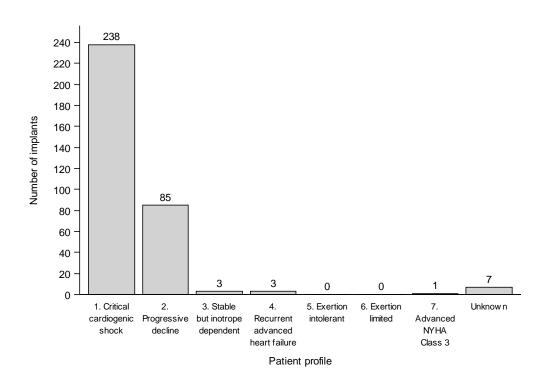


Table 5.1 shows the characteristics of patients who received a short-term device or ECMO by implant centre. Overall, the most frequently reported cardiothoracic diseases were dilated cardiomyopathy (54%) and ischaemic heart disease (31%). The overall median age at implant was 43 years (inter-quartile range 29 - 52 years) and the majority of recipients were male (68%).

The device history for all short-term device patients is outlined in sequence in **Table 5.1**.

Unlike **Table 5.1**, which presents information on a per patient basis, **Table 5.2** presents characteristics on a per device basis. **Table 5.2** shows that the most frequently used devices were Centrimag (54%) and ECMO only (43%). Overall 51% received only one short-term device and 17% received only one ECMO only. 78% were on inotropes at time of VAD implant whilst 48% received an IABP prior to VAD implant.

		Newcastle N (%)	Harefield N (%)	Papworth N (%)	Manchester N (%)	Birmingham N (%)	Glasgow N (%)	Total N (%)
Number		12	72	44	55	61	43	287
Recipient sex	Male Female	7 (58) 5 (42)	54 (75) 18 (25)	36 (82) 8 (18)	37 (67) 18 (33)	39 (64) 22 (36)	22 (51) 21 (49)	195 (68) 92 (32)
Recipient age	Median (IQR) Missing	51 (37-60) 0	42 (26.5-50) 0	43.5 (28-52) 0	38 (27-52) 0	45 (30-54) 0	44 (31-50) 0	43 (29-52) 0
Cardiothoracic disease	Dilated cardiomyopathy Ischaemic heart disease Congenital heart disease Hypertrophic cardiomyopathy Restrictive cardiomyopathy Valvular heart disease Infiltrative heart muscle disease Other Unknown	4 (33) 4 (33) 2 (17) 0 (0) 0 (0) 0 (0) 0 (0) 2 (17) 0 (0)	43 (60) 20 (28) 2 (3) 2 (3) 3 (4) 1 (1) 0 (0) 0 (0) 1 (1)	25 (57) 17 (39) 0 (0) 0 (0) 1 (2) 1 (2) 0 (0) 0 (0) 0 (0)	31 (56) 19 (35) 0 (0) 0 (0) 0 (0) 1 (2) 0 (0) 3 (5) 1 (2)	34 (56) 19 (31) 1 (2) 1 (2) 0 (0) 2 (3) 2 (3) 0 (0) 2 (3)	18 (42) 10 (23) 0 (0) 3 (7) 0 (0) 2 (5) 0 (0) 7 (16) 3 (7)	155 (54) 89 (31) 5 (2) 6 (2) 4 (1) 7 (2) 2 (1) 12 (4) 7 (2)
Device history	LT-ECMO LT-LT-ST LT-LT-ST LT-LT-ST-LT LT-ST LT-ST-ECMO LT-ST-LT LT/LT-ECMO LT/LT-LT/ST LT/ST ST ST-ECMO-ST-LT ST-LT ST-LT ST-ST ST-ST-LT ECMO ECMO-ECMO ECMO-LT ECMO-ST-LT ECMO-ST ECMO-ST-LT ECMO-ST ECMO-ST-LT ECMO-ST ECMO-ST/LT ECMO-ECMO-ST	0 (0) 0 (0) 0 (0) 1 (8) 0 (0) 1 (8) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 5 (42) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 2 (17) 0 (0) 2 (17) 0 (0) 1 (8) 0 (0) 0 (0) 1 (8) 0 (0) 0 (0)	1 (1) 1 (1) 1 (1) 3 (4) 0 (0) 1 (1) 1 (1) 1 (1) 1 (1) 1 (1) 22 (31) 0 (0) 17 (24) 1 (1) 0 (0) 0 (0) 5 (7) 1 (1) 9 (13) 1 (1) 2 (3) 1 (1) 1 (1) 0 (0) 1 (1)	0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 19 (43) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 9 (20) 0 (0) 4 (9) 0 (0) 10 (23) 0 (0) 1 (2) 1 (2) 1 (2) 0 (0)	0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 28 (51) 0 (0) 2 (4) 1 (2) 0 (0) 1 (2) 5 (9) 1 (2) 6 (11) 0 (0) 7 (13) 4 (7) 0 (0) 0 (0) 1 (2) 1 (2)	1 (2) 0 (0) 0 (0) 0 (0) 1 (2) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 24 (39) 1 (2) 2 (3) 0 (0) 3 (5) 0 (0) 18 (30) 0 (0) 3 (5) 0 (0) 8 (13) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0)	0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 25 (58) 0 (0) 4 (9) 0 (0) 0 (0) 0 (0) 9 (21) 0 (0) 1 (2) 0 (0) 3 (7) 1 (2) 0 (0) 0 (0) 0 (0)	2 (1) 1 (0) 4 (1) 1 (0) 1 (0) 1 (0) 1 (0) 1 (0) 25 (9) 2 (1) 3 (1) 1 (0) 48 (17) 2 (1) 25 (9) 1 (0) 30 (10) 7 (2) 1 (0) 3 (1) 1 (0)

Table 5.2	Device type and history of patients w	ho received a sh	ort-term device	or ECMO for brid	lging, 1 April 200	6 to 31 March 2010	6, by centre	
		Newcastle N (%)	Harefield N (%)	Papworth N (%)	Manchester N (%)	Birmingham N (%)	Glasgow N (%)	Total N (%)
Number		13	78	56	68	75	47	337
INTERMACS patient profile	 Critical cardiogenic shock Progressive decline Stable but inotrope dependent Recurrent advanced heart failure Exertion intolerant Exertion limited Advanced NYHA Class 3 Unknown 	9 (69) 4 (31) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0)	44 (56) 25 (32) 1 (1) 2 (3) 0 (0) 0 (0) 0 (0) 5 (6)	36 (64) 19 (34) 1 (2) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0)	59 (87) 7 (10) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0) 2 (3)	64 (85) 10 (13) 0 (0) 1 (1) 0 (0) 0 (0) 0 (0) 0 (0)	26 (55) 20 (43) 1 (2) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0)	238 (71) 85 (25) 3 (1) 3 (1) 0 (0) 0 (0) 0 (0) 7 (2)
Treatment history prior to short-term VAD or ECMO implant	None VAD/ECMO only IABP only Inotropes only VAD/ECMO+IABP VAD/ECMO+inotropes IABP,inotropes VAD/ECMO, IABP,inotropes Unknown	1 (8) 0 (0) 0 (0) 2 (15) 0 (0) 2 (15) 4 (31) 0 (0) 4 (31)	2 (3) 3 (4) 1 (1) 20 (26) 3 (4) 10 (13) 19 (24) 5 (6) 15 (19)	4 (7) 0 (0) 1 (2) 14 (25) 1 (2) 3 (5) 20 (36) 9 (16) 4 (7)	0 (0) 0 (0) 0 (0) 3 (4) 0 (0) 0 (0) 20 (29) 6 (9) 39 (57)	8 (11) 0 (0) 0 (0) 15 (20) 0 (0) 9 (12) 28 (37) 6 (8) 9 (12)	1 (2) 0 (0) 6 (13) 8 (17) 0 (0) 0 (0) 16 (34) 4 (9) 12 (26)	16 (5) 3 (1) 8 (2) 62 (18) 4 (1) 24 (7) 107 (32) 30 (9) 83 (25)
Device name	Impella Centrimag ECMO only	0 (0) 7 (54) 6 (46)	0 (0) 52 (67) 26 (33)	0 (0) 30 (54) 26 (46)	0 (0) 44 (65) 24 (35)	7 (9) 36 (48) 32 (43)	0 (0) 33 (70) 14 (30)	7 (2) 202 (60) 128 (38)

ADULT SHORT TERM DEVICES USED FOR BRIDGING

Patient Outcomes

This section considers patients whose first device was a <u>short-term device</u> or <u>ECMO</u>. However, outcomes for patients who received a long-term device following a short term VAD or ECMO are excluded from this section and presented in the <u>Bridged to long-term device section</u>. Patients who received a total artificial heart following a short-term VAD or ECMO are also excluded from this section.

Patient outcomes presented in this section are split into two groups based on devices received: short-term devices and ECMO only. The short-term devices group consists of patients who received either only short-term devices or both ECMO and a short-term device at different points in time.

Tables 6.1a and **6.1b** show the final outcome of recipients, by centre, of short-term devices and ECMO only, respectively, over the ten year period. Nationally, 80 patients were transplanted, 30 survived explantation of the short-term device or ECMO, 90 died on support and 7 died shortly after explantation. When combining activity across the two device groups, the overall number of patients alive at time of analysis was 92 out of 207 (44%).

				vices us mplant c		_	_				າ (ex	cluding	patients w	rho
	New	castle	Pap	worth	Har	efield	Birm	ingham	Man	chester	Gla	asgow	Tota	I
	Ν	%	Ν	%	Ν	%	Ν	%	Ν	%	Ν	%	N	%
Alive (post transplant)	1	(17)	16	(55)	4	(17)	13	(37)	15	(43)	5	(18)	54	(35)
Alive (post explant)	0	(0)	0	(0)	3	(13)	3	(9)	3	(9)	7	(25)	16	(10)
Alive on VAD	0	(0)	0	(0)	0	(0)	0	(0)	0	(0)	0	(0)	0	(0)
Total alive	1	(17)	16	(55)	7	(30)	16	(46)	18	(51)	12	(43)	70	(45)
Died (post transplant)	0	(0)	2	(7)	2	(9)	5	(14)	3	(9)	2	(7)	14	(9)
Died (post explant)	1	(17)	0	(0)	2	(9)	1	(3)	0	(0)	2	(7)	6	(4)
Died with VAD	4	(67)	11	(38)	12	(52)	13	(37)	14	(40)	12	(43)	66	(42)
Total died	5	(83)	13	(45)	16	(70)	19	(54)	17	(49)	16	(57)	86	(55)
TOTAL	6	(100)	29	(100)	23	(100)	35	(100)	35	(100)	28	(100)	156	(100)

Table 6.1b Outcome of ECMO only used for bridging to heart transplantation, by implant centre, 1 April 2006 to 31 March 2016														
	New	castle	Pap	worth	Har	efield	Birm	ingham	Man	chester	Gla	asgow	Tota	I
	Ν	%	Ν	%	Ν	%	Ν	%	Ν	%	Ν	%	N	%
Alive (post transplant)	0	(0)	2	(20)	0	(0)	4	(22)	1	(17)	1	(11)	8	(16)
Alive (post explant)	2	(100)	3	(30)	1	(17)	4	(22)	2	(33)	2	(22)	14	(27)
Alive on ECMO	0	(0)	0	(0)	0	(0)	0	(0)	0	(0)	0	(0)	0	(0)
Total alive	2	(100)	5	(50)	1	(17)	8	(44)	3	(50)	3	(33)	22	(43)
Died (post transplant)	0	(0)	0	(0)	0	(0)	4	(22)	0	(0)	0	(0)	4	(8)
Died (post explant)	0	(0)	0	(0)	0	(0)	0	(0)	0	(0)	1	(11)	1	(2)
Died with ECMO	0	(0)	5	(50)	5	(83)	6	(33)	3	(50)	5	(56)	24	(47)
Total died	0	(0)	5	(50)	5	(83)	10	(56)	3	(50)	6	(67)	29	(57)
TOTAL	2	(100)	10	(100)	6	(100)	18	(100)	6	(100)	9	(100)	51	(100)

Tables 6.2a and **6.2b** show the causes of death, by centre, for all patients who sadly died following implantation of a short-term device or ECMO, respectively. Deaths which occur more than one year post-transplant or more than one year post-explant are not referenced in these tables.

Table 6.2a Causes of death for patients who received a short-term device only, 1 April 2006 to 31 March 2016, by centre									
	Newc N ('			h Mancheste N (%)	er Birmingham N (%)	n Glasgow N (%)	Total N (%)		
Number	5	16	13	17	19	16	86		
Cardiovascular	2 (4	10) 4 (25)	0 (0)	2 (12)	1 (5)	4 (25)	13 (15)		
Haemorrhage	0 (1 (6)	0 (0)	1 (6)	8 (9)		
Infection	0 (0 (0)	0 (0)	2 (11)	0 (0)	2 (2)		
Pulmonary	0 (1 (8)	2 (12)	2 (11)	0 (0)	5 (6)		
Other	3 (6			7 (41)	11 (58)	10 (63)	49 (5 7)		
Unknown	0 (,	0 (0)	0 (0)	2 (11)	0 (0)	2 (2)		
Post-explant	0 (,	0 (0)	5 (29)	1 (5)	1 (6)	7 (8)		

Table 6.2b Causes of death for patients who received ECMO only, 1 April 2006 to 31 March 2016, by centre									
		Newcastle N (%)	Harefield N (%)	Papworth N (%)	Manchester N (%)	Birmingham N (%)	Glasgow N (%)	Total N (%)	
Number		0 (0)	5	5	3	10	6	29	
Cardiovascular		0 (0)	0 (0)	0 (0)	1 (33)	2 (20)	0 (0)	3 (10)	
nfection		0 (0)	0 (0)	0 (0)	0 (0)	1 (10)	0 (0)	1 (3)	
Other		0 (0)	5 (100)	5 (100)	1 (33)	7 (70)	5 (83)	23 (79)	
Post-explant		0 (0)	0 (0)	0 (0)	1 (33)	0 (0)	1 (17)	2 (7)	

Tables 6.3a and **6.3b** shows the duration of support, by centre, for short-term VADs and ECMO only, respectively. Across both device groups, the duration of support ranged between 0 and 175 days (under 6 months). Using the <u>Kaplan-Meier estimation method</u>, median duration of support for short-term devices was estimated to be 25 days (95% CI: 20, 30).

Table 6.3a	Short-term device de	uration, by im	plant centre, 1	April 2006 to 3	1 March 2016
Hospital	No. of implants	No. missing	Range	Median	(95% confidence interval)
Newcastle	6	0	2 - 17	9	(1, 17)
Papworth	29	0	4 - 175	38	(12, 64)
Harefield	23	0	1 - 101	25	(14, 36)
Birmingham	35	0	1 - 97	17	(7, 27)
Manchester	35	0	3 - 123	32	(19, 45)
Glasgow	28	0	1 - 110	25	(20, 30)
All centres	156	0	1 - 175	25	(20, 30)

Table 6.3b	ECMO duration, by	implant centre	e, 1 April 2006 t	o 31 March 201	6
Hospital	No. of implants	No. missing	Range	Median	(95% confidence interval)
Newcastle	2	0	4 - 13	4	(-)
Papworth	10	0	0 - 35	5	(0, 10)
Harefield	6	0	1 - 21	10	(5, 15)
Birmingham	18	0	1 - 25	5	(1, 9)
Manchester	6	0	1 - 9	7	(5, 9)
Glasgow	9	0	0 - 10	5	(0, 14)
All centres	51	0	0 - 35	6	(5, 7)

Table 6.4 shows Kaplan-Meier estimates of <u>patient survival</u> from time of first ST device /ECMO implant to death by device group. Patients still alive were censored at the date of last follow-up. Other events such as device explantation or transplantation were not censored.

Table 6.4 Patient survival after implant of short-term device, by device group, 1 April 2006 to 31 March 2016											
				%	patient sur	vival (9	95% confide	nce in	terval)		
Device	No. at risk on day 0	30	0 days		00 days	•	1 year		2 years	3	3 years
ECMO only	51	51	(37 - 64)	47	(33 - 60)	43	(29 - 56)	43	(29 - 56)	43	(29 - 56)
ST only	156	66	(58 - 73)	53	(44 - 60)	45	(37 - 52)	42	(34 - 50)	42	(34 - 50)
Overall	207	62	(55 - 69)	51	(44 - 58)	44	(37 - 51)	42	(36 - 49)	42	(36 - 49)
Number at risk		130		106		81		60		50	

Table 6.5 shows <u>patient survival during support</u> by device group. Unlike the survival estimates presented in **Table 6.4**, survival was censored at time of device explantation or transplantation. Survival during support was lower than the overall patient survival, as survival post-transplant and explant are not considered. One-year, two year and three year survival estimates are not presented due to the small number of patients at risk. ECMO only support was typically very short; all but 7 of the 51 patients were on support for 15 days or less.

Table 6.5 Survival during short-term device support, by device group, 1 April 2006 to 31 March 2016										
		% su	rvival on a devi inter	`	confidence					
Device	No. at risk on day 0	30 days 90 days								
ECMO only	51	19	(4 - 43)	0	(-)					
ST only	156	69	(60 - 76)	49	(37 - 60)					
Overall	207	62	(54 - 69)	43	(32 - 53)					
Number at risk		69		15						

SHORT TERM DEVICES USED POST-HEART TRANSPLANT

Activity

One hundred fifty-one patients received a short-term device or ECMO for <u>primary graft</u> <u>dysfunction (PGD)</u> at six adult implant centres in the UK between 1 April 2006 and 31 March 2016. Five patients received five devices at Newcastle, 42 at Harefield (44 devices), 20 at Papworth (20 devices), 24 at Birmingham (27 devices), 25 at Glasgow (31 devices) and 35 at Manchester (42 devices).

In addition to the 151 patients above, four patients received short-term devices or ECMO for <u>rejection</u> more than 30 days post-heart transplant. One patient who received a device for PGD subsequently received a device for rejection. One patient was at Birmingham, two at Newcastle and two at Glasgow. Four of these patients died on support and one patient was successfully re-transplanted. Finally, three patients at Newcastle received a Berlin Heart for primary graft dysfunction; all three died on support. These patients are all excluded from this section.

Figure 7.1 shows the cumulative number of short-term VADs/ ECMOs implanted each month, overall and by centre, whilst **Figure 7.2** shows the number of devices by financial year and centre. Short-term device/ ECMO activity has increased at most centres.

Figure 7.1 Cumulative short-term devices and ECMOs used for primary graft dysfunction, by month and implant centre, 1 April 2006 to 31 March 2016

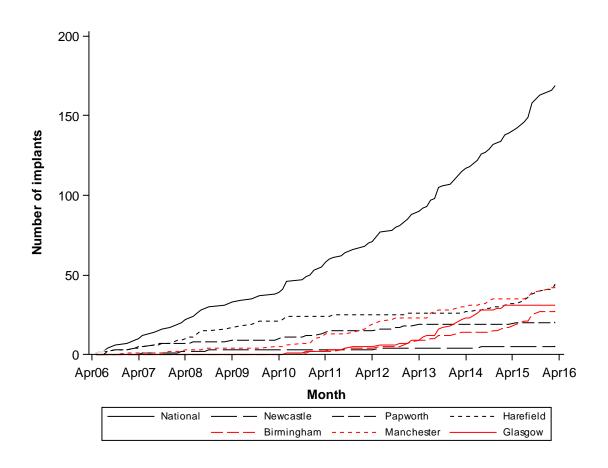


Figure 7.2 Short-term devices and ECMOs used for primary graft dysfunction, by financial year and implant centre, 1 April 2006 to 31 March 2016

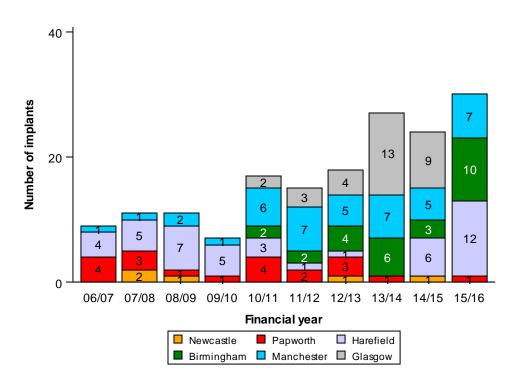


Table 7.1 shows the characteristics of patients who received short-term devices or ECMOs for <u>primary graft dysfunction</u>, by implant centre. Overall, the most frequently reported cardiothoracic diseases were dilated cardiomyopathy (53%) and ischaemic heart disease (23%). The overall median age at implant was 49 years (inter-quartile range 37 - 55 years) and the majority of recipients were male (73%). Overall 80% received only one short-term device.

Table 7.2 shows that the most frequently used devices were ECMO only (64%) and Centrimag (24%). 44% were on inotropes at time of VAD implant whilst 29% received an IABP prior to VAD implant.

		Newcastle N (%)	Harefield N (%)	Papworth N (%)	Manchester N (%)	Birmingham N (%)	Glasgow N (%)	Total N (%)
Number		5	42	20	35	24	25	151
Recipient sex	Male Female	1 (20) 4 (80)	30 (71) 12 (29)	15 (75) 5 (25)	29 (83) 6 (17)	19 (79) 5 (21)	16 (64) 9 (36)	110 (73) 41 (27)
Recipient age	Median (IQR) Missing	48 (42-48) 0	50 (35-56) 0	48.5 (41-52.5) 0	49 (40-56) 0	51.5 (35-55.5) 0	48 (37-52) 0	49 (37-55) 0
Cardiothoracic disease	Dilated cardiomyopathy Ischaemic heart disease Congenital heart disease Hypertrophic cardiomyopathy Restrictive cardiomyopathy Valvular heart disease Infiltrative heart muscle disease Other Unknown	1 (20) 1 (20) 1 (20) 1 (20) 0 (0) 0 (0) 0 (0) 0 (0) 1 (20)	31 (74) 5 (12) 0 (0) 2 (5) 0 (0) 2 (5) 0 (0) 1 (2) 1 (2)	8 (40) 6 (30) 0 (0) 2 (10) 1 (5) 0 (0) 0 (0) 3 (15) 0 (0)	19 (54) 11 (31) 0 (0) 1 (3) 0 (0) 2 (6) 0 (0) 2 (6) 0 (0)	10 (42) 7 (29) 1 (4) 2 (8) 1 (4) 0 (0) 1 (4) 0 (0) 2 (8)	11 (44) 5 (20) 0 (0) 2 (8) 2 (8) 1 (4) 0 (0) 4 (16) 0 (0)	80 (53) 35 (23) 2 (1) 10 (7) 4 (3) 5 (3) 1 (1) 10 (7) 4 (3)
Device history	ST ST-ECMO ST-ST ECMO ECMO-ECMO-ST ECMO-ST ECMO/ST ECMO/ECMO ECMO/ST-ECMO	3 (60) 0 (0) 0 (0) 2 (40) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0)	25 (60) 0 (0) 0 (0) 15 (36) 0 (0) 0 (0) 0 (0) 2 (5) 0 (0)	9 (45) 0 (0) 0 (0) 11 (55) 0 (0) 0 (0) 0 (0) 0 (0) 0 (0)	3 (9) 0 (0) 0 (0) 26 (74) 0 (0) 5 (14) 0 (0) 0 (0) 1 (3)	5 (21) 1 (4) 1 (4) 16 (67) 0 (0) 1 (4) 0 (0) 0 (0) 0 (0)	5 (20) 0 (0) 0 (0) 15 (60) 1 (4) 1 (4) 2 (8) 1 (4) 0 (0)	50 (33) 1 (1) 1 (1) 85 (56) 1 (1) 7 (5) 2 (1) 3 (2) 1 (1)

ECMO-ST indicates that a patient received an ECMO and then a short-term device immediately following explantation of the ECMO ECMO/ST indicates that a patient had two episodes and received an ECMO which was explanted and then a short-term device after a period of no support

		Newcastle	Harefield	Papworth	Manchester	Birmingham	Glasgow	Total
		N (%)	N (%)	N (%)	N (%)	N (%)	N (%)	N (%)
Number		5	44	20	42	27	31	169
<u>INTERMACS</u>	1. Critical cardiogenic shock	5 (100)	14 (32)	19 (95)	11 (26)	25 (93)	21 (68)	95 (56)
patient profile	2. Progressive decline	0 (0)	23 (52)	1 (5)	11 (26)	1 (4)	7 (23)	43 (25)
	Stable but inotrope dependent	0 (0)	0 (0)	0 (0)	4 (10)	1 (4)	3 (10)	8 (5)
	4. Recurrent advanced heart failure	0 (0)	1 (2)	0 (0)	10 (24)	0 (0)	0 (0)	11 (7)
	5. Exertion intolerant	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
	6. Exertion limited	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
	7. Advanced NYHA Class 3	0 (0)	0 (0)	0 (0)	2 (5)	0 (0)	0 (0)	2 (1)
	Unknown	0 (0)	6 (14)	0 (0)	4 (10)	0 (0)	0 (0)	10 (6)
reatment history	None	0 (0)	3 (7)	7 (35)	5 (12)	0 (0)	3 (10)	18 (11)
rior to short-term	VAD/ECMO only	0 (0)	6 (14)	1 (5)	0 (0)	1 (4)	0 (0)	8 (5)
/AD or ECMO mplant	IABP only	0 (0)	0 (0)	0 (0)	3 (7)	2 (7)	2 (6)	7 (4)
piain	Inotropes only	0 (0)	3 (7)	5 (25)	2 (5)	12 (44)	1 (3)	23 (14)
	VAD/ECMO+IABP	2 (40)	2 (5)	0 (0)	0 (0)	0 (0)	2 (6)	6 (4)
	VAD/ECMO+inotropes	0 (0)	6 (14)	1 (5)	0 (0)	2 (7)	0 (0)	9 (5)
	IABP,inotropes	3 (60)	1 (2)	1 (5)	1 (2)	2 (7)	3 (10)	11 (7)
	VAD/ECMO, IABP, inotropes	0 (0)	3 (7)	2 (10)	0 (0)	5 (19)	4 (13)	14 (8)
	Unknown	0 (0)	20 (45)	3 (15)	31 (74)	3 (11)	16 (52)	73 (43)
Device name	Impella	0 (0)	0 (0)	0 (0)	0 (0)	1 (4)	0 (0)	1 (1)
	Centrimag	3 (60)	25 (57)	9 (45)	9 (21)	8 (30)	9 (29)	63 (37)
	ECMO only	2 (40)	19 (43)	11 (55)	33 (79)	18 (67)	22 (71)	105 (62)

ADULT SHORT TERM DEVICES USED POST HEART TRANSPLANT

Patient Outcomes

Table 8.1 shows the outcome for the 151 patients who received a short-term device or ECMO for PGD. Nationally, 8 patients were re-transplanted, 57 survived explantation of the VAD or ECMO, 60 died on support and 25 died post device explantation (all within a month).

	Table 8.1 Outcome of short-term devices or ECMOs used for primary graft dysfunction, by implant centre, 1 April 2006 to 31 March 2016													
	New	castle	Pap	worth	Har	efield	Birm	ingham	Man	chester	Gla	asgow	Tota	I
	Ν	%	N	%	Ν	%	Ν	%	Ν	%	N	ິ%	N	%
Alive (post transplant)	0	(0)	3	(15)	1	(2)	0	(0)	1	(3)	0	(0)	5	(3)
Alive (post explant)	0	(0)	6	(30)	11	(26)	8	(33)	22	(63)	10	(40)	57	(38)
Alive with VAD/ECMO	0	(0)	0	(0)	0	(0)	1	(4)	0	(0)	0	(0)	1	(1)
Total alive	0	(0)	9	(45)	12	(29)	9	(38)	23	(66)	10	(40)	63	(42)
Died (post transplant)	0	(0)	0	(0)	1	(2)	1	(4)	0	(0)	1	(4)	3	(2)
Died (post explant)	1	(20)	1	(5)	10	(24)	8	(33)	2	(6)	3	(12)	25	(17)
Died with VAD/ECMO	4	(80)	10	(50)	19	(45)	6	(25)	10	(29)	11	(44)	60	(40)
Total died	5	(100)	11	(55)	30	(71)	15	(63)	12	(34)	15	(60)	88	(58)
TOTAL	5	(100)	20	(100)	42	(100)	24	(100)	35	(100)	25	(100)	151	(100)

Table 8.2 shows the grouped causes of death for all patients who sadly died after receiving a short-term device or ECMO for PGD.

Table 8.2 Causes of death for patients who received a short-term devices or ECMOs used for primary graft dysfunction, 1 April 2006 to 31 March 2016, by centre										
	Newcastle N (%)	Harefield N (%)	Papworth N (%)	Manchester N (%)	Birmingham N (%)	Glasgow N (%)	Total N (%)			
Number	5	30	11	12	15	15	88			
Cardiovascular	1 (20)	2 (7)	1 (9)	2 (17)	2 (13)	0 (0)	8 (9)			
Haemorrhage	2 (40)	1 (3)	1 (9)	1 (8)	0 (0)	0 (0)	5 (6)			
Infection	0 (0)	1 (3)	0 (0)	0 (0)	1 (7)	0 (0)	2 (2)			
Renal failure	0 (0)	1 (3)	0 (0)	0 (0)	0 (0)	0 (0)	1 (1)			
Pulmonary	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	1 (7)	1 (1)			
Other	2 (40)	19 (63)	9 (82)	7 (58)	10 (67)	14 (93)	61 (69)			
Unknown	0 (0)	1 (3)	0 (0)	0 (0)	1 (7)	0 (0)	2 (2)			
Post-explant	0 (0)	5 (Ì႗)	0 (0)	2 (17)	1 (7)	0 (0)	8 (9)			

Table 8.3 shows the short-term device or ECMO duration of support. Overall, the duration of support ranged between 0 and 362 days. Using the Kaplan-Meier estimation method, median duration of support for all patients was estimated to be 6 days (95% CI: 5, 7).

Table 8.3	by implant centre, 1 April 2006 to 31 March 2016											
Hospital	No. of implants	No. missing	Range	Median	(95% confidence interval)							
Newcastle	5	0	2 - 15	5	(3, 7)							
Papworth	20	0	1 - 26	6	(2, 10)							
Harefield	42	1	0 - 45	9	(6, 12)							
Birmingham	24	0	0 - 362	5	(3, 7)							
Manchester	35	0	0 - 76	6	(5, 7)							
Glasgow	25	0	0 - 53	5	(3, 7)							
All centres	151	1	0 - 362	6	(5, 7)							

Table 8.4 shows <u>Kaplan-Meier estimates</u> of <u>patient survival</u> from time of implant of a short-term device or ECMO for primary graft dysfunction to death. Patients still alive were censored at the date of last follow-up. Other events such as device explantation or transplantation were not censored. Care should be taken when interpreting survival estimates for all centres in particular Newcastle due to the small number of patients at risk. This is reflected in wide confidence intervals. Patient <u>survival during short-term device or ECMO support</u> is not presented due to all patients being on support for less than 90 days.

Table 8.4 Pa			fter implant , 1 April 200				ECMOs use	d for p	rimary graft	dysfu	nction,
				% patient survival (95% confidence interval)							
Centre	No. at risk on day 0	30	0 days	90) days	1	year	2	years	3	years
Birmingham	24	58	(36 - 75)	58	(36 - 75)	38	(19 - 56)	38	(19 - 56)	38	(19 - 56)
Glasgow	25	48	(28 - 66)	48	(28 - 66)	40	(21 - 58)	36	(18 - 54)	31	(14 - 50)
Harefield	42	50	(34 - 64)	33	(20 - 48)	29	(16 - 43)	29	(16 - 43)	29	(16 - 43)
Manchester	35	74	(56 - 86)	69	(50 - 81)	66	(48 - 79)	66	(48 - 79)	62	(43 - 76)
Newcastle	5	0	(-)	0	(-)	0	(-)	0	(-)	0	(-)
Papworth	20	50	(27 - 69)	45	(23 - 65)	45	(23 - 65)	45	(23 - 65)	45	(23 - 65)
Overall	151	55	(47 - 62)	48	(40 - 56)	42	(34 - 49)	41	(33 - 49)	39	(31 - 47)
Number at risk	(84		73		58		47		36	

PAEDIATRIC LONG TERM DEVICES USED FOR BRIDGING

Activity

This section considers all paediatric patients who received a <u>long-term device</u> for bridging to heart transplantation regardless of whether they received a previous device.

All figures and tables in this section, apart from **Table 9.1**, present information on a per long-term device basis as opposed to per patient. **Table 9.1** shows the characteristics of patients who received a long-term device on a per patient basis.

55 long-term ventricular assist devices were implanted for 52 patients at two paediatric implant centres in the UK between 1 April 2013 and 31 March 2016. 25 patients received a device at Newcastle (26 devices) and 27 at Great Ormond Street (29 devices).

Data presented in this section includes both left ventricle VADs (LVADs) and VADs implanted into both ventricles (BiVADs) unless otherwise stated.

Figure 9.1 shows the cumulative number of long-term VADs implanted each month, overall and by centre, whilst **Figure 9.2** shows the number of long-term VADs by financial year and centre. Long-term VAD activity at both centres has increased over the three years.

Figure 9.1 Cumulative long-term VAD activity, by month and implant centre, 1 April 2013 to 31 March 2016

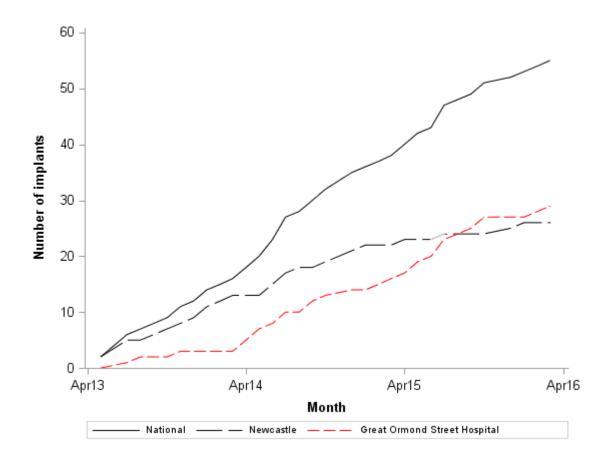


Figure 9.2 Long-term VAD activity, by financial year and implant centre, 1 April 2013 to 31 March 2016

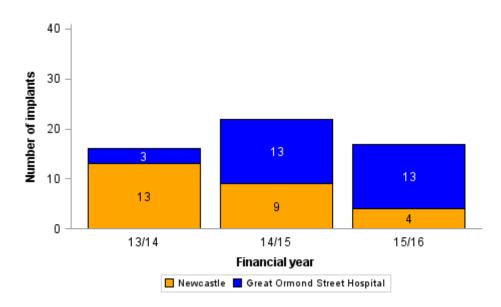


Figure 9.3 shows the number of long-term devices categorised by <u>generation</u> of device and shows the majority of long-term devices implanted in the last three years were first generation with no second generation devices used.

Figure 9.3 Long-term VAD generation, by financial year and device generation, 1 April 2013 to 31 March 2016

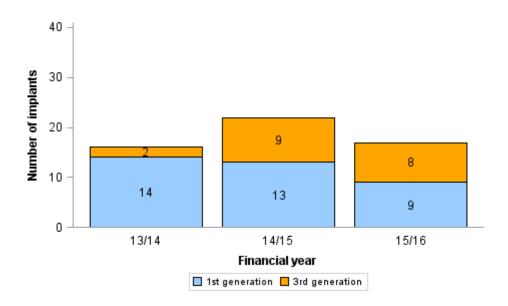


Figure 9.4 shows the <u>INTERMACS patient profile</u> at time of long-term VAD implantation and shows that profile 1 (cardiogenic shock) and profile 2 (progressive decline) are the most common.

Figure 9.4 INTERMACS patient profile for all long-term VADs implanted, 1 April 2013 to 31 March 2016

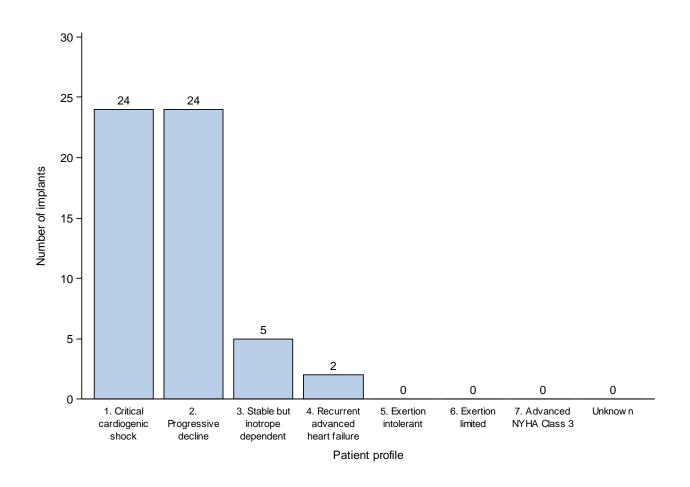


Table 9.1 shows the characteristics of paediatric patients who received a long-term device by implant centre. Overall, the most frequently reported cardiothoracic disease was dilated cardiomyopathy (75%). The overall median age at implant was 4.5 years (inter-quartile range 1 - 9 years) and the majority of recipients were female (52%).

Overall 85% received only one long-term device. The device history for all long-term device patients is outlined in sequence in **Table 9.1**.

Unlike **Table 9.1**, which presents information on a per patient basis, **Table 9.2** presents characteristics on a per device basis. **Table 9.2** shows that the most frequently used devices were Berlin Heart Excor (64%) and Heartware (35%). 87% were on inotropes at time of VAD implant.

Table 9.1 Characteristics of patients who received a long-term device, 1 April 2013 to 31 March 2016, by centre Newcastle **Great Ormond** Total Street N (%) N (%) N (%) Number 25 27 52 25 (48) Recipient sex Male 12 (44) 13 (52) Female 15 (56) 27 (52) 12 (48) Recipient age Median (IQR) 6 (2-11) 4.5 (1-9) 3 (0-6) Missing 0 0 Cardiothoracic disease Dilated cardiomyopathy 19 (76) 20 (74) 39 (75) Congenital heart disease 3 (12) 0 (0) 3 (6) Restrictive cardiomyopathy 4 (8) 1 (4) 3 (11) 6 (12) Other 2 (8) 4 (15) Device history LT 25 (100) 21 (78) 46 (88) LT-LT 1 (2) 0 (0) 1 (4) LT/ECMO-LT 1 (4) 1 (2) 0(0)**ECMO-LT** 4 (8) 0(0)4 (15)

LT-ST indicates that a patient received a long-term device and then a short-term device immediately following explantation of a long-term device LT/ST indicates that a patient had two episodes and received a long-term device which was explanted and then a short-term device after a period of no support

	Patient characteristics for all long-ter by centre	m devices, 1 A	pril 2013 to 31 Marc	h 2016,
		Newcastle	Great Ormond Street	Total
		N (%)	N (%)	N (%)
Number		26	29	55
INTERMACS	Critical cardiogenic shock	21 (81)	3 (10)	24 (44)
patient profile	2. Progressive decline	5 (19)	19 (66)	24 (44)
	3. Stable but inotrope dependent	0 (0)	5 (17)	5 (9)
	4. Recurrent advanced heart failure	0 (0)	2 (7)	2 (4)
	5. Exertion intolerant	0 (0)	0 (0)	0 (0)
	6. Exertion limited	0 (0)	0 (0)	0 (0)
	7. Advanced NYHA Class 3	0 (0)	0 (0)	0 (0)
Treatment history	None	0 (0)	2 (7)	2 (4)
prior to long-term	VAD/ECMO only	1 (4)	2 (7)	3 (5)
VAD implant	Inotropes only	1 (4)	16 (55)	17 (31)
	VAD/ECMO+inotropes	0 (0)	9 (31)	9 (16)
	Unknown	24 (92)	0 (0)	24 (44)
Device name	Berlin Heart Excor	15 (58)	20 (69)	35 (64)
	Heartware	11 (42)	8 (28)	19 (35)
	Centrimag	0 (0)	1 (3)	1 (2)

PAEDIATRIC LONG TERM DEVICES USED FOR BRIDGING

Patient Outcomes

This section considers paediatric patients whose first device was a continuous long-term device. It excludes 4 patients who either received a short-term device or ECMO prior to the long-term device (included in <u>bridged to long-term device section</u>) along with 31 patients who received either a Berlin Heart Excor, Thoratec PVAD, Thoratec IVAD, Heartmate XVE or Circulite Synergy.

Data presented in this section combines LVADs and BiVADs unless otherwise stated.

Table 10.1 shows the long-term VAD outcome of recipients, by centre, between 1 April 2013 and 31 March 2016. Nationally, 14 patients were transplanted, 1 survived explantation of the VAD, 1 died on support, none died post-explantation and 1 was still on support on 29 December 2016. Deaths which occurred more than one year post-transplant or explant are not referenced in these tables.

Table 10.1 Outcome of long-term VADS, by implant centre, 1 April 2013 to 31 March 2016							
	Nev	Newcastle		Great Ormond Street		otal	
	Ν	%	Ν	%	Ν	%	
Alive (post transplant)	9	(82)	4	(67)	13 ^{2,0}	(76)	
Alive (post explant)	1	(9)	0	(0)	1	(6)	
Alive with VAD	0	(0)	1	(17)	1	(6)	
Total alive	10	(91)	5	(83)	15	(88)	
Died (post transplant)	1	(9)	0	(0)	1	(6)	
Died (post explant)	0	(0)	0	(0)	0	(0)	
Died with VAD	0	(0)	1	(17)	1	(6)	
Total died	1	(9)	1	(17)	2	(12)	
TOTAL	11	(100)	6	(100)	17	(100)	

Superscripts indicate the number of patients receiving a second device following explantation of their long-term device, e.g. 2,1 indicates two patients received a second long term device and one patient received a short term device after explantation of a long-term device

Table 10.2 shows the long-term VAD duration of support. Overall, the long-term VAD duration of support ranged between 16 and 620 days (1.7 years). Using the <u>Kaplan-Meier estimation method</u>, median long-term VAD duration for all patients was estimated to be 87 days (95% CI: 0, 220).

Table 10.2	Long-term VAD dura	tion, by impla	nt centre, 1 Apı	ril 2013 to 31 N	larch 2016
Hospital	No. of implants	No. missing	Range	Median	(95% confidence interval)
All centres	17	0	16 - 620	87	(0, 220)

A. Patient survival

Table 10.3 shows <u>Kaplan-Meier</u> estimates of <u>patient survival</u> from time of first implant to death for the three year period. Patients still alive were censored at the date of last follow-up. Other events such as device explantation or transplantation were not censored. Care should be taken when interpreting survival estimates due to the small number of patients at risk. This is reflected in the wide confidence intervals.

Table 10.3 Pa			ter implan March 201		j-term VAD,	by imp	olant centre,		
Centre	No. at risk on day 0	30) days	•	t survival (95) days		idence interv I year	,	years
All centres Number at risk	17	94 16	(65 - 99)	88 15	(61 - 97)	88 13	(61 - 97)	88 5	(61 - 97)

B. Survival on a device

Table 10.4 shows <u>Kaplan-Meier</u> estimates of patient <u>survival during VAD support</u> for the whole three year time period. Unlike the survival estimates in **section A**, survival was censored at time of device explantation or transplantation. The survival during VAD support was similar to the overall patient survival due to the majority of patients either being on support at last follow-up or dying whilst on VAD support; survival during VAD support is identical to overall patient survival in these cases. Due to small numbers, only national numbers are reported.

Table 10.4 Survival during long-term VAD support, by implant centre, 1 April 2013 to 31 March 2016							
Centre	% No. at risk on day 0	survival or 30 da	`	(95% confidence interval) 90 days			
All centres	17	100	(-)	90	(47 - 99)		
Number at ris	sk	12		8			

APPENDIX

A1: METHODS

Data are collected for all long-term devices used for the purposes of bridging to transplant and for all short-term devices and ECMO used for bridging or in the treatment of primary graft dysfunction following heart transplantation. Devices used post-cardiotomy are not funded via the NHS England bridge to transplant or recovery programme and so are excluded from this report. Results are reported for implants between 1 April 2006 and 31 March 2016.

This report presents both patient survival and survival on support. Patient survival describes survival from VAD/ECMO implant to death, regardless of intervening events such as transplantation or device explantation. Survival on support describes survival only while on a device and is therefore time from VAD/ECMO implant to death on the device, censoring at transplantation or explantation. If a patient is alive at either the last follow-up or 29 December 2016, then information about the survival of the patient is censored.

A2: GLOSSARY OF TERMS

Confidence interval (CI)

When an estimate of a quantity such as a <u>survival rate</u> is obtained from data, the value of the estimate depends on the set of patients whose data were used. If, by chance, data from a different set of patients had been used, the value of the estimate may have been different. There is therefore some uncertainty linked with any estimate. A confidence interval is a range of values whose width gives an indication of the uncertainty or precision of an estimate. The number of VADs implanted or patients analysed influences the width of a confidence interval. Smaller data sets tend to lead to wider confidence intervals compared to larger data sets. Estimates from larger data sets are therefore more precise than those from smaller data sets. Confidence intervals are calculated with a stated probability, usually 95%. We then say that there is a 95% chance that the confidence interval includes the true value of the quantity we wish to estimate.

Confidence limit

The upper and lower bounds of a confidence interval.

ECMO

Extra corporeal Membrane Oxygenation

Generation of long-term devices

There have been important advances in both VAD technology and patient management over the last decade. VADs can broadly be divided into first, second and third generation devices.

The *first generation VADs* are pulsatile volume displacement pumps. These pumps provide excellent haemodynamic support but have constraints, particularly their large size, the presence of a large diameter lead (which is more prone to infection), an audible pump, the need for medium-large body habitus and limited long-term durability as they were only designed for up to 1 year of support.

Berlin Heart Incor, Berlin Heart Excor, Heartmate XVE, Thoratec IVAD and Thoratec PVAD are all first generation devices.

The second generation VADs are axial flow pumps that are smaller than the 1st generation VADs (for example the second generation Heartmate II is 1/7th the size and ¼ the weight of the first generation Heartmate XVE device). They are easier to insert into patients with smaller body habitus. The smaller diameter drivelines appear to result in lower rates of driveline infection. These continuous flow pumps are quiet in operation and only have a single moving part, the rotor, and hence are expected to be more durable than 1st generation VADs and are now being widely used.

Heartmate II, Jarvik 2000, Micromed DeBakey, Heart Assist 5 and Circulite Synergy are second generation devices.

A number of *third generation VADs* are now also in clinical use or clinical trials. These are bearingless continuous flow pumps with an impeller that is either magnetic levitation or hydrodynamically suspended. Since there are no mechanical bearings inside these VADs, there is no mechanical wear and tear, and durability should be much longer. Third generation VADs are expected to last for 5-10 years.

Heartware, VentrAssist and Heartmate III are all third generation devices.

Inter-quartile range

The values between which the middle 50% of the data fall. The lower boundary is the lower quartile, the upper boundary the upper quartile.

INTERMACS patient profile

Level 1: Critical cardiogenic shock describes the patient who is "crashing and burning"; in which patients have life—threatening hypotension despite rapidly escalating inotropic support, occasionally with IABP placement as well, with critical organ hypoperfusion often confirmed by worsening acidosis and lactate levels. Patients may have less than 24 hours survival expected without mechanical support.

Level 2: <u>Progressive decline</u> describes the patient who has been demonstrated "dependent" on inotropic support but nonetheless shows signs of continuing deterioration in nutrition, renal function, fluid retention, or other major status indicator. Level 2 can also describe a patient with refractory volume overload, perhaps with evidence of impaired perfusion, in whom inotropic infusions *cannot be maintained* due to tachyarrhythmia, clinical ischemia, or other intolerance.

Level 3: Stable but inotrope dependent describes the patient who is clinically stable on mild–moderate doses of intravenous inotropes after repeated documentation of failure to wean without symptomatic hypotension, worsening symptoms, or progressive organ dysfunction (usually renal). It is critical to monitor nutrition, renal function, fluid balance, and overall status carefully in order to distinguish between patients who are truly stable at Level 3 and those who have unappreciated decline rendering them Level 2.

Level 4: is the level of <u>"recurrent"</u> rather than "refractory" decompensation. After interventions such as hospitalization for intravenous diuretics, these patients can be stabilized briefly on an oral regimen at close to normal volume status. However, they experience brief relapses into fluid retention. These patients should be carefully considered for more intensive management and surveillance programs, by which some may be recognized to have poor compliance that would compromise outcomes with any therapy.

Level 5: describes patients who are comfortable at rest but are <u>exercise intolerant</u> for most activity, living predominantly within the house or housebound. They have no congestive symptoms, but may have chronically elevated volume status, frequently with renal dysfunction, and may be characterized as housebound.

Level 6: is a similar patient who is generally without any evidence of fluid overload and able to do some mild activity. Activities of daily living are comfortable and minor activities outside the home such as visiting friends or going to a restaurant can be performed, but fatigue results within a few minutes or any meaningful physical exertion.

Level 7: describes patients who are clinically stable with a reasonable level of comfortable activity, despite history of previous decompensation that is not recent. Any decompensation requiring intravenous diuretics or hospitalization within the previous 2 weeks should make the person a Level 4 or lower.

ISHLT Mechanically Assisted Circulatory Support Registry Users'Guide (2012). Birmingham, AL (http://www.ishlt.org/ContentDocuments/IMACS Users Guide Final 032414.pdf)

Kaplan-Meier method

A method that allows patients with incomplete follow-up information to be included in estimating <u>survival rates</u>. For example, when estimating one year patient survival rates, a patient may be followed up for only nine months before they relocate. If we calculated a crude survival estimate using the number of patients who survived for at least a year, this patient would have to be excluded as it is not known whether or not the patient was still alive at one year after VAD implantation. The Kaplan-Meier method allows information about such patients to be used for the length of time that they are followed-up, when this information would otherwise be discarded. Such instances of incomplete follow-up are not uncommon and the Kaplan-Meier method allows the computation of estimates that are more meaningful in these cases.

Long-term devices (LT)

Long-term devices are implantable and intended to support the patient for years. Patients can be discharged from hospital with a LT device.

Median

The midpoint in a series of numbers, so that half the data values are larger than the median, and half are smaller.

Patient survival rate

The percentage of patients who are still alive (regardless of whether the patient has received a transplant or the device has been explanted). This is usually specified for a given time period after VAD implantation. For example, a five-year patient survival rate is the percentage of patients who are still alive five years after their first VAD implantation.

Primary graft dysfunction

Primary graft dysfunction (PGD) is defined as all VADs and ECMOs used for graft failure within 30 days of heart transplantation.

p value

In the context of comparing <u>survival rates</u> across centres, the p value is the probability that the differences observed in the rates across centres occurred by chance. As this is a probability, it takes values between 0 and 1. If the p value is small, say less than 0.05, this implies that the differences are unlikely to be due to chance and there may be some identifiable cause for these differences. If the p value is large, say greater than 0.1, then it is quite likely that any differences seen are due to chance.

Rejection

Rejection is defined as all VADs and ECMOs used for graft failure more than 30 days of heart transplantation.

Short-term (ST) devices

Short-term devices are intended to support for a short period of time (days or weeks). Patients cannot leave hospital with the device.

Survival on support

The percentage of patients who are still alive and on VAD support. Unlike <u>patient survival</u>, survival on support was censored at time of device explantation or transplantation. This is usually specified for a given time period after implantation. For example, a five-year survival on support rate is the estimate of patients who are still alive on support five years after their first VAD implantation.

Survival on support is calculated as follows in each section:

Section	Start point	End point
Long-term Bridged to Long-term Short-term bridging Short-term PGD	First long-term implant First long-term implant First short-term implant First short-term implant	Death on support Death on support Death on support Death on support

TAH

Total artificial heart

Unadjusted survival rate

Unadjusted <u>survival rates</u> do not take account of risk factors and are based only on the number of VAD implants at a given centre and the number and timing of those that fail within the post-VAD implantation period of interest. In this case, unlike for risk-adjusted rates, all patients are assumed to be equally likely to die at any given time. However, some centres may have lower unadjusted survival rates than others simply because they tend to undertake VAD implants that have increased risks of death. All results presented in this report are unadjusted as the risk factors affecting post-VAD implantation have not yet been examined.

VAD

Ventricular Assist Device

VAD database

Database used for an ongoing extensive audit to capture in-depth data prior to and at time of VAD implant, explant, transplant and death along with follow-up at various time points post-implant and post-explant.

Prepared by:

Statistics and Clinical Studies, NHS Blood and Transplant

Miss Rachel Hogg Dr Jenny Mehew