Heart Transplantation: Organ Allocation

This Policy replaces POL228/8

Copy Number

Effective

01/08/18

Summary of Significant Changes

Clarification that only blood group compatible recipients are considered in the calculation of each centre's longest waiting small adult.

Policy

This policy has been created by the Cardiothoracic Advisory Group (CTAG) on behalf of NHSBT.

The policy has been considered and approved by the Transplant Policy Review Committee (TPRC), which acts on behalf of the NHSBT Board, and which will be responsible for annual review of the guidance herein.

Last updated: July 2018

Approved by TPRC: December 2017 *

The aim of this document is to provide a policy for the allocation and acceptance of donated hearts to adult and paediatric recipients on the UK national transplant list. These criteria apply to all proposed recipients of organs from deceased donors.

In the interests of equity and justice all centres should work to the same allocation criteria. Non-compliance with these guidelines will be handled directly by NHSBT, in accordance with POL198 NHS Blood and Transplant Organ Donation and Transplantation: Policy on Non-compliance with Selection and Allocation policies.

http://www.odt.nhs.uk/transplantation/tools-policies-and-quidance/policies-and-quidance/

It is acknowledged that these guidelines require regular review and refreshment. Where they do not cover specific individual cases, mechanisms are in place for the allocation of organs in exceptional cases that ensure equity and fairness.

The guidance in this document describes how hearts donated by deceased donors are allocated.

1. Policy Overview

1.1 Rationale

The rationale for this policy is the need to balance the importance of optimising outcomes for patients by selecting the most appropriate recipient for the heart, with the need to give priority to the sickest candidate (while ensuring risk of transplantation is within acceptable limits (estimated >50% chance of survival within 1 year)).

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1.2 Basis of Allocation

There are three tiers of allocation: the Super-Urgent Heart Allocation Scheme (SUHAS), the Urgent Heart Allocation Scheme (UHAS) and the Non-Urgent Heart Allocation Scheme (NUHAS). Selection criteria for these three schemes are documented in the NHS Blood and Transplant Heart Transplantation: Selection Criteria and Recipient Registration. <u>POL229</u> (http://www.odt.nhs.uk/transplantation/tools-policies-and-guidance/policies-and-guidance/)

Hearts are allocated to individual named patients on a national basis for those on the super-urgent and urgent lists. For patients on the non-urgent list, hearts are allocated on a centre basis for local allocation.

1.3 Patient Criteria

Patients meeting criteria for transplantation with organs from deceased donors must be registered with NHS Blood and Transplant. Selection criteria for heart transplantation are detailed in the *NHS Blood and Transplant Heart Candidate Selection Policy* <u>POL229</u>.

(http://www.odt.nhs.uk/transplantation/tools-policies-and-guidance/policies-and-guidance/)
The person requesting registration is accountable for the accuracy of the information provided.

NHSBT will ensure that patients meet registration criteria and refer back those where the criteria are not met.

1.4 Transplant Centres

There are seven licensed heart transplant centres in the UK: Birmingham, Great Ormond Street Hospital, Glasgow, Harefield, Manchester, Newcastle and Papworth. Newcastle transplant adult and paediatric patients, and Great Ormond Street transplant paediatric patients only. The remaining centres transplant adult patients only.

2. Donor Information

An adult heart donor is defined as being a patient aged 16 years or above at the time of death. A paediatric heart donor is defined as being a patient aged less than 16 years at the time of death. Contraindications to organ donation are reviewed regularly and revised as needed.

POL 188 Clinical contraindications to approaching families for possible organ donation (http://www.odt.nhs.uk/transplantation/tools-policies-and-guidance/policies-and-guidance/) includes heart specific contraindications. As with all guidelines, these should be used with clinical judgement.

3. Recipient Information

Transplantation is associated with risk. It is the responsibility of the surgeon to ensure that the potential transplant recipient understands and accepts the risks associated with organ transplantation as well as the benefits. Obtaining informed consent is a process which involves the whole multi-disciplinary team. NHSBT and the British Transplantation Society have given advice on consent in POL191 Guidelines for consent for solid organ transplantation in adults. (http://www.odt.nhs.uk/transplantation/tools-policies-and-guidance/policies-and-guidance/)

3.1 Adult Patients

An adult patient is defined as being a patient aged 16 years or above at the time of registration.

3.2 Paediatric Patients

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A paediatric patient is defined as being a patient aged less than 16 years at the time of registration. A paediatric patient who reaches their 16th birthday while on the waiting list will retain their paediatric status.

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3.3 Small Adult Patients

A Small Adult is defined as being a patient with a body weight of 30 kg or less at the time of listing. Paediatric patients and Small Adults will generally receive offers for hearts available from a paediatric donor before adult patients.

A centre may request, through the Cardiothoracic Advisory Group (CTAG) Adjudication Panel, registration of a Small Adult, weighing between 30 kg and 40 kg, when there are specific reasons to justify inclusion in this priority group (such as excess fluid retention which may not reflect lean body mass). In such cases, indication for Small Adult listing should be marked on the registration form.

3.4 Patient Categories

There are three patient categories for which a patient can be registered. Table 1 indicates which patient category (Paediatric, Small Adult or Adult) a patient is classed in depending upon the registered status of the patient (i.e. by age and whether they are registered as a Small Adult) and which type of centre they are registered at. The type of centre is important because generally a 15 year old patient, for example, registered at an adult centre will, by definition, be of adult size and hence require adult sized organs, whereas generally a 15 year old patient at a paediatric centre will require specialist paediatric treatment and hence paediatric sized organs. A patient will only have one classification and cannot be 'dual listed' to receive offers as part of more than one scheme.

Table 1: Patient category for allocation (Paediatric/Small Adult/Adult) by patient status and centre type					
Status of patient	Adult Centre (Harefield, Papworth, Birmingham, Manchester, Glasgow)	Adult & Paediatric Centre (Newcastle*)	Paediatric Centre (GOSH)		
Aged under 16	Adult	Paediatric	Paediatric		
Aged 16 or above (not Small Adult)	Adult	Adult	Paediatric		
Small Adult Aged 16 or above and weight ≤30kg or Aged 16 or above, weight between 30-40kg and agreed by Adjudication Panel	Small Adult	Small Adult	Paediatric		

^{*} Newcastle is counted as both an adult centre and a paediatric centre in this document.

4. Allocation Zones

In many aspects of the heart offering sequence (Section 5), 'zonal centre' priority is given to the patients at a centre when the donor is located within the centre's allocation zone. Each transplant centre has been assigned an allocation zone, with the exception of Great Ormond Street. This means that every donating hospital is assigned to one of the transplant centre allocation zones, based on geography and donor density. Allocation zones are reviewed annually by CTAG and arrangements made to ensure equity for patients by adjusting the allocation zone boundaries to reflect the demand for transplantation at each centre.

The current list of hospitals in each heart allocation zone can be found on-line at https://www.odt.nhs.uk/transplantation/tools-policies-and-guidance/allocation-zones/

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5. Heart Offering Sequence

5.1 Group 1 Patients

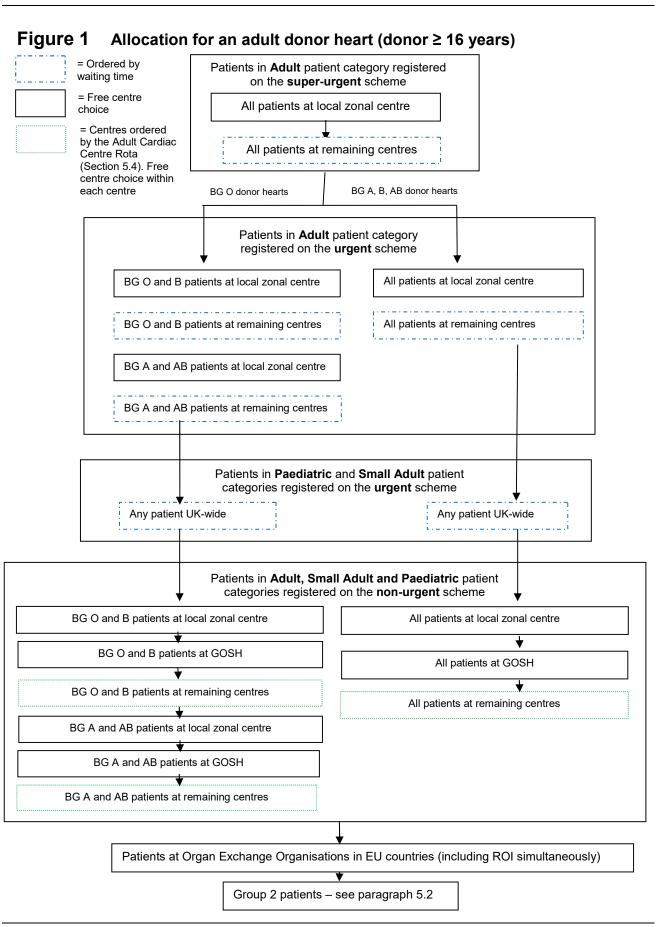
Offers are made to centres in the priority order indicated in Figure 1 for an adult donor and Figure 2 for a paediatric donor. Both diagrams describe the offering sequence for Group 1 patients only; the final step in the offering sequence is to offer to Group 2 patients (as described in Section 5.2). Group 1 and Group 2 patients are defined in the Directions of NHS Blood and Transplant and reflect NHS entitlement.

(https://nhsbtdbe.blob.core.windows.net/umbraco-assets-corp/1864/nhsbt directions 2005.pdf)

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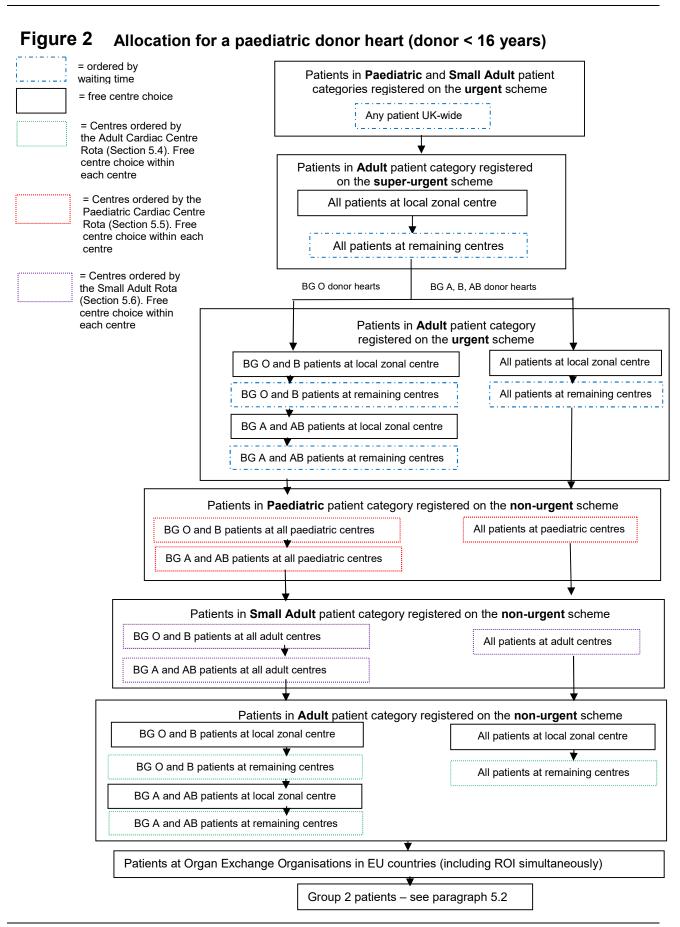
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5.2 Group 2 Patients

Offers of adult donor hearts to Group 2 patients are made to centres in the following priority order:

- 1. The local zonal transplant centre
- 2. All other transplant centres in the UK, according to the Adult Cardiac Centre Rota (see Section 5.4)
- 3. Organ Exchange Organisations in EU countries

Offers of paediatric donor hearts to Group 2 patients are made to centres in the following priority order:

- 1. Transplant centres in the UK with a paediatric, according to the Paediatric Cardiac Centre Rota (see Section 5.5).
- 2. Transplant centres in the UK with a Small Adult registered, according to the Small Adult Rota (see Section 5.6).
- 3. The local zonal transplant centre for adult patients
- 4. Centres in the UK for adult patients, according to the Adult Cardiac Centre Rota (see Section 5.4)
- 5. Organ Exchange Organisations in EU countries

5.3 Donor-Recipient Blood Group Prioritisation

CTAG acknowledge that blood group O and B patients waiting for a heart transplant are disadvantaged in that they often compete against a greater number of patients for an organ, compared with blood group A and AB registered patients. The main reason for this is due to blood group compatibility, as shown in Table 2. For this reason, in some aspects of the offering sequence, patients are prioritised differently depending on the blood group of the donor.

Table 2: Donor-Recipient blood group compatibility					
Donor	Recipien O	t A	В	АВ	
0	С	С	С	С	
Α	-	С	-	С	
В	-	-	С	С	
AB	-	-	-	С	
C= Compatib	le				

5.4 Adult Cardiac Centre Rota

Donor hearts are allocated to non-urgent patients in the Adult category (see Table 1) on a centre basis. After the zonal centre (and GOSH in the case of adult donor hearts), the order in which centres are prioritised follows the Adult Cardiac Centre Rota, as follows:

- All adult centres are ordered in reverse-chronological order of last transplant date for nonurgent Adult patients when organs (from an adult or paediatric donor) are accepted and used outside of their own allocation zone.
- If a centre accepts and uses an organ from within their own zone, it does not move position on the rota.
- As each centre carries out a transplant for non-urgent Adult patients using an organ donated from within the UK and imported from another zone, it will be moved to the bottom of the rota.
- A centre transplanting an organ donated from outside the UK will retain its place and not be moved to the bottom of the rota.

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A centre importing a heart-lung block for transplant into a non-urgent Adult patient will be
rotated to the bottom of both the Adult Cardiac Centre Rota and the Adult Lung Centre Rota
(see POL230: Donor Lung Distribution and Allocation policy)
(http://www.odt.nhs.uk/transplantation/tools-policies-and-guidance/policies-and-guidance/)

5.5 Paediatric Cardiac Centre Rota

Donor hearts are allocated to non-urgent patients in the Paediatric category (see Table 1) on a centre basis. The order in which centres are prioritised follows the Paediatric Cardiac Centre Rota, which consists of the two paediatric transplant centres and is calculated as follows:

- Paediatric centres are ordered in reverse-chronological order of last transplant date for nonurgent Paediatric patients when organs (from an adult or paediatric donor) are accepted and used.
- As each centre carries out a transplant for a non-urgent Paediatric patient using an organ donated from within the UK, it will be moved to the bottom of the rota.
- A centre transplanting an organ donated from outside the UK will retain its place and not be moved to the bottom of the rota.
- A centre importing a heart-lung block for transplant into a non-urgent Paediatric patient will be rotated to the bottom of both the Paediatric Cardiac Centre Rota and the Paediatric Lung Centre Rota (see <u>POL230</u>: Donor Lung Distribution and Allocation policy). (http://www.odt.nhs.uk/transplantation/tools-policies-and-guidance/policies-and-guidance/)

5.6 Small Adult Rota

Donor hearts are allocated to non-urgent patients in the Small Adult category (see Table 1) on a centre basis. The order in which centres are prioritised is determined by the length of time each centre's longest waiting blood group compatible Small Adult has been waiting. The centre with the longest waiting blood group compatible Small Adult will feature at the top of the rota. If a centre has more than one Small Adult waiting, they are able to select which patient to transplant as this is a centre offer.

6. Super-Urgent Heart Allocation Scheme

The Super-Urgent Heart Allocation Scheme (SUHAS) is available for patients in the Adult category only (see Table 1).

- An offer is made firstly to the local zonal centre whereby the centre selects a suitable recipient from all those registered on the SUHAS at their centre.
- Allowing zonal centre priority means that a centre with a local donor may retain the heart for a super-urgent patient of any blood group even if another similar patient is waiting elsewhere; this will minimise cold ischaemia time and improve the outcome for the patient.
- If the zonal centre declines, offers are then made for each remaining patient on the national SUHAS waiting list in the order of their time spent waiting on the super-urgent list for this registration.
- Offers are not made to adult patients who are blood group incompatible with the donor. When a patient is registered, indication can be made to request only blood group identical or both identical and compatible donor heart offers.
- In addition, patients on the SUHAS can be registered with a maximum and minimum donor height and weight they are willing to accept, at the time of registration. These patients will subsequently not receive offers of donor hearts from donors that fall outside of these specified criteria.
- If a patient is suspended from the super-urgent list for more than 14 days their waiting time will be reset when/if reactivated and a new registration form will be required.

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7. Urgent Heart Allocation Scheme

The Urgent Heart Allocation Scheme (UHAS) is available for all patient categories and the offering process differs depending on whether the donor is adult (Figure 1 and Section 7.1) or paediatric (Figure 2 and Section 7.2).

- Offers are not made to adult patients (≥16 years) who are blood group incompatible with the donor.
- When a patient is registered, indication can be made to request donor heart offers from 1) blood group identical donors only, 2) identical and compatible donors or 3) donors of any blood group (option available to paediatric patients only).
- Patients on the UHAS can be registered with a maximum and minimum donor height and weight they are willing to accept, at the time of registration. These patients will subsequently not receive offers of donor hearts from donors that fall outside of these specified criteria.
- A patient that has moved from the SUHAS to the UHAS will retain their waiting time spent on the SUHAS which will be added on to their UHAS waiting time.
- Patients moving from the UHAS to the SUHAS will not retain any waiting time from their urgent registration.
- If a patient is suspended from the urgent list for more than 14 days their waiting time will be reset when/if reactivated and a new registration form will be required.

7.1 Adult Donor Hearts

Adult donor hearts are offered to all patients in the UHAS adult patient category before being offered to patients in the UHAS paediatric and Small Adult patient categories (Figure 1). Urgent heart patients in the adult patient category are ranked by 1) blood group, 2) local zonal/non-zonal centre and 3) length of time spent waiting on the UHAS for this registration. If offers for all patients in the SUHAS and UHAS adult patient category are declined, the donor heart is then offered to all UHAS patients in the paediatric and Small Adult patient category. These patients are ordered by time spent waiting on the UHAS for the current registration. There is no blood group priority and patients in the Small Adult patient category rank alongside those in the paediatric group.

7.2 Paediatric Donor Hearts

Paediatric donor hearts are offered to all patients in the UHAS paediatric and Small Adult patient categories before being offered to patients in the SUHAS adult patient category and the UHAS adult patient category (Figure 2). UHAS patients in the paediatric and Small Adult patient category are ordered by time spent waiting on the UHAS this registration. There is no blood group priority and patients in the Small Adult patient category rank alongside those in the paediatric group. Urgent heart patients in the adult patient category are subsequently offered and are ranked by 1) blood group, 2) local zonal/non-zonal centre and 3) length of time spent waiting on the UHAS this registration.

8. Non-Urgent Heart Allocation Scheme

The Non-Urgent Heart Allocation Scheme (NUHAS) is available for all patient categories and the offering process differs depending on whether the donor is adult (Figure 1 and Section 8.1) or paediatric (Figure 2 and Section 8.2). Organs are allocated on a centre basis. This allows the clinicians to select the most appropriate recipient within their centre, based on need, benefit and other clinical issues.

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8.1 Adult Donor Hearts

- The order in which transplant centres are allocated donor hearts is as follows:
 - The local zonal centre
 - Great Ormond Street
 - Remaining adult centres ordered by the Adult Cardiac Centre Rota (Section 5.4), excluding the local zonal centre
- When an offer is made to Newcastle through either a zonal offer, or through the Cardiac Centre Rota offering, the centre must consider patients registered in all patient categories (Adult, Small Adult and Paediatric).
- Centres should internally prioritise blood group O and B recipients above blood group A and AB recipients for blood group O donors, as stated in Figure 1.

8.2 Paediatric Donor Hearts

- Organs are allocated preferentially to patients in the Paediatric category according to the Paediatric Cardiac Centre Rota (Section 5.5).
- The second tier of allocation is to patients in the Small Adult category, which are allocated according to the Small Adult Rota (Section 5.6).
- If not accepted for patients in the Paediatric or Small Adult patient categories, the heart is then allocated to non-urgent patients in the Adult patient category on a centre basis. The order in which transplant centres are offered donor hearts is as follows:
 - o The local zonal centre
 - Remaining adult centres ordered by the Adult Cardiac Centre Rota (Section 5.4), excluding the local zonal centre.
- Centres should internally prioritise blood group O and B recipients above blood group A and AB recipients for blood group O donors, as stated in Figure 1.

9. Allocation of Donor Organs Within-Centre

For **zonal** super-urgent offers, **zonal** urgent offers and centre-based non-urgent offers, the centre is able to select a suitable recipient from all those listed in the relevant patient category. Selection of recipients within a centre must be done in a transparent and equitable manner with clear lines of accountability for the decision. There should be a documented audit trail so the surgeon can justify the decision.

Factors to be considered when allocating hearts within a centre include:

9.1 Prospective Cross-Match

Prospective lymphocyte cross-matching may be indicated for certain (sensitised) patients and should be discussed with the recipient Histocompatibility laboratory where practicable and clinically appropriate.

9.2 Size

This is important for intra-thoracic transplantation as the power output of the transplanted heart has to match the recipient. Small female donor hearts will not necessarily have the power for average sized male recipients.

9.3 Logistics

Given the consideration of ischaemic time and occasional short notice of organ availability, the logistics of recipient transplantation may come into play in the choice of potential recipient.

9.4 Physiology

Physiology is particularly important with reference to the pulmonary vascular resistance or the pressure drop across the lungs, which might be important in placing marginal donor organs.

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10. Heart-Lung Block Allocation

10.1 Non-Urgent Heart-Lung Allocation Scheme

A non-urgent heart patient who requires a heart-lung block can register on to the Non-Urgent Heart-Lung Allocation Scheme (NUHLAS) by specifying that they also require lungs, in keeping with the criteria for the NUHAS. If the heart has not been accepted by any patient on the SUHAS or UHAS and the lungs have not been accepted for any patient on the Super-Urgent Lung Allocation Scheme or the Urgent Lung Allocation Scheme (see POL230: Donor Lung Distribution and Allocation policy) (http://www.odt.nhs.uk/transplantation/tools-policies-and-guidance/policies-and-guidance/, the heart-lung block is allocated according to the Non-Urgent Heart Offering Scheme (Section 8). A centre can either accept the heart-lung block for one recipient or accept the heart for one recipient. If the heart-lung block is not accepted for one recipient, the lungs must be allocated according to the Non-Urgent Lung Allocation Scheme (see POL230: Donor Lung Distribution and Allocation policy). (http://www.odt.nhs.uk/transplantation/tools-policies-and-guidance/policies-and-guidance/)

10.2 Urgent Heart-Lung Allocation Scheme

If an urgent heart patient also requires lungs, the centre must write to the CTAG Adjudication Panel for approval. If approved, the centre must inform Hub Operations at the time of registration and again at time of offering. Urgent heart-lung patients rank amongst urgent heart patients and priority is given to urgent heart-lung patients before urgent lung patients, but after super-urgent lung patients (see POL231: Lung Candidate Selection Criteria). (http://www.odt.nhs.uk/transplantation/tools-policies-and-guidance/policies-and-guidance/) If the lungs have been accepted by a centre for an urgent lung patient but another centre requires

the lungs for an urgent heart-lung patient, the offer will be withdrawn from the first centre and the

lungs will be re-allocated to the centre with the urgent heart-lung patient.

11. Offering Process

The offering process is split into two components: (1) sequential offering to patients in the superurgent and urgent categories and (2) simultaneous offering to all centres for non-urgent patients. During the offering process the centre should maintain contact with the Specialist Nurse for Organ Donation. If the donor is becoming increasingly unstable and continuing with the offering sequence is likely to jeopardise other solid organ retrieval, the Specialist Nurse for Organ Donation should discuss with the Regional Manager on call whether it would be appropriate to abort the offering sequence. Wherever possible echocardiography and invasive monitoring (including cardiac output studies) should be utilised to endorse this decision.

Super-urgent and urgent offering:

- Offers are made in turn to patients in the super-urgent and urgent schemes, on the basis of a firm offer to the first centre and a provisional offer to the second in line.
- Centres to which a firm offer has been made must advise within 45 minutes whether they wish
 to accept or decline the offer. If the organ is declined, it will be offered to the second in line as a
 firm offer and to the third in line as a provisional offer, and so on throughout the super-urgent
 and urgent heart allocation sequence.
- If an offer is accepted by the first centre outside of the agreed time and the offer has already been accepted by the second centre, the donor heart will be automatically allocated to the second centre. For firm offers made to a centre previously advised provisionally, the centre has 30 minutes to advise whether they wish to accept or decline the firm offer.

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Non-urgent offering:

- If the donor heart has been declined for all super-urgent and urgent patients, Hub Operations will send out a simultaneous offer to all transplant centres for consideration for all their non-urgent patients.
- Centres will have 45 minutes to respond to simultaneous offers of hearts only, or 60 minutes for simultaneous offers of the heart-lung block.
- A centre wishing to accept a simultaneous offer must call Hub Operations within the specified time stating which patient category they wish to accept for (Paediatric / Small Adult / Adult). The centre must state whether they wish to accept the heart only or the heart-lung block. If the centre does not wish to accept the simultaneous organ offer, they need not respond.
- At the end of the response time of the simultaneous offer, if more than one centre wishes to
 accept the offer, the organ(s) will be allocated according to the priority order shown in Figure 1
 or 2, as appropriate, and in agreement with <u>POL230</u>: Donor Lung Distribution and Allocation
 policy.(http://www.odt.nhs.uk/transplantation/tools-policies-and-guidance/policies-and-guidance/)
- If the heart or lung(s) are eventually declined on inspection, the Hub Operations will contact the next centre that wished to accept, if applicable. If no other centre wished to accept, the organ(s) will not be fast-tracked as per Section 12 as it will be presumed that no centre wishes to use the organ.

A centre wishing to accept an organ will retain its place on the heart allocation sequence while a decision is pending. If a centre chooses to decline the offer of an organ, it will retain its place in the centre rota.

12. Fast Track Offer Scheme

The Fast Track Offer Scheme is initiated in two scenarios:

- 1. When a heart is available at short notice from a UK donor, i.e.:
 - aortic cross-clamp is expected within 90 minutes of the referral to NHSBT, or
 - the heart has already been removed or is in the process of removal, and
 - all UK centres have not already had an opportunity to decline the heart.
- 2. When a heart is available from Europe.

The scheme operates as follows:

- Offers of hearts meeting the Fast Track offer scheme criteria will be made to all centres simultaneously.
- Offers will be made by Hub Operations by either simultaneous text message to pager/mobile phone or facsimile transmission of donor information.
- Centres must respond by telephone to a Fast Track offer to Hub Operations within 45
 minutes of the offer if they wish to accept. Hub Operations will not follow-up those centres
 that do not respond within this time. Centres not responding will be deemed to have
 declined the offer.
- Hearts will be allocated to the first accepting centre and may be for a super-urgent, urgent or non-urgent patient. However, if more than one centre wishes to accept the Fast Track Offer, negotiation can be made between centres.
- Group 1 patients will be allocated organs before Group 2 patients. Centres accepting for Group 2 patients must wait until the 45 minutes have lapsed to ensure no centre is accepting for a Group 1 patient.

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13. Blood-borne Positive Donor Virology Scheme

The positive donor virology scheme is initiated when NHSBT is notified that a donor has an initial positive result for any of the markers listed below:

- Hepatitis B surface antigen (not Hepatitis B core antibody positive alone, with negative HBsAG)
- Hepatitis C antibody
- HIV 1 and 2 antibody
- HTLV 1 and 2 antibody

The scheme operates as follows:

- Offers of hearts meeting the positive donor virology scheme criteria will be made to all centres simultaneously that have confirmed they wish to be included in the scheme.
- Offers will be made by Hub Operations by either simultaneous text message to pager/mobile phone or facsimile transmission of donor information.
- Centres must respond by telephone to a positive donor virology offer to Hub Operations
 within 45 minutes of the offer if they wish to accept. Hub Operations will not follow-up those
 centres that do not respond within this time. Centres not responding will be deemed to have
 declined the offer.
- Hearts will be allocated to the highest accepting centre in the offering sequence that has expressed an interest in the offer.

14. Acceptance of Allocated Organs

It is the responsibility of the recipient surgeon to decide whether to accept an organ and this decision will depend on both donor and recipient factors. Organs from all donors will carry some degree of risk and the risks associated with transplantation must be balanced against the benefits of transplantation and the risks of awaiting a further offer. The recipient is entitled to decline organs from donors with particular characteristics and these wishes should be respected.

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